



SEC Disclosure

Form ADV

**Part II
&
Privacy Policy**

2010

FORM ADV**Part II -Page 1****Uniform Application for Investment Advisor Registration****OMB APPROVAL**

OMB Number 3235-0049
Expires February 28, 2011
Estimated average burden
hours per response. . .9.402

Name of Investment Adviser: BSW Wealth Partners, LLC				
Address: (Number and Street)	(City)	(State)	(Zip Code)	Area Code: Telephone Number:
2336 Pearl Street	Boulder	CO	80302	(303) 444-9696

**This part of Form ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.**

Table of Contents

<u>Item Number</u>	<u>Item</u>	<u>Page</u>
1	Advisory Services and Fees	2
2	Types of Clients	2
3	Types of Investments	3
4	Methods of Analysis, Sources of Information and Investment Strategies	3
5	Education and Business Standards	4
6	Education and Business Background	4
7	Other Business Activities	4
8	Other Financial Industry Activities or Affiliations	4
9	Participation or Interest in Client Transactions	5
10	Conditions for Managing Accounts	5
11	Review of Accounts	5
12	Investment or Brokerage Discretion	6
13	Additional Compensation	6
14	Balance Sheet	6
	Continuation Sheet	Schedule F

(Schedules A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

SEC 1707 (1/99)

FORM ADV**Part II - Page 2**

Advisor:

BSW Wealth Partners, LLC

SEC File Number:

801-45532

Date:

01/29/2010

Definitions for Part II

Related person - Any officer, director or partner of Advisor or any person directly or indirectly controlling, controlled by, or under common control with the Advisor, including any non-clerical, non-ministerial employee.

Investment Supervisory Services - Giving continuous investment advice to a Client (or making investments for the Client) based on the individual needs of the Client. Individual needs include, for example, the nature of other Client assets and the Client's personal and family obligations.

1. **A. Advisory Services and Fees** (check the applicable boxes):

For each type of service provided, state the approximate % of total advisory billings from that service.
(See instruction below.)

Advisor:

- | | | | |
|-------------------------------------|-----|---|-----|
| <input checked="" type="checkbox"/> | (1) | Provides investment supervisory services | 70% |
| <input type="checkbox"/> | (2) | Manages investment advisory accounts not involving investment supervisory services | % |
| <input type="checkbox"/> | (3) | Furnishes investment advice through consultations not included in either service described above | % |
| <input type="checkbox"/> | (4) | Issues periodicals about securities by subscription | % |
| <input type="checkbox"/> | (5) | Issues special reports about securities not included in any service described above | % |
| <input type="checkbox"/> | (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities | % |
| <input checked="" type="checkbox"/> | (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities | 30% |
| <input type="checkbox"/> | (8) | Provides a timing service | % |
| <input type="checkbox"/> | (9) | Furnishes advice about securities in any manner not described above | % |

(Percentages should be based on Advisor's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does the Advisor call any of the services it checked above financial planning or some similar term?

Yes No
☒ ☐

C. Advisor offers investment advisory services for (check all that apply):

- | | |
|--|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the Advisor on a subscription basis or for a fee
- Advisor's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. **Types of Clients** - Advisor generally provides investment advice to (check those that apply):

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 3**

Advisor:

BSW Wealth Partners, LLC

SEC File Number:

801- 45532

Date:

01/29/2010**3. Types of Investments** Advisor offers advice on the following (check those that apply):

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity Securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input checked="" type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (3) foreign issues | <input checked="" type="checkbox"/> (1) securities |
| | <input checked="" type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | |
| <input checked="" type="checkbox"/> C. Corporate debt securities | <input type="checkbox"/> J. Futures contracts on: |
| (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> D. Commercial paper | <input checked="" type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input checked="" type="checkbox"/> (1) real estate |
| <input checked="" type="checkbox"/> F. Municipal securities | <input checked="" type="checkbox"/> (2) oil and gas interests |
| | <input checked="" type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> G. Investment company securities | <input checked="" type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (1) variable life insurance | |
| <input checked="" type="checkbox"/> (2) variable annuities | |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies

A. Advisor's security analysis methods include (check those that apply):

- | | |
|---|--|
| (1) <input type="checkbox"/> Charting | (4) <input checked="" type="checkbox"/> Cyclical |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input type="checkbox"/> Technical | |

B. The main sources of information Advisor uses include (check those that apply):

- | | |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input checked="" type="checkbox"/> Company press releases |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (8) <input checked="" type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to Clients include (check those that apply):

- | | |
|--|---|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input checked="" type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input checked="" type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input checked="" type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input checked="" type="checkbox"/> Short sales | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 4**

Advisor:

BSW Wealth Partners, LLC

SEC File Number:

801-45532

Date:

01/29/2010**5. Education and Business Standards.**

Are there any general standards of education or business experience that Advisor requires of those involved in determining or giving investment advice to Clients?

Yes No
☒ ☐

(If yes, describe these standards on Schedule F.)

6. Education and Business Background

For:

- each member of the investment committee or group that determines general investment advice to be given to Clients, or
- if the Advisor has no investment committee or group, each individual who determines general investment advice given to Clients (if more than five, respond only for their supervisors)
- each principal executive officer of Advisor or each person with similar status or performing similar functions.

On Schedule F, give the:

- | | |
|-----------------|--|
| • name | • formal education after high school |
| • year of birth | • business background for the preceding five years |

7. Other Business Activities (check those that apply):

- ☐ A. Advisor is actively engaged in a business other than giving investment advice.
- ☐ B. Advisor sells products or services other than investment advice to Clients.
- ☐ C. The principal business of Advisor or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations (check those that apply):

- ☐ A. Advisor is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Advisor is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading advisor.
- C. Advisor has arrangements that are material to its advisory business or its Clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is Advisor or a related person a general partner in any partnership in which Clients are solicited to invest?

Yes No
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 5**

Applicant:

BSW Wealth Partners, LLC

SEC File Number:

801- 45532

Date:

01/29/2010**9. Participation or Interest in Client Transactions**

Advisor or a related person (check those that apply):

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any Client.
- ☐ B. As broker or agent effects securities transactions for compensation for any Client.
- ☐ C. As broker or agent for any person other than a Client effects transactions in which Client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to Clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sells for itself securities that it also recommends to Clients.

(For each box checked, describe on Schedule F when the Advisor or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

- 10. Conditions for Managing Accounts.** Does the Advisor provide investment supervisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other conditions for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F.)

- 11. Review of Accounts.** If Advisor provides investment supervisory services, manages investment advisory accounts, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Reviews: All accounts are electronically updated each business day. Account holdings are monitored on an ongoing basis. All Client accounts are reconciled on at least a quarterly basis. Client portfolios are reviewed in detail at least quarterly. In addition, accounts are reviewed in the event of investment policy changes, changes to Advisor's recommended portfolio, and changes in individual Client circumstances.

Reviewer: Accounts are reviewed by the Client's portfolio manager, advisor, and/or a principal of the firm. Accounts are reviewed for investment allocation, holdings, performance, and risk relative to Client's goals and objectives.

- B. Describe below the nature and frequency of regular reports to Clients on their accounts.

Clients receive trading confirmations and monthly statements from all firms having custody of the Client's liquid assets. Clients also receive written quarterly reports and account valuations prepared by the Advisor. Quarterly reports evaluate holdings, asset allocation, investment returns, and performance relative to major market indices. Reports will be prepared more frequently than quarterly upon request by Client.

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).

FORM ADV**Part II - Page 6**

Advisor:

BSW Wealth Partners, LLC

SEC File Number:

801-45532

Date:

01/29/2010**12. Investment or Brokerage Discretion**

A. Does Advisor or any related person have authority to determine, without obtaining specific Client consent, the:

(1) securities to be bought or sold?

Yes No

☒ ☐

(2) amount of the securities to be bought or sold ?

Yes No

☒ ☐

(3) broker or dealer to be used ?

Yes No

☒ ☐

(4) commission rates paid?

Yes No

☐ ☒

B. Does Advisor or a related person suggest brokers to clients?

Yes No

☒ ☐

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of Advisor's accounts or just those accounts paying for it; and
- any procedures the Advisor used during the last fiscal year to direct Client transactions to a particular broker in return for products and research services received.

13. Additional Compensation

Does the Advisor or a related person have any arrangements, oral or in writing, where it:

A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to Clients?

Yes No

☐ ☒

B. directly or indirectly compensates any person for Client referrals?

Yes No

☐ ☒

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet: Advisor must provide a balance sheet for the most recent fiscal year on Schedule G if Advisor:

- has custody of client funds or securities; or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?

Yes No

☐ ☒**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1).**

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
BSW Wealth Partners, LLC	801-45532	01/29/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC	IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer
Form ADV, Part II Item 1 (D)	<p><u>Services</u></p> <p>Advisor provides portfolio management and other financial advisory services. Services are provided on a fee-only basis (explained below) to ensure objectivity.</p> <p><u>Investment Supervisory Services:</u> Advisor's services may include:</p> <ul style="list-style-type: none">• Analysis of Client's investments coming under Advisor's supervision;• Determination of Client's short and long-term investment objectives, time horizon, expectations, concerns, experience and risk profile;• Development of an investment plan and related strategies designed to achieve Client's objectives;• Implementation of individual securities transactions;• Monitoring the portfolio on a regular basis and recommending specific changes as necessary;• Maintaining regular communication with Client; and• Preparation of periodic (quarterly) portfolio reports for Client. <p><u>Other Financial Advisory Services:</u> Advisor's other services may include:</p> <ul style="list-style-type: none">• <u>Wealth Planning:</u> Helping clients understand the level of assets, allocation, savings, and long-term investment returns needed to achieve financial independence.• <u>Tax & Estate Planning / Coordination:</u> Discussing general tax, wealth transfer and estate planning concepts with Clients and introducing Clients to qualified attorneys, CPA's and other professionals to fully develop these strategies. Advisor will coordinate the resulting strategies with Client's investment and financial planning. This may include establishing funding and managing charitable trusts and foundations.• <u>Diversification Planning:</u> Designing and implementing strategies to manage risk and handle concentrated positions of highly appreciated assets (such as founder's stock, optioned stock, and highly appreciated stock).• <u>Employee Stock Options:</u> Designing and implementing strategies for the funding, exercise, and sale of employee stock options, including analysis of the income tax implications of such strategies.

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
BSW Wealth Partners, LLC	801-45532	01/29/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC	IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer
Form ADV, Part II Item 1 (D) Continued	<p><u>Fees:</u></p> <p>Investment supervisory fees are based on a percentage of the total value of the assets placed under Advisor's supervision, calculated each quarter. Advisor's standard fee structure is as follows: the first \$1 million in assets is billed at a 1% annual rate, the next \$2 million at 0.75%, the next \$7 million at 0.5%, and assets above \$10 million at a negotiated rate.</p> <p>Advisor's other financial advisory services may be provided to investment supervisory Clients at no additional charge, or may be based on an agreed upon fixed quarterly rate.</p> <p>When appropriate, Advisor's fee may be based upon Advisor's standard hourly billing rates of \$300 per hour, depending on the scope and nature of the work involved. No such hourly fees will be charged without the prior agreement of Client.</p> <p>Travel related expenses incurred by Manager on Client's behalf will be reimbursed by Client.</p> <p>Advisor's minimum fee is \$2,500 per quarter. Fees may be modified to reflect the amount, timing and special characteristics of the assets under supervision. Fees are billed and payable quarterly in advance.</p> <p>Advisor's Trustee and Administrative Services Fee schedule is as follows: 0.35% on the first \$5 million, 0.25% thereafter, with an annual minimum fee of \$1,000. Fees are billed quarterly in advance.</p> <p><u>Rescission:</u> Client may rescind its Agreement with Advisor within five (5) days of such Agreement without charge of any kind.</p> <p><u>Termination:</u> Client or Advisor may terminate their Agreement at any time upon written notice.</p> <p><u>Refunds:</u> If the Agreement is terminated by either Advisor or Client, the investment advisory fee is prorated through date of termination and the balance is refunded.</p>
Form ADV, Part II Item 3 (K) 3	<p>Clients who invest in mutual funds, separate accounts or hedge funds are advised that such vehicles pay investment advisory fees to their managers. Such fees are in addition to any advisory fees paid by Client to Advisor. Advisor evaluates such fees for its Clients to determine their reasonableness in light of performance and industry averages.</p>
Form ADV, Part II Item 3, (L)	<p><u>Other partnership interests available for Advisor's advice:</u></p> <p>Venture Capital Funds; Hedge Funds; Private Equity; Real Estate</p>
Form ADV, Part II Item 4 (B) 8	<p><u>Other investments available for Advisor's advice:</u></p> <p>Direct investments in real estate and real estate related loans. Direct investments in companies and company related loans.</p>
Form ADV, Part II Item 5	<p><u>Other sources of information used by Advisor:</u></p> <p>Conference calls with company representatives. Meetings and conference calls with mutual fund, separate account, hedge fund, and real estate managers.</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
BSW Wealth Partners, LLC	801-45532	01/29/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC	IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer
Form ADV, Part II Item 6	<p><u>Education & Business Background of Principals:</u></p> <p><u>Principal: Debi C. Baydush</u> Date of Birth: September 30, 1956 Educational Background: Degree: Masters - International Economics School: Johns Hopkins University School of Advanced International Studies (SAIS) Washington, DC Year: 1980</p> <p>Degree: B.A. - International Relations School: University of Pennsylvania Philadelphia, PA Year: 1977</p> <p>Business Background: 1/10 – Present: BSW Wealth Partners, LLC (Owner/Manager) 1/03 - 12/09: Baydush Simon Weaver, LLC (Owner/Manager) 1/94 – 12/02: Baydush Simon Investments, LLC (Owner/Manager) 6/93 - 1/94: Baydush Investments (Owner) 6/88 - 6/93: Debi Baydush, Advisory Services (Owner) 2/86 - 6/88: Paine Webber, Inc. (Stock Broker) 2/83 - 2/86: Merrill Lynch, Inc. (Stock Broker) 5/82 - 2/83: Trust Company of America (Trust Administrator)</p> <p>Professional Designations: Series 65</p> <p><u>Principal: Drew S. Simon</u> Date of Birth: August 12, 1954 Educational Background: Degree: J.D. - Taxation School: Columbus School of Law Catholic University of America Washington, DC Year: 1979</p> <p>Degree: B.S. - Economics/Finance School: Wharton School of Finance University of Pennsylvania Philadelphia, PA Year: 1976</p> <p>Business Background: 1/10 – Present: BSW Wealth Partners, LLC (Owner/Manager) 1/03 - 12/09: Baydush Simon Weaver, LLC (Owner/Manager) 1/94 – 12/02: Baydush Simon Investments, LLC (Owner/Manager) 9/92 - 1/94: Drew Simon, Financial Consulting Services (Owner) 9/91 - 9/92: Stanford Homes & Development, Inc. (Owner) 8/88 - 9/91: St. Germain Construction, Inc. (Owner) 1/86 - 8/88: Summit Planning Group, Inc. (Owner) 4/81 - 1/86: Asset Management Group (Financial Counselor)</p> <p>Professional Designations: Member, District of Columbia Bar (1980); Series 65</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
BSW Wealth Partners, LLC	801-45532	01/29/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC	IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer
Form ADV, Part II Item 6 (Continued)	<p><u>Principal: Benjamin R. Weaver</u> Date of Birth: November 06, 1970 Educational Background: Degree: B.A. Economics School: University of Richmond, VA Year: 1993</p> <p>Business Background: 1/10 – Present: BSW Wealth Partners, LLC (Owner/Manager) 1/03 - 12/09: Baydush Simon Weaver, LLC (Owner/Manager) 1/00 – 12/02: Baydush Simon Investments, LLC (Owner) 8/97 – 12/99: Baydush Simon Investments, LLC (Associate) 4/97 - 8/97: Charles Schwab & Co. (Trading)</p> <p>Professional Designations: CERTIFIED FINANCIAL PLANNER™ (2001)</p> <p><u>Principal: David C. Wolf</u> Date of Birth: May 22, 1974 Educational Background: Degree: J.D. School: School of Law University of Colorado at Boulder Boulder, CO Year: 2001</p> <p>Degree: M.B.A. – Entrepreneurship School: Leeds School of Business University of Colorado at Boulder Boulder, CO Year: 2001</p> <p>Degree: B.A. - Anthropology School: DePauw University Greencastle, IN Year: 1996</p> <p>Business Background: 1/10 – Present: BSW Wealth Partners, LLC (Owner/Manager) 1/07 – 12/09: Baydush Simon Weaver, LLC (Owner/Manager) 4/02 – 1/07: Baydush Simon Investments, LLC (Portfolio Manager & Associate) 9/01 – 3/02: Catarra, Inc. (Venture Financing Manager) 12/00 – 6/01: SupplyServe, LLC (Owner/Manager)</p> <p>Profession Designations: Member, Colorado Bar Association Member, Boulder County Bar Association Series 65</p>

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
BSW Wealth Partners, LLC	801-45532	01/29/2010

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC		IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer	
Form ADV, Part II Item 9 (E)	<p><u>Investments by Principals and Employees:</u> As discussed below, Registrant has implemented an investment policy relative to personal securities transactions. This investment policy is part of Registrant's overall Code of Ethics which serves to establish a standard of business conduct for all of Registrant's Associated Persons that is based upon fundamental principles of openness, integrity, honesty and trust, a copy of which is available upon request.</p> <p>Principals and employees of Advisor may, from time to time, own securities, which are also owned by or recommended to Clients. Advisor's ownership is limited to securities whose price (by virtue of market capitalization and/or average daily trading volume) will not be materially affected by Advisor's ownership or recommendations. In order to avoid either an actual or apparent conflict of interest, Advisor will disclose the names of all such securities to Client upon request.</p>	
Form ADV, Part II Item 10	<p><u>Minimum Dollar Value of Assets Under Management:</u> Advisor's suggested minimum dollar value of assets under management is One Million Dollars (\$1,000,000).</p> <p><u>Minimum Fee Agreement:</u> Advisor's standard minimum fee is Two Thousand Five Hundred Dollars (\$2,500) per quarter.</p>	
Form ADV, Part II Item 12A (1)(2)	<p><u>Discretionary Investment Authority:</u> Advisor manages most Client accounts on a discretionary basis. Client may elect to limit the scope of such authority at any time by providing written notice to Advisor.</p>	
Form ADV, Part II Item 12 (B)	<p><u>Factors Considered in Selecting Brokers:</u> Best execution of Client's transactions, including timeliness, efficiency and price; discounted commission schedule on all Client transactions; range of services available to Client; financial soundness of brokerage firm; and level of customer service available to Client. Any research or similar services provided to Advisor are exclusively for the benefit of Advisor's Clients. In no event will Advisor's Clients pay higher commissions in order to obtain the benefit of such research or services.</p>	

Complete amended pages in full, circle amended items and file with execution page (page 1).

**Schedule F of
Form ADV**

Continuation Sheet for Form ADV Part II

Applicant:	SEC File Number:	Date:
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: BSW Wealth Partners, LLC		IRS Empl. Ident. No.: 84-1447359
Item of Form (identify)	Answer	
Form ADV, Part II Item 12 (B)	<p><u>Proxy Voting Policy:</u> The Registrant does not vote client proxies. Therefore, although the Registrant may provide investment advisory services relative to client investment assets, the Registrant's clients maintain exclusive responsibility for: (1) directing the manner in which proxies solicited by issuers of securities beneficially owned by the client shall be voted, and (2) making all elections relative to any mergers, acquisitions, tender offers, bankruptcy proceedings or other type events pertaining to the client's investment assets. The Registrant and/or the client shall correspondingly instruct each custodian of the assets to forward to the client copies of all proxies and shareholder communications relating to the client's investment assets.</p> <p><u>Liability Protections for Clients:</u> In performing its services, BSW shall not be required to verify any information received from the client or from the client's other professionals, and is expressly authorized to rely thereon. If requested by the client, BSW shall recommend the services of other professionals for implementation purposes. The client is under no obligation to engage the services of any such recommended professional. The client retains absolute discretion over all such implementation decisions and is free to accept or reject any recommendation from BSW. Clients are encouraged to renew BSW's financial planning services on an annual basis for the purpose of reviewing/updating BSW's previous recommendations and/or services. Moreover, each client is advised that it remains his/her/its responsibility to promptly notify BSW if there is ever any change in his/her/its financial situation or investment objectives for the purpose of reviewing/evaluating/revising BSW's previous recommendations and/or services.</p> <p><u>Non-Consent Letters:</u></p> <p>In the normal course of business, BSW may utilize Non-Consent letters to clients. Non-Consent letters may outline, among other things, changes that are occurring with BSW or BSW's services or to confirm that no changes have occurred within a clients situation that may impact investment objectives or financial planning issues. The use of Non-Consent letters may require client response if appropriate.</p>	

Complete amended pages in full, circle amended items and file with execution page (page 1).



NOTICE

BSW Wealth Partners is committed to preserving the confidentiality of your personal and financial information. As part of this commitment, we have adopted this Privacy Policy regarding the collection and disclosure of nonpublic information provided to us by Clients and prospective Clients.

This notice explains our policies and procedures regarding the collection, access and safeguarding of such nonpublic personal information, as required by the Gramm-Leach-Bliley Act of 1999 and Securities and Exchange Commission regulations.

PRIVACY POLICY

Information Collected

As we work together to achieve your financial and investment goals, you will often share with us certain personal and financial information. This information might include, for example, your name, address and telephone number, your email address, information regarding your investment accounts, your banking arrangements, information on family members, and your social security number. We collect this information in order to properly handle your account and provide you with advisory services. We consider protecting your personal and financial information a vital part of our job.

Access to Information

Our personnel have limited access to your personal and financial data based on their job functions. All of our personnel are instructed to comply with confidentiality rules designed to protect your personal and financial information. In addition, all personnel have signed Confidentiality Agreements with our firm. If we determine that our personnel have failed to follow these rules and procedures, they will be subject to disciplinary action.

Use and Disclosure of Information

We may use your personal and financial information in order to provide you with the investment and financial advisory services you request, to improve our services, make our procedures more efficient, implement security measures, and fight fraud. Furthermore, we may share relevant portions of this information with selected outside professionals approved in advance by you. Such outside professionals might include your CPA, attorney, banker, insurance agent, or mortgage company.

We will not sell your personal and financial information to any outside party. We will not sell or disclose our mailing or client lists to other businesses so they can offer and sell you goods and services.

We use custodians, transfer agents and other third party businesses, such as *Charles Schwab*, *LPL Financial*, *TD Waterhouse*, *etc.*, to process initial account set up, investment transactions, redemptions, shares transfers, and other transactions that you may request. We may disclose relevant portions of the personal and financial information that you provide to us with these other businesses to accomplish these functions. We obtain from these businesses confidentiality agreements that prohibit them from selling or improperly using your personal or financial information.

On occasion, we may disclose or report personal information in limited circumstances where we believe in good faith that disclosure is required or permitted under law, for example to cooperate with securities regulations or law enforcement authorities, resolve consumer disputes, or perform credit/authentication checks.

In addition, we may make other disclosures to non-affiliated third parties as permitted by law. For example, we may disclose your non-public personal information to law enforcement agencies or computer security consultants for the purpose of protecting against fraud and unauthorized transactions or in order to maintain the confidentiality of our records. We may also disclose your non-public personal information to our attorneys and accountants. Outside of these exceptions, we will not share your personal information with third parties unless you have specifically asked us to do so.

If you have any questions or concerns regarding these procedures, please contact us at 303.444.9696.