

Current Disclosure Summary

Individual CRD#: [1652239](#)

Individual Name: SNEED, BRADLEY T

Occurrence	Reportable/ Disclosable		Eligible for Public Disclosure	Material Difference in Disclosure	Composite				
					Filing	Event Date	First Reported	Final Resolution	Questions Answered
Criminal (18390)	Yes	Yes		No	U4-AMENDMENT Criminal 01/08/2003 (14667)	09/16/1975	07/06/1999	10/14/1975	14A(1)(b)
Criminal (211026)	Yes	Yes		No	U4-AMENDMENT Criminal 01/31/2000 (19616)	09/06/1975	07/06/1999	10/14/1975	23B(1)(a)
Customer Complaint (1016443)	Yes	Yes		No	U5-AMENDMENT Customer Complaint 07/15/2002 (19616)	05/24/2001	07/15/2002	06/14/2002	7E(1)(c)
					U4-INITIAL Customer Complaint 12/20/2002 (14667)	05/24/2001	06/21/2001	05/24/2001	14I(1)(c)
Termination (1113613)	Yes	Yes		No	U4-INITIAL Termination 12/20/2002 (14667)	02/28/2002	12/20/2002	02/28/2002	14J(1)