

FORM ADV**Uniform Application for Investment Adviser Registration****Part II - Page 1****OMB APPROVAL**

OMB Number: 3235-0049
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Name of Investment Adviser: First Financial Advisors, Inc.						
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code:	Telephone Number:
	2220 West 124th Street	Leawood,	KS	66209		913-338-1030

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients.
The information has not been approved or verified by any government authority.**

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form
are not required to respond unless the form displays a currently valid OMB control number.

Applicant: **First Financial Advisors, Inc.**

SEC File Number:

801- 63971

Date:

2/19/2009

1. A. Advisory Services and Fees. (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

Applicant:

- | | | | |
|---|--|-----|---|
| <input checked="" type="checkbox"/> (1) | Provides investment supervisory services | 100 | % |
| <input type="checkbox"/> (2) | Manages investment advisory accounts not involving investment supervisory services..... | | % |
| <input type="checkbox"/> (3) | Furnishes investment advice through consultations not included in either service described above... | | % |
| <input type="checkbox"/> (4) | Issues periodicals about securities by subscription | | % |
| <input type="checkbox"/> (5) | Issues special reports about securities not included in any service described above..... | | % |
| <input type="checkbox"/> (6) | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities..... | | % |
| <input type="checkbox"/> (7) | On more than an occasional basis, furnishes advice to clients on matters not involving securities... | | % |
| <input type="checkbox"/> (8) | Provides a timing service | | % |
| <input type="checkbox"/> (9) | Furnishes advice about securities in any manner not described above..... | | % |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term? ☐ Yes ☒ No

C. Applicant offers investment advisory services for: (check all that apply)

- | | |
|---|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management | <input type="checkbox"/> (4) Subscription fees |
| <input type="checkbox"/> (2) Hourly charges | <input type="checkbox"/> (5) Commissions |
| <input type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

2. Types of clients - Applicant generally provides investment advice to: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Individuals | <input checked="" type="checkbox"/> E. Trusts, estates, or charitable organizations |
| <input type="checkbox"/> B. Banks or thrift institutions | <input checked="" type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies | <input type="checkbox"/> G. Other (describe on Schedule F) |
| <input type="checkbox"/> D. Pension and profit sharing plans | |

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

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3. Types of Investments. Applicant offers advice on the following: (check those that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> A. Equity securities | <input checked="" type="checkbox"/> H. United States government securities |
| <input checked="" type="checkbox"/> (1) exchange-listed securities | <input type="checkbox"/> I. Options contracts on: |
| <input checked="" type="checkbox"/> (2) securities traded over-the-counter | <input type="checkbox"/> (1) securities |
| <input checked="" type="checkbox"/> (3) Foreign issuers | <input type="checkbox"/> (2) commodities |
| <input checked="" type="checkbox"/> B. Warrants | <input type="checkbox"/> J. Futures contracts on: |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles |
| <input type="checkbox"/> D. Commercial paper | <input type="checkbox"/> (2) intangibles |
| <input checked="" type="checkbox"/> E. Certificates of deposit | <input type="checkbox"/> K. Interests in partnerships investing in: |
| <input checked="" type="checkbox"/> F. Municipal securities | <input type="checkbox"/> (1) real estate |
| <input type="checkbox"/> G. Investment company securities: | <input type="checkbox"/> (2) oil and gas interests |
| <input type="checkbox"/> (1) variable life insurance | <input type="checkbox"/> (3) other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (2) variable annuities | <input type="checkbox"/> L. Other (explain on Schedule F) |
| <input checked="" type="checkbox"/> (3) mutual fund shares | |

4. Methods of Analysis, Sources of Information, and Investment Strategies.

A. Applicant's security analysis methods include: (check those that apply)

- | | |
|--|--|
| (1) <input type="checkbox"/> Charting | (4) <input type="checkbox"/> Cyclical |
| (2) <input type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input type="checkbox"/> Technical | |

B. The main sources of information applicant uses include: (check those that apply)

- | | |
|---|--|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines | (5) <input type="checkbox"/> Timing services |
| (2) <input type="checkbox"/> Inspections of corporate activities | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | Securities and Exchange Commission |
| (4) <input checked="" type="checkbox"/> Corporate rating services | (7) <input checked="" type="checkbox"/> Company press releases |
| | (8) <input type="checkbox"/> Other (explain on Schedule F) |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- | | |
|--|--|
| (1) <input checked="" type="checkbox"/> Long term purchases
(securities held at least a year) | (5) <input type="checkbox"/> Margin transactions |
| (2) <input checked="" type="checkbox"/> Short term purchases
(securities sold within a year) | (6) <input type="checkbox"/> Option writing, including covered options,
uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days) | (7) <input type="checkbox"/> Other (explain on Schedule F) |
| (4) <input type="checkbox"/> Short sales | |

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Applicant: First Financial Advisors, Inc.

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5. Education and Business Standards.

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? Yes ☒ No ☐

(If yes, please describe these standards on Schedule F)

6. Education and Business Background.

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- formal education after high school
- year of birth
- business background for the preceding five years

7. Other Business Activities. (check those that apply)

- ☐ A. Applicant is actively engaged in a business other than giving investment advice.
- ☐ B. Applicant sells products or services other than investment advice to clients.
- ☐ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

8. Other Financial Industry Activities or Affiliations. (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- ☐ C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- | | |
|--|--|
| <input type="checkbox"/> (1) broker-dealer | <input type="checkbox"/> (7) accounting firm |
| <input type="checkbox"/> (2) investment company | <input type="checkbox"/> (8) law firm |
| <input type="checkbox"/> (3) other investment adviser | <input type="checkbox"/> (9) insurance company or agency |
| <input type="checkbox"/> (4) financial planning firm | <input type="checkbox"/> (10) pension consultant |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer |
| <input type="checkbox"/> (6) banking or thrift institution | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.. Yes ☐ No ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)

Applicant: First Financial Advisors, Inc.

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9. Participation or Interest in Client Transactions.

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☐ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sell for itself securities it also recommended to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment advisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other condition for starting or maintaining an account?

Yes No
☒ ☐

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory account, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

First Financial Advisors, Inc. reviews the client's general financial situation initially upon engagement. The assets under management are reviewed quarterly thereafter. More frequent reviews are conducted as and if needed and upon request. On a continuing basis, First Financial Advisors, Inc. keeps appraised of investments in which client's assets are placed. J. Victor Bodney, president of First Financial Advisors, Inc. reviews all accounts and receives no instructions from First Financial Advisors, Inc.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Clients receive written quarterly and annual reports that set forth the assets under management, account performance, capital gains, dividends, income, management fees and other relevant information. If requested and based upon the agreement entered into with the client, First Financial Advisors, Inc. provides more frequent written reports. Clients also receive monthly account statements, confirmations and other pertinent information from the custodian for their accounts.

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12. Investment or Brokerage Discretion.

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- | | Yes | No |
|--|-------------------------------------|-------------------------------------|
| (1) securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (2) amount of securities to be bought or sold? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (3) broker or dealer to be used? | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (4) commission rates paid? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients?

Yes	No
<input checked="" type="checkbox"/>	<input type="checkbox"/>

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

13. Additional Compensation.

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- | | | |
|---|--------------------------|-------------------------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? | Yes | No |
| | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? | Yes | No |
| | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

14. Balance Sheet. Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?.....

Yes	No
<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant: First Financial Advisors, Inc.	SEC File Number: 801-63971	Date: 2/19/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: First Financial Advisors, Inc.		IRS Empl. Ident. No.: 48-1156365								
Item of Form (identify)	Answer									
1-D	<p>First Financial Advisors, Inc. renders investment supervisory services with discretionary authority to invest and reinvest client assets. Upon engagement by a client, First Financial Advisors, Inc. gathers background information from the client through conversations, questionnaires or other means in order to prepare a financial plan. Based on the client's investment objectives and risk tolerance, an asset allocation model is prepared for the client. The asset allocation model is used to develop an investment portfolio, which is designed to achieve the investment returns desired by the client in accordance with the client's objectives and risk tolerance. The client's assets are thereafter invested and monitored by First Financial Advisors, Inc. The advisor will rebalance the portfolio annually or when necessary and provide the client with periodic performance reports. In making its investment decisions, First Financial Advisors, Inc. utilizes a variety of independent research. First Financial Advisors, Inc. pursues such research it deems necessary and appropriate.</p> <p>Annual Fees are charged according to the assets under management, based on the following schedule:</p> <table border="0"> <tr> <td>Assets Under Management</td> <td>Annual Percentage Fee</td> </tr> <tr> <td>\$ 25,000 to 100,000</td> <td>1.00</td> </tr> <tr> <td>100,001 to 500,000</td> <td>.75</td> </tr> <tr> <td>500,001 and greater</td> <td>.50</td> </tr> </table> <p>Fees are calculated as of the last business day of each quarter, and are due and payable on the first business day of the next quarter. Fees are payable quarterly in arrears, after the advisory services have been provided. Fees may be negotiated at the discretion of First Financial Advisors, Inc.</p> <p>After a client enters into an investment advisory agreement with First Financial Advisors, Inc., the agreement may be cancelled upon receipt of written notice from either party. If an account is under management for less than a calendar quarter, the fee will be prorated for the actual period of time the account is under management.</p> <p>The clients' assets that are invested in mutual funds pay a management fee to the investment adviser managing the assets of the fund and may pay 12b-1 fees and other charges and expenses. Those fees, charges and expenses are separate and distinct from the fees paid to First Financial Advisors, Inc.</p>		Assets Under Management	Annual Percentage Fee	\$ 25,000 to 100,000	1.00	100,001 to 500,000	.75	500,001 and greater	.50
Assets Under Management	Annual Percentage Fee									
\$ 25,000 to 100,000	1.00									
100,001 to 500,000	.75									
500,001 and greater	.50									
5	<p>The adviser requires that any individual involved in giving investment advice to clients meet one or more of the following requirements: (1) be a Certified Financial Planner designee (2) be a Chartered Mutual Fund Counselor designee (3) have extensive financial and investment experience as determined by the adviser.</p>									

(Complete amended pages in full, circle amended items and file with execution page (page 1).)

**Schedule F of
FORM ADV
Continuation Sheet for Form ADV Part II**

Applicant:
First Financial Advisors, Inc.

SEC File Number:
801-63971

Date:
2/19/2009

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:
First Financial Advisors, Inc.

IRS Empl. Ident. No.:
48-1156365

Item of Form (identify)	Answer
6	<p>NAME: Jay Victor Bodney DATE OF BIRTH: November 25, 1943 EDUCATION: 1963 Associate in Science, Kansas City Junior College, Kansas City, MO 1965 Bachelor of Science in Education, University of Missouri, Columbia, MO 1969 NASD Series 1 1973 New Jersey Real Estate License 1981 NASD Series 5 1981 NFA Series 3 1988 NASD Series 63 1994 Kansas Insurance License- Life, Accident & Health 1996 Chartered Mutual Fund Counselor Designee BUSINESS BACKGROUND: 9/94 to present- Owner, First Financial Advisors, Inc.</p>
9E	<p>Occasionally, persons associated with First Financial Advisors, Inc. buy or sell securities for themselves that are recommended to their clients. When such transactions occur, they usually involve mutual funds but may include other types of securities. Securities transactions will not be made that benefit First Financial Advisors, Inc. or related persons of First Financial Advisors, Inc. at the expense of any client. First Financial Advisors, Inc. has adopted insider trading policies commensurate with its activities in accordance with the Insider Trading and Securities Fraud Enforcement Act of 1988 and it is available to clients or prospective clients upon request.</p>
10	<p>First Financial Advisors, Inc. imposes a minimum account size of \$25,000; however, the adviser will accept accounts of less than \$25,000 if they are participants in company sponsored retirement plans managed by First Financial Advisors, Inc. or are family members who collectively have assets greater than \$25,000.</p>
12A	<p>First Financial Advisors, Inc. has investment and brokerage discretionary authority relative to its clients' accounts for the determination of the securities to be bought or sold; the amount of securities to be bought or sold; and which broker/dealers will be used to execute trades. First Financial Advisors, Inc. exercises that authority in accordance with clients' investment objectives and risk tolerance.</p>
12B	<p>First Financial Advisors, Inc.'s primary objectives regarding the selection of brokerage firms for clients' accounts are to use brokerage firms that provide a wide range of services and products to investment advisers and their clients and charge competitive commissions and fees. First Financial Advisors, Inc. suggests and places client accounts with TD Ameritrade Institutional Services and may also suggest and use other broker/dealers.</p>

(Complete amended pages in full, circle amended items and file with execution page (page 1).)