

**FORM ADV****Uniform Application for Investment Adviser Registration****Part II - Page 1****OMB APPROVAL**

OMB Number: 3235-0049  
Expires: February 28, 2011  
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Hours per response.....4.07

Name of Investment Adviser: Bond-Tech, Inc.						
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code:	Telephone Number:
	524 Snowglen Drive	Englewood	OH	45322	937	836-3991

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients.  
The information has not been approved or verified by any government authority.**

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form  
are not required to respond unless the form displays a currently valid OMB control number.

Applicant: **Bond-Tech, Inc.**

SEC File Number:

801- **45443**

Date:

**3/13/09**

**1. A. Advisory Services and Fees.** (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

**Applicant:**

- |   |  |             |
|---|--|-------------|
| <input checked="" type="checkbox"/> (1) | Provides investment supervisory services .....   | <u>98</u> % |
| <input type="checkbox"/> (2)            | Manages investment advisory accounts not involving investment supervisory services.....  | _____ %     |
| <input type="checkbox"/> (3)            | Furnishes investment advice through consultations not included in either service described above...  | _____ %     |
| <input type="checkbox"/> (4)            | Issues periodicals about securities by subscription .....  | _____ %     |
| <input type="checkbox"/> (5)            | Issues special reports about securities not included in any service described above.....   | _____ %     |
| <input type="checkbox"/> (6)            | Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities..... | _____ %     |
| <input type="checkbox"/> (7)            | On more than an occasional basis, furnishes advice to clients on matters not involving securities...   | _____ %     |
| <input type="checkbox"/> (8)            | Provides a timing service .....  | _____ %     |
| <input checked="" type="checkbox"/> (9) | Furnishes advice about securities in any manner not described above.....   | <u>2</u> %  |

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

B. Does applicant call any of the services it checked above financial planning or some similar term? . . . . . Yes ☐ No ☒

C. Applicant offers investment advisory services for: (check all that apply)

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> (1) A percentage of assets under management      | <input type="checkbox"/> (4) Subscription fees |
| <input checked="" type="checkbox"/> (2) Hourly charges                               | <input type="checkbox"/> (5) Commissions       |
| <input checked="" type="checkbox"/> (3) Fixed fees (not including subscription fees) | <input type="checkbox"/> (6) Other             |

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

**2. Types of clients** - Applicant generally provides investment advice to: (check those that apply)

- |   |   |
|---|---|
| <input type="checkbox"/> A. Individuals                             | <input type="checkbox"/> E Trusts, estates, or charitable organizations                     |
| <input checked="" type="checkbox"/> B. Banks or thrift institutions | <input type="checkbox"/> F. Corporations or business entities other than those listed above |
| <input type="checkbox"/> C. Investment companies                    | <input checked="" type="checkbox"/> G. Other (describe on Schedule F)                       |
| <input type="checkbox"/> D. Pension and profit sharing plans        |   |

**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)**

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**Part II - Page 3**

Applicant: **Bond-Tech, Inc.**

SEC File Number:  
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Date:  
**3/13/09**

**3. Types of Investments.** Applicant offers advice on the following: (check those that apply)

- |  |  |
|--|--|
| <input type="checkbox"/> A. Equity securities  | <input checked="" type="checkbox"/> H. United States government securities |
| <input type="checkbox"/> (1) exchange-listed securities  | <input checked="" type="checkbox"/> I. Options contracts on:               |
| <input type="checkbox"/> (2) securities traded over-the-counter                                | <input checked="" type="checkbox"/> (1) securities                         |
| <input type="checkbox"/> (3) Foreign issuers   | <input checked="" type="checkbox"/> (2) commodities                        |
| <input type="checkbox"/> B. Warrants   | J. Futures contracts on:   |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input checked="" type="checkbox"/> (1) tangibles                          |
| <input checked="" type="checkbox"/> D. Commercial paper  | <input checked="" type="checkbox"/> (2) intangibles                        |
| <input checked="" type="checkbox"/> E. Certificates of deposit                                 | K. Interests in partnerships investing in:                                 |
| <input checked="" type="checkbox"/> F. Municipal securities                                    | <input type="checkbox"/> (1) real estate                                   |
| G. Investment company securities:  | <input type="checkbox"/> (2) oil and gas interests                         |
| <input type="checkbox"/> (1) variable life insurance   | <input type="checkbox"/> (3) other (explain on Schedule F)                 |
| <input type="checkbox"/> (2) variable annuities  | <input type="checkbox"/> L. Other (explain on Schedule F)                  |
| <input type="checkbox"/> (3) mutual fund shares  |  |

**4. Methods of Analysis, Sources of Information, and Investment Strategies.**

A. Applicant's security analysis methods include: (check those that apply)

- |   |  |
|---|--|
| (1) <input type="checkbox"/> Charting               | (4) <input type="checkbox"/> Cyclical                      |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input type="checkbox"/> Technical              |  |

B. The main sources of information applicant uses include: (check those that apply)

- |   |   |
|---|---|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines    | (5) <input type="checkbox"/> Timing services                                |
| (2) <input type="checkbox"/> Inspections of corporate activities              | (6) <input type="checkbox"/> Annual reports, prospectuses, filings with the |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | Securities and Exchange Commission  |
| (4) <input type="checkbox"/> Corporate rating services                        | (7) <input type="checkbox"/> Company press releases                         |
|   | (8) <input type="checkbox"/> Other (explain on Schedule F)                  |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- |  |   |
|--|---|
| (1) <input checked="" type="checkbox"/> Long term purchases<br>(securities held at least a year) | (5) <input checked="" type="checkbox"/> Margin transactions   |
| (2) <input checked="" type="checkbox"/> Short term purchases<br>(securities sold within a year)  | (6) <input checked="" type="checkbox"/> Option writing, including covered options,<br>uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days)                            | (7) <input type="checkbox"/> Other (explain on Schedule F)  |
| (4) <input checked="" type="checkbox"/> Short sales  |   |

**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)**

**FORM ADV**  
**Part II - Page 4**

Applicant: Bond-Tech, Inc.

SEC File Number:  
801- 45443

Date:  
3/13/09

**5. Education and Business Standards.**

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? ..... Yes No  
☒ ☐

(If yes, please describe these standards on Schedule F)

**6. Education and Business Background.**

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- year of birth
- formal education after high school
- business background for the preceding five years

**7. Other Business Activities.** (check those that apply)

- ☐ A. Applicant is actively engaged in a business other than giving investment advice.
- ☐ B. Applicant sells products or services other than investment advice to clients.
- ☐ C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

**8. Other Financial Industry Activities or Affiliations.** (check those that apply)

- ☐ A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- ☐ B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- ☐ C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
- |  |  |
|--|--|
| <input type="checkbox"/> (1) broker-dealer   | <input type="checkbox"/> (7) accounting firm                                       |
| <input type="checkbox"/> (2) investment company  | <input type="checkbox"/> (8) law firm  |
| <input type="checkbox"/> (3) other investment adviser  | <input type="checkbox"/> (9) insurance company or agency                           |
| <input type="checkbox"/> (4) financial planning firm   | <input type="checkbox"/> (10) pension consultant                                   |
| <input type="checkbox"/> (5) commodity pool operator, commodity trading adviser or futures commission merchant | <input type="checkbox"/> (11) real estate broker or dealer                         |
| <input type="checkbox"/> (6) banking or thrift institution   | <input type="checkbox"/> (12) entity that creates or packages limited partnerships |

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?.. Yes No  
☐ ☒

(If yes, describe on Schedule F the partnerships and what they invest in.)

**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)**

Applicant: Bond-Tech, Inc.

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**9. Participation or Interest in Client Transactions.**

Applicant or a related person: (check those that apply)

- ☐ A. As principal, buys securities for itself from or sells securities it owns to any client.
- ☐ B. As broker or agent effects securities transactions for compensation for any client.
- ☐ C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- ☐ D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- ☒ E. Buys or sell for itself securities it also recommended to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment advisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other condition for starting or maintaining an account?

Yes No  
☒ ☐

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory account, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Quarterly review of each account by at least the primary person assigned to the account. (More frequent review may occur.) Triggering factors are maturities of investments in client portfolio, client communications and major market shifts. Annual detailed review of each account by President of the firm. (May be more frequent than annual) Instructions: prepare reports and/or analyses for clients. Number of accounts per reviewer: no more than can be adequately handled without undue risk to client or to firm.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Reports are prepared and issued to clients at least quarterly. Reports indicate distribution of assets by maturity, type etc. and include market valuations of assets.

Applicant: Bond-Tech, Inc.

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**12. Investment or Brokerage Discretion.**

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- |  |                                     |                          |
|--|-------------------------------------|--------------------------|
|  | Yes                                 | No                       |
| (1) securities to be bought or sold? .....           | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (2) amount of securities to be bought or sold? ..... | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (3) broker or dealer to be used? .....               | <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| (4) commission rates paid? .....                     | <input checked="" type="checkbox"/> | <input type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients? ..... ☒ Yes ☐ No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

**13. Additional Compensation.**

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- |   |                          |                                     |
|---|--------------------------|-------------------------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? ..... | Yes                      | No                                  |
|   | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| B. directly or indirectly compensates any person for client referrals? .....  | Yes                      | No                                  |
|   | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

**14. Balance Sheet.** Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
- requires prepayment of more than \$500 in fees per client and 6 or more months in advance

Has applicant provided a Schedule G balance sheet?..... ☐ Yes ☒ No

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant:  
Bond-Tech, Inc.

SEC File Number:  
801- 45443

Date:  
3/13/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

Bond-Tech, Inc.

IRS Empl. Ident. No.:

34-1381930

Item of Form (identify)	Answer
1 (A) (1)	Standard investment supervisory services; all fees are negotiable; fees charged after services are provided; compensation payable after services are provided / upon completion of work; refunds are not applicable; client termination of investment advisory contract before expirations date by written notice 30 days in advance. Fees charged on either a percentage of assets under management or fixed fee arrangement. Percentage charges: 0% - 0.5% of assets pro-rated for monthly or quarterly billings in arrears. Fixed fee charges are negotiable.
1 (A) (3)	Periodic consulting and review of portfolios. Fees charged are on a fixed fee arrangement. Fixed fees are negotiable.
1 (A) (9)	Advice about securities in manner not described above: consulting and expert witness services for a broad spectrum of clients including governmental entities, security underwriting firms, financial institutions and attorneys; all fees are negotiable; fees charged after services are provided / upon completion of work; refunds are not applicable; client termination with no advance notice required. Fees charged on either hourly rate or fixed fee arrangement. Hourly charges for consulting engagements are up to \$300 per hour. Fixed fees are negotiable.
1 (C) (1)	Percentage charges: 0% to 0.5% of assets pro-rated for monthly or quarterly billings in arrears. Accounts exceeding \$100 million are limited to a maximum of 0.3%.
1 (C) (2)	Hourly charges for consulting engagements up to \$300 per hour.
1 (C) (3)	Fixed Fees: negotiable: currently \$1,000 /quarter minimum.
2	Other types of clients: Governmental entities, law firms and public funds (states, counties and political subdivisions.
5	General standards of education and business experience: minimum B.A. or B.S. degree; experience in finance and/or accounting.
6	<p>Roger Allen Cox  Birth Date 5-19-49  Earlham College, Richmond, Indiana, 1971 B.A. Economics/Math;  Graduate School of Management University of Rochester, Rochester, New York,  1974 M.B.A. Finance  Business background for previous five years - President, Bond-Tech, Inc.</p> <p>Sue Martin Cox  Birth Date 11-2-49  Earlham College, Richmond, Indiana, 1971 B.A. English;  University of Dayton, Dayton, Ohio, 1990 M.A. Reading Education  Business background for previous five years - Teacher, Northmont City Schools</p>

(Complete amended pages in full, circle amended items and file with execution page (page 1)).

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant:  
Bond-Tech, Inc.

SEC File Number:  
801- 45443

Date:  
3/13/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

Bond-Tech, Inc.

IRS Empl. Ident. No.:

34-1381930

Item of Form (identify)	Answer
6 (continued)	Stephen Paul Solomon Birth Date 7-25-51 Wright State University, Dayton, Ohio, 1988 B.S. Accounting/Finance Business background for previous five years - Analyst, Bond-Tech, Inc.
9 (E)	Applicant or related person would buy or sell for itself securities it also recommends to clients only for purposes of investment of excess cash. For any discretionary transactions occurring simultaneously, client would receive any preferred prices. Client is also notified if applicant or related person has any interest in the same securities.
10	Minimum dollar value of asset portfolio of individuals as client; \$ 1 million.
12 (A) (1)	Limitations on authority re: securities bought and sold: securities must conform to eligible types as defined in clients' investment policies
12 (A) (2)	Limitations on authority of amount of securities bought or sold: transactions must not exceed limits as stated in clients' investment policies.
12 (A) (3)	Limitations on authority re: brokers or dealers to be used: broker/dealer must be included on client's list of approved eligible broker/dealers, if applicable, otherwise financial institutions and/or primary government securities dealers and/or NASD registered broker/dealers. Factors considered in selecting brokers: good credit rating/low credit risk; best execution prices: overall service in providing information. To facilitate the objectives of multiple clients and avoid any broker expense to the clients Bond-Tech may execute a cross transaction between clients. Determining fair market pricing will be accomplished by obtaining bids from broker/dealers for the securities being sold.
12 (A) (4)	Factors considered in determining the reasonableness of broker/dealer commission rates: best overall executions prices net of commissions.
12 (B)	Same factors as described in answers to # 12 (A) above.

(Complete amended pages in full, circle amended items and file with execution page (page 1).)



**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant:  
Bond-Tech, Inc.

SEC File Number:  
801- 45443

Date:  
3/13/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

Bond-Tech, Inc.

IRS Empl. Ident. No.:

34-1381930

Item of Form (identify)	Answer
NO REFERENCE	<p><b>Code of Ethics</b></p> <p>The Code of Ethics incorporated in this document reflects the value Bond-Tech places on ethical conduct of its employees and is intended to inform employees both of the corporate intent and to fulfill Bond-Tech's regulatory obligation as a registered investment advisor. All employees are required to comply with federal securities law and avoid activity that could create the appearance of a conflict of interest.</p> <p>All personnel shall file statements with Bond-Tech disclosing any personal or business relationship with a broker/dealer seeking to sell investments to Bond-Tech clients. In addition, employees shall refrain from personal activity that could conflict with the proper execution and management of the investment program for any client or that could impair his/her ability to make impartial decisions. Employees shall also disclose to Bond-Tech's Chief Compliance Officer any personal financial or investment positions in financial institutions and broker/dealers with which they conduct business following the end of each calendar quarter no later than thirty days.</p> <p>Employees are not to accept gifts, entertainment or services of a material amount from any individual or entity, including, but not limited to clients, broker/dealers, or other entities that could conflict with the proper execution and management of the investment program for any client or that could impair his/her ability to make impartial decisions. This provision is not to prohibit customary business lunches, conferences, meetings, or traditional holiday gifts of nominal value. Should a gift be received of material value, whether unsolicited or not, the gift will either be returned, or donated to a charitable organization and a written report forwarded to the Chief Compliance Officer.</p> <p><b>Protection of Material Non-public Information</b></p> <p>Employees becoming informed of material non-public information must refrain from distributing such information to any person or entity unless necessary to the furtherance of the employees work assignments, and then only with authorization from the entity involved in the material non-public information. Employees are specifically precluded from executing security transactions in any entity in which he/she has such material non-public information.</p> <p><b>Quarterly Transaction Reports and Annual Holdings Report</b></p> <p>Every employee shall file no later than thirty days following the end of each quarter a statement of personal security transactions in traded securities other than 1) US Government obligations (e.g., T-Bills); 2) Money market instruments - bankers' acceptances, bank certificates of deposit, commercial paper, and other high quality short-term debt instruments; 3) Money Market Funds; 4) Mutual funds and; 5) Unit investment trusts ("UIT"). The CCO will review each report submitted. No later than thirty days following the end of each year a statement of personal security holdings in traded securities, other than the exempted securities listed above, shall be filed by each employee and reviewed by the CCO.</p>

(Complete amended pages in full, circle amended items and file with execution page (page 1)).

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant:  
Bond-Tech, Inc.

SEC File Number:  
801- 45443

Date:  
3/13/09

(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV:

Bond-Tech, Inc.

IRS Empl. Ident. No.:

34-1381930

Item of Form (identify)	Answer
NO REFERENCE	<p><b>Code of Ethics (continued)</b></p> <p><b>Personal Trading Procedures</b></p> <p>No personal trading procedures are currently required since Bond-Tech is not in the position to acquire material non-public information and does not participate in the underwriting of securities.</p> <p><b>Initial Public Offerings (IPO) and Private Placements</b></p> <p>Employees are not permitted to participate in any IPO or private placement in which the individual has had access to material non-public information. All transactions in initial public offerings and limited offerings must be requested in writing and pre-cleared by the Chief Compliance Officer.</p> <p><b>Reporting of Violations of the Ethics</b></p> <p>Violations of the Code of Ethics and Compliance Procedures and Policies are to be reported promptly to the CCO. The CCO will maintain a file of all violations, review the violation with each effected employee, and determine what measures need to be taken to prevent a recurrence of the violation.</p> <p><b>Acknowledgement of Receipt of Compliance Procedure and Policies Including Code of Ethics</b></p> <p>Each employee must acknowledge receipt of the Code of Ethics and Compliance Procedures and Policies, and any amendments that may be made to these documents.</p> <p><b>Enforcement of Code of Ethics</b></p> <p>The CCO will review each employee's personal securities transaction and holding report to assure compliance with current policies. The CCO will also maintain records of violations of the code and actions taken as a result of any violations.</p>

(Complete amended pages in full, circle amended items and file with execution page (page 1).)