# **Regulation A Data Sets**

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#### **1 Overview**

The Regulation A Data Sets provides information from exemption from registration for public offerings extracted from Regulation A XML submissions in a flat file format to assist users in more easily constructing the data for analysis. These data includes any amendments to those submissions. The data has been automatically and directly taken from submissions created by the registrants and provided as-filed. The data will be published quarterly. Data contained in documents filed after 5:30pm EST on the last business day of the quarter will be included in the next quarterly posting.

The following table lists the submission types for Regulation A that are included in the data sets. The data sets do not included unstructured Regulation A filings or Draft Offering Statements made public.

Regulation A Submission Types

SUBMISSION TYPE	DESCRIPTION
1-A	Offering statement under Regulation A
1-A/A	Pre-qualification amendment for offering statement under Regulation A
1-A/POS	Post-qualification amendment to a 1-A offering statement
1-К	Annual Report Pursuant to Regulation A
1-К/А	Amendment to Annual Report Pursuant to Regulation A
1-Z	Exit Report under Regulation A
1-Z/A	Amendment to Exit Report under Regulation A

DISCLAIMER: The Regulation A Data Sets contains information derived from structured data filed with the Commission by individual registrants as well as Commission-generated filing identifiers. Because the data is derived from information provided by individual registrants, we cannot guarantee the accuracy of the data. In addition, it is possible inaccuracies or other errors were introduced into the data during the process of extracting the data and compiling. Finally, the data does not reflect all available information, including certain metadata associated with Commission filings. The data is intended to assist the public in analyzing data contained in Commission filings; however, it is not a substitute for such filings. Investors should review the full Commission filings before making any investment decision.

The data extracted from the Regulation A XML submissions is organized into 15 tab-delimited, text format files as follows:

- REG\_A\_SUBMISSION
- REG\_A\_ISSUER\_INFO
- REG\_A\_EMPLOYEES\_INFO
- REG\_A\_EQUITIES\_DEBT
- REG\_A\_SECURITY\_OFFERED
- REG\_A\_SUMMARY\_INFO
- REG\_A\_JURISDICTION
- REG\_A\_SECURITY\_ISSUED
- REG\_A\_ITEM\_KZ
- REG\_A\_ITEM\_INFO\_K
- REG\_A\_ITEM\_SECURITIES\_K
- REG\_A\_SUMMARY\_INFO\_KZ
- REG\_A\_SUMMARY\_NAMES\_KZ

- REG\_A\_CERT\_SUSPENSION\_Z
- REG\_A\_SIGNATURE\_Z

# 2 Scope

The Regulation A Data Sets consists of XML data submitted from June 2015 through current period.

The Regulation A Data Sets do not include data from attachments or other optional information that may have been included in a submission.

Note: The EDGAR Reg A XML Technical Specification provides additional information on Regulation A submissions.

# **3** Organization

Note that the data includes Regulation A information "as filed" in EDGAR document submissions including amendments of prior submissions. Data in this submitted form may contain redundancies, inconsistencies, and discrepancies relative to prior submissions and other publication formats. There are 15 data files. Each quarterly data is accompanied by a metadata file conforming to the W3C specification for tabular data (https://www.w3.org/TR/2015/REC-tabular-data-model-20151217/) that encodes the following information about the data files and their relationships to each other.

- 1. **REG\_A\_SUBMISSION** identifies the EDGAR Regulation A XML submissions and filer information, with each row having the primary key **ACCESSION\_NUMBER**.
- 2. **REG\_A\_ISSUER\_INFO** data is the issuer information for each submission, with each row having the primary key **ACCESSION\_NUMBER**.
- 3. REG\_A\_EMPLOYEES\_INFO data is the issuer information relating to incorporation, jurisdiction and employees, with each row having the primary key ACCESSION\_NUMBER and EMP\_ID.
- 4. **REG\_A\_EQUITIES\_DEBT** data provides the outstanding securities information for common equity, preferred equity, and debt securities, with each row having the primary key **ACCESSION\_NUMBER**, and **ED\_ID**.
- REG\_A\_SECURITY\_OFFERED data is the types of securities offered in the offering statement information, with each row having the primary key ACCESSION\_NUMBER and SO\_ID.
- REG\_A\_SUMMARY\_INFO data provides Form 1-A information regarding the offering and current or proposed offerings, with each row having the primary key ACCESSION\_NUMBER.
- 7. **REG\_A\_JURISDICTION** data is the jurisdiction information, with each row having the primary key **ACCESSION\_NUMBER, JID** and **TYPE.**
- 8. **REG\_A\_SECURITY\_ISSUED** data contains unregistered securities issued or sold within one year information related to the submissions, with each row having the primary key **ACCESSION\_NUMBER** and **SECURITY\_ID**

- 9. **REG\_A\_ITEM\_KZ** data is the Form 1-K Tab 1 Notification Information and Form 1-Z Preliminary Information, with each row having the primary key **ACCESSION\_NUMBER.**
- REG\_A\_ITEM\_INFO\_K data is the Tab 1 Notification disclosures for each issuer on Form 1-K submission, with each row having the primary key ACCESSION\_NUMBER and INFO\_ID.
- 11. **REG\_A\_ITEM\_SECURITIES\_K** data is the title of each class of securities issued pursuant to Regulation A, with each row having the primary key **ACCESSION\_NUMBER and SI\_ID.**
- 12. **REG\_A\_SUMMARY\_INFO\_KZ** data provides Form 1-K, 1-Z information regarding the offering and proceeds, with each row having the primary key **ACCESSION\_NUMBER** and **SINFO\_ID.**
- 13. REG\_A\_SUMMARY\_NAMES\_KZ data is the Form 1-K, 1-Z service provider types and names information, with each row having the primary key ACCESSION\_NUMBER, NID, SINFO\_ID and NAME\_TYPE.
- 14. **REG\_A\_CERT\_SUSPENSION\_Z** data contains the certification of suspension of duty to file reports information, with each row having the primary key **ACCESSION\_NUMBER** and **CS\_ID**.
- 15. **REG\_A\_SIGNATURE\_Z** data is the signature information on Form 1-Z, with each row having the primary key **ACCESSION\_NUMBER** and **SIGN\_ID**.

# 4 File Formats

Each of the fifteen data files provide text format, tab delimited, utf-8 encoding.

# 5 File Header Definitions

The fields in the figures below (figures 1 - 15) provide the following information: field name, description, data format, maximum field size, an indication of whether or not the field may be NULL (yes or no), and key.

The Key field indicates whether the field is part of a unique index on the data. There are two possible values for this field:

- "\*" Indicates the field is part of the unique key for the row.
- Empty (nothing in field) the field is not part of the unique compound key.

5.1 REG\_A\_SUBMISSION Figure 1. Fields in the REG\_A\_SUBMISSION\_A data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
SUBMISSIONTYPE	SUBMISSION TYPE: 1-A, 1-A/A, 1-A POS, 1-K, 1-K/A, 1-Z, 1- Z/A.	ALPHANUMERIC	15	No	
ISSUERCIK	CENTRAL INDEX KEY (CIK) OF ISSUER SUBMITTING THE FILING.	ALPHANUMERIC	10	No	
DOSFILENUMBER	FILE NUMBER FROM DRAFT OFFERING STATEMENT SUBMISSION.	ALPHANUMERIC	17	Yes	
OFFERINGFILENUMBER	FILE NUMBER FROM OFFERING SUBMISSION	ALPHANUMERIC	17	Yes	
SHELLCOMPANYFLAG	YES, IF SHELL COMPANY.	ALPHANUMERIC	1	Yes	
SUCCESSORFILINGFLAG	YES, IF SUCCESSOR FILING.	ALPHANUMERIC	1	Yes	
REPORTINGPERIOD	REPORTING PERIOD FOR THE FORM 1-K and 1-K/A SUBMISSION.	DATE (DD- MMM-YY)	8		
FILING_DATE	DATE FILED WITH THE COMMISSION SOURCED FROM EDGAR.	DATE (DD- MMM-YY))	8	Yes	

FILE NUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILENUMBER FILE NUMBER COMMISSION SOURCED FR EDGAR.	THE ALPHANUMERIC 17	Yes	
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Note: To access the complete submission files for a given filing, please see the Commission EDGAR website. The Commission website folder

https://www.sec.gov/Archives/edgar/data/{cik}/{accession}/ will always contain all the data sets for a given submission. To assemble the folder address to any filing referenced in the REG\_A\_SUBMISSION data set, simply substitute {cik} with the ISSUERCIK field and replace {accession} with the ACCESSION\_NUMBER field (after removing the dash character).

# 5.2 REG\_A\_ISSUER\_INFO

#### Figure 2. Fields in the REG\_A\_ISSUER\_INFO data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
STREET1	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STREET 1.	ALPHANUMERIC	40	No	
STREET2	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STREET 2.	ALPHANUMERIC	40	Yes	
CITY	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: CITY.	ALPHANUMERIC	30	No	
STATEORCOUNTRY	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STATE OR COUNTRY CODE.	ALPHANUMERIC	2	No	

ZIPCODE	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: ZIP/POSTAL CODE.	ALPHANUMERIC	10	No	
PHONENUMBER	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: PHONE NUMBER.	ALPHANUMERIC	25	No	
CONNECTIONNAME	CONNECTION NAME FOR SEC STAFF TO CONTACT FOR PRE- QUALIFICATION REVIEW OF OFFERING STATEMENT.	ALPHANUMERIC	30	No	
INDUSTRYGROUP	INDUSTRY GROUP: BANKING, INSURANCE, OR OTHER.	ALPHANUMERIC	15	No	
CASHEQUIVALENTS	CASH AND CASH EQUIVALENTS.	FLOAT	13.2	No	
INVESTMENTSECURITIES	INVESTMENT SECURITIES.	FLOAT	13.2	Yes	
TOTALINVESTMENTS	TOTAL INVESTMENTS.	FLOAT	13.2	Yes	
ACCOUNTSRECEIVABLE	ACCOUNTS AND NOTES RECEIVABLE.	FLOAT	13.2	Yes	
LOANS	LOANS	FLOAT	13.2	Yes	
PROPERTYPLANTEQUIPMENT	PROPERTY, PLANT AND EQUIPMENT (PP&E).	FLOAT	13.2	Yes	
PROPERTYANDEQUIPMENT	PROPERTY AND EQUIPMENT.	FLOAT	13.2	Yes	
TOTALASSETS	TOTAL ASSETS.	FLOAT	13.2	No	
ACCOUNTSPAYABLE	ACCOUNTS PAYABLE AND ACCRUED LIABILITIES.	FLOAT	13.2	No	
POLICYLIABILITIESANDACCRUALS	POLICY LIABILITIES AND ACCRUALS.	FLOAT	13.2	Yes	

DEPOSITS	DEPOSITS.	FLOAT	13.2	Yes	
LONGTERMDEBT	LONG TERM DEBT.	FLOAT	13.2	No	
TOTALLIABILITIES	TOTAL LIABILITIES.	FLOAT	13.2	No	
TOTALSTOCKHOLDEREQUITY	TOTAL STOCKHOLDERS' EQUITY.	FLOAT	13.2	No	
TOTALLIABILITIESANDEQUITY	TOTAL LIABILITIES AND EQUITY.	FLOAT	13.2	No	
TOTALREVENUES	TOTAL REVENUES.	FLOAT	13.2	Yes	
TOTALINTERESTINCOME	TOTAL INTEREST INCOME.	FLOAT	13.2	Yes	
COSTANDEXPENSESAPPLTOREVENUES	COST AND EXPENSES APPLICABLE TO REVENUES.	FLOAT	13.2	Yes	
TOTALINTERESTEXPENSES	TOTAL INTEREST EXPENSES.	FLOAT	13.2	Yes	
DEPRECIATIONANDAMORTIZATION	DEPRECIATION AND AMORTIZATION	FLOAT	13.2	No	
NETINCOME	NET INCOME.	FLOAT	13.2	No	
EARNINGSPERSHAREBASIC	EARNINGS PER SHARE - BASIC.	FLOAT	13.2	No	
EARNINGSPERSHAREDILUTED	EARNINGS PER SHARE - DILUTED.	FLOAT	13.2	No	
NAMEAUDITOR	NAME OF AUDITOR.	ALPHANUMERIC	150	Yes	
CERTIFYIFTRUE	CERTIFY IF THE STATEMENTS UNDER ITEM 2: ISSUER ELIGIBILITY ARE TRUE.	ALPHANUMERIC	5	No	
CERTIFYIFNOTDISQUALIFIED	CERTIFY THAT EACH PERSON DESCRIBER IN RULE 262 OF REGULATION A IS EITHER NOT DISQUALIFIED UNDER THAT RULE OR IS DISQUALIFEID BUT HAS RECEIVED	ALPHANUMERIC	5	No	

	A WAIVER OF SUCH DISQUALIFICATION UNDER ITEM 3: APPLICATION OF RULE 262 IS TRUE.				
CERTIFYIFBADACTOR	CERTIFY IF "BAD ACTOR" DISCLOSURE UNDER RULE 262(d) IS PROVIDED IN PART II OF THE OFFERING STATEMENT UNDER ITEM 3: APPLLICATION OF RULE 262 IS TRUE.	ALPHANUMERIC	5	Yes	
JURISDICTIONSOFSECOFFEREDNONE	THE JURISDICTIONS IN WHICH THE SECURITIES ARE TO BE OFFERED BY UNDERWRITERS, DEALERS OR SALES PERSONS - NONE.	ALPHANUMERIC	5	Yes	
JURISDICTIONSOFSECOFFEREDSAME	THE JURISDICTIONS IN WHICH THE SECURITIES ARE TO BE OFFERED BY UNDERWRITERS, DEALERS OR SALES PERSONS – SAME AS THE JURISDICTIONS IN WHICH THE ISSUER INTENDS TO OFFER THE SECURITIES.	ALPHANUMERIC	5	Yes	
IFUNREGISTEREDNONE	INDICATE THAT NO UNREGISTERED SECURITIES ISSUED OR SOLD WITHIN ONE YEAR.	ALPHANUMERIC	5	Yes	
SECURITIESACTEXCEMPTION	SECURITIES ACT EXCEMPTION RELIED UPON AND FACTS RELIED UPON FOR EXEMPTION.	ALPHANUMERIC	255	Yes	

# 5.3 REG\_A\_EMPLOYEES\_INFO

#### Figure 3. Fields in the REG\_A\_EMPLOYEES\_INFO data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20- CHARACTER STRING FORMED FROM THE 18- DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
EMP_ID	EMPLOYEE INFO INDEX KEY.	NUMERIC	3	No	*
ISSUERNAME	NAME OF ISSUER	ALPHANUMERIC	150	No	
JURISDICTIONORGANIZATION	JURISDICTION IN WHICH THE BUSINESS IS REGISTERED.	ALPHANUMERIC	2	No	
YEARINCORPORATION	YEAR OF INCORPORATION.	ALPHANUMERIC	4	No	
СІК	CENTRAL INDEX KEY (CIK) OF PRIMARY REGISTRANT.	ALPHANUMERIC	10	No	
SICCODE	STANDARD INDUSTRIAL CLASSIFICATION CODE.	NUMERIC	4	No	
FULLTIMEEMPLOYEES	NUMBER OF FULL TIME EMPLOYEES	NUMERIC	38	No	
PARTTIMEEMPLOYEES	NUMBER OF PART TIME EMPLOYEES.	NUMERIC	38	No	

# 5.4 **REG\_A\_EQUITIES\_DEBT**

#### Figure 4. Fields in the REG\_A\_EQUITIES\_DEBT data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
ED_ID	THE EQUITY OR DEBT SECURITY ID.	NUMERIC	3	No	*
ТҮРЕ	TYPES OF SECURITIES: COMMON EQUITY, PREFERRED EQUITY, OR DEBT SECURITIES.	ALPHANUMERIC	15	No	
NAME	NAME FOR CLASS OF SECURITIES.	ALPHANUMERIC	30	Yes	
OUTSTANDING	UNITS OUTSTANDING.	NUMERIC	38	No	
CUSIP	CUSIP Number.	ALPHANUMERIC	10	Yes	
PUBLICLYTRADED	PUBLICLY TRADED: TRADING CENTER OR QUOTATION MEDIUM.	ALPHANUMERIC	50	Yes	

# 5.5 REG\_A\_SECURITIES\_OFFERED

#### Figure 5. Fields in the REG\_A\_SECURITIES\_OFFERED data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*

	TO EACH EDGAR SUBMISSION.					
SO_ID	SECURITIES OFFERED INDEX KEY	ALPHANUMERIC	3	No	*	:
SECURITIESOFFEREDTYPE	TYPES OF SECURITIES OFFERED IN THE OFFERING STATEMENT: EQUITY (COMMON OR PREFERRED STOCK); DEBT; OPTION, WARRANT OR OTHER RIGHT TO ACQUIRE ANOTHER SECURITY; SECURITY TO BE ACQUIRED UPON EXERCISE OF OPTION, WARRANT OR OTHER RIGHT TO ACQUIRE SECURITY; TENANT- IN-COMMON SECURITIES; OTHER.	ALPHANUMERIC	100	No		
SECURITIESOFFEREDOTHERDESC	SECURITIES OFFERED OTHER DESCRIPTION.	ALPHANUMERIC	255	Yes		

# 5.6 REG\_A\_SUMMARY\_INFO

## Figure 6. Fields in the REG\_A\_SUMMARY\_INFO data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC	20	No	*
INDICATETIER1TIER2OFFERING	TRUE, IF INDICATED TIER1 TIER2 OFFERING.	ALPHANUMERIC	5	No	*
FINANCIALSTATEMENTAUDITSTATUS	FINANCIAL STATEMENT AUDIT STATUS.	ALPHANUMERIC	15	Yes	
OFFERDELAYEDCONTINUOUSFLAG	OFFERING ON A DELAYED OR CONTINUOUS	ALPHANUMERIC	1	Yes	

	BASIS.				
OFFERINGYEARFLAG	OFFERING TO LAST MORE THAN A YEAR.	ALPHANUMERIC	1	Yes	
OFFERINGAFTERQUALIFFLAG	ISSUER INTENDS TO PRICE OFFERING AFTER QUALIFICATION.	ALPHANUMERIC	1	Yes	
OFFERINGBESTEFFORTSFLAG	ISSUER CONDUCTING A BEST EFFORTS OFFERING.	ALPHANUMERIC	1	Yes	
SOLICITATIONPROPOSEDOFFERFLAG	ISSUER USED SOLICITATION OF INTEREST COMMUNICATIONS IN CONNECTION WITH THE PROPOSED OFFERING.	ALPHANUMERIC	1	Yes	
RESALESECURITIESAFFILIATESFLAG	PROPOSED OFFERING INVOLVES THE RESALE SECURITIES BY AFFILIATES OF THE ISSUER.	ALPHANUMERIC	1	Yes	
SECURITIESOFFERED	NUMBER OF SECURITIES OFFERED.	NUMERIC	38	Yes	
OUTSTANDINGSECURITIES	NUMBER OF SECURITIES OF THAT CLASS OUTSTANDING.	NUMERIC	38	Yes	
PRICEPERSECURITY	PRICE PER SECURITY.	FLOAT	13.2	Yes	
ISSUERAGGREGATEOFFERING	PORTION OF AGGREGATE OFFERING PRICE ATTRIBUTABLE TO THE SECURITIES BEING OFFERED ON BEHALF OF THE ISSUER.	FLOAT	13.2	Yes	
SECURITYHOLDERAGGEGATE	PORTION OF AGGREGATE OFFERING PRICE ATTRIBUTABLE TO THE SECURITIES BEING OFFERED ON BEHALF OF SELLING SECURITYHOLDERS.	FLOAT	13.2	Yes	
QUALIFICATIONOFFERINGAGGREGATE	PORTION OF AGGREGATE OFFERING PRICE ATTRIBUTABLE TO ALL THE	FLOAT	13.2	Yes	

	SECURITIES OF THE ISSUER SOLD PURSUANT TO A QUALIFIED OFFERING STATEMENT WITHIN 12 MONTHS BEFORE QUALIFICATION ON OF THIS OFFERING.				
CONCURRENTOFFERINGAGGREGATE	ESTIMATED PORTION OF AGGREGATE SALES ATTRIBUTABLE TO SECURITIES THAT MAY BE SOLD PURSUANT TO ANY OTHER QUALIFIED OFFERING STATEMENT CONCURRENTLY WITH SECURITIES BEING SOLD UNDER THIS OFFERING STATEMENT.	FLOAT	13.2	Yes	
TOTALAGGREGATEOFFERING	SUM OF ARREGATE OFFERING PRICES.	FLOAT	13.2	Yes	
UNDERWRITERSERVICEPROVIDERNAME	UNDERWRITERS SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
UNDERWRITERSFEES	UNDERWRITERS FEES.	FLOAT	13.2	Yes	
SALECOMMISSIONSSVCPROVIDERNAME	SALE COMMISSIONS SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
SALECOMMISSIONSSVCEROVIDERFEE	SALE COMMISSIONS FEES.	FLOAT	13.2	Yes	
FINDERSFEESSERVICEPROVIDERNAME	FINDERS' FEES SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
FINDERFEESFEE	FINDERS' FEES.	FLOAT	13.2	Yes	
AUDITORSERVICEPROVIDERNAME	AUDITOR SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
AUDITORFEES	AUDITOR FEES.	FLOAT	13.2	Yes	
LEGALSERVICEPROVIDERNAME	LEGAL SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
LEGALFEES	LEGAL FEES.	FLOAT	13.2	Yes	
PROMOTERSSERVICEPROVIDERNAME	PROMOTERS SERVICE PROVIDER NAME.	ALPHANUMERIC	150	Yes	
PROMOTERSFEES	PROMOTERS FEES	FLOAT	13.2	Yes	
BLUESKYSERVICEPROVIDERNAME	BLUE SKY SERVICE	ALPHANUMERIC	150	Yes	

	PROVIDER NAME.				
BLUESKYFEES	BLUE SKY FEES.	FLOAT	13.2	Yes	
BROKERDEALERCRDNUMBER	CRD NUMBER OF	ALPHANUMERIC	25	Yes	
	ANY BROKER OR				
	DEALER LISTED.				
ESTIMATEDNETAMOUNT	ESTIMATED NET PROCEEDS TO THE ISSUER.	FLOAT	13.2	Yes	
CLARIFICATIONRESPONSES	CLARIFICATION OF RESPONSES	ALPHANUMERIC	255	Yes	

# 5.7 **REG\_A\_JURISDICTION**

# Figure 7. Fields in the REG\_A\_JURISDICTION data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
DIC	JURISDICTION INDEX KEY.	NUMERIC	3	No	*
ТҮРЕ	TYPE OF JURISDICTION. ISSSUE: JURISDICTIONS IN WHICH THE ISSUER INTENDS TO OFFER THE SECURITIES. DEALER: JURISDICTIONS IN WHICH THE SECURITIES ARE TO BE OFFERED BY UNDERWRITERS, DEALERS OR SALESPERSONS.	ALPHANUMERIC	10	No	*
ISSUEJURISDICTIONOFFERING	STATE OR COUNTRY CODE OF JURISDICTIONS IN WHICH THE SECURITIES ARE TO BE OFFERED.	ALPHANUMERIC	2	No	

# 5.8 REG\_A\_SECURITIES\_ISSUED

Figure 8. Fields in the REG\_A\_SECURITIES\_ISSUED data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20- CHARACTER STRING FORMED FROM THE 18- DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
SECURITY_ID	SECURITIES ISSUED INDEX KEY.	NUMERIC	3	No	*
SECURITIESISSUERNAME	NAME OF ISSUER OF UNREGISTERED SECURITIES THAT WERE ISSUED IN LAST YEAR.	ALPHANUMERIC	150	Yes	
SECURITIESISSUERTITLE	TITLE OF UNREGISTERED SECURITIES.	ALPHANUMERIC	255	Yes	
SECURITIESISSUEDTOTALAMOUNT	TOTAL AMOUNT OF SECURITIES ISSUED.	NUMERIC	38	Yes	
SECURITIESPRINCIPALHOLDERAMT	AMOUNT OF SECURITIES SOLD BY OR FOR THE DIRECTOR, OFFICER, PROMOTER OR PRINCIPAL SECURITY HOLDER OR UNDERWRITER.	NUMERIC	38	Yes	
SECURITIESISSUEDAGGREGATEAMT	ARREGATE CONSIDERATION FOR WHICH THE	ALPHANUMERIC	2000	Yes	

	SECURITIES WERE ISSUED AND THE BASIS FOR COMPUTING THE AMOUNT.				
AGGREGATECONSIDERATIONBASIS	ARREGATE CONSIDERATION FOR WHICH THE SECURITIES WERE ISSUED TO THE DIRECTOR, OFFICER, PROMOTER OR PRINCIPAL SECEURITY HOLDER OR UNDERWRITER AND THE BASIS FOR COMPUTING THE AMOUNT.	ALPHANUMERIC	2000	Yes	

# 5.9 REG\_A\_ITEM\_KZ

#### Figure 9. Fields in the REG\_A\_ITEM\_K data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn-nn- nnnnnn)	20	No	*
ISSUERNAME	ISSUER NAME FROM FORM 1-Z.	NUMERIC	150	Yes	
FORMINDICATION	FORM INDICATION FROM FORM 1-K.	ALPHANUMERIC	50	Yes	
FISCALYEAREND	FISCAL YEAR END FROM FORM 1-K.	DATE (DD-MMM- YY)		Yes	
STREET1	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STREET 1.	ALPHANUMERIC	40	Yes	
STREET2	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STREET 2.	ALPHANUMERIC	40	Yes	
CITY	ADDRESS OF PRINCIPAL	ALPHANUMERIC	30	Yes	

	EXECUTIVE OFFICE: CITY.				
STATEORCOUNTRY	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: STATE OR COUNTRY CODE.	ALPHANUMERIC	2	Yes	
ZIPCODE	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: ZIP/POSTAL CODE.	ALPHANUMERIC	10	Yes	
PHONENUMBER	ADDRESS OF PRINCIPAL EXECUTIVE OFFICE: PHONE NUMBER.	ALPHANUMERIC	25	Yes	
REGARULE257	IF SUMMARY INFORMATION WAS PREVIOSULY REPORTED IN A MANNGER PERMISSIBLE UNDER RULE 257, THEN TRUE.	ALPHANUMERIC	5	Yes	

# 5.10 REG\_A\_ITEM\_INFO\_K

## Figure 10. Fields in the REG\_A\_ITEM\_INFO\_K data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
INFO_ID	ITEM INFO INDEX KEY.	NUMERIC	3	No	*
ISSUERNAME	ISSUER NAME.	ALPHANUMERIC	150	Yes	
СІК	CENTRAL INDEX KEY (CIK) OF ISSUER INCLUDED IN THE FILING.	ALPHANUMERIC	10	Yes	
JURISDICTIONORGANIZATION	JURISDICTION IN WHICH THE BUSINESS IS REGISTERED.	ALPHANUMERIC	2	Yes	

# 5.11 REG\_A\_ITEM\_SECURITIES\_K

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnnnnnn nnnnn)	20	No	*
SI_ID	SECURITIES ITEM INDEX KEY.	NUMERIC	3	No	*
ISSUEDSECURITIESTITLE	ISSUED SECURITIES TITLE.	ALPHANUMERIC	255	No	

#### Figure 11. Fields in the REG\_A\_ITEM\_SECURITIES\_K data file

## 5.12 REG\_A\_SUMMARY\_INFO\_KZ

# Figure 12. Fields in the REG\_A\_SUMMARY\_INFO\_K data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnn)	20	No	*
SINFO_ID	SUMMARY INFORMATION INDEX KEY.	NUMERIC	3	No	*
COMMISSIONFILENUMBER	COMMISSION FILE NUMBER OF THE OFFERING STATEMENT.	ALPHANUMERIC	17	Yes	
OFFERINGQUALIFICATIONDAT E	DATE OF QUALIFICATION OF OFFERING STATEMENT.	DATE (DD-MMM- YY)		Yes	
OFFERINGCOMMENCEDATE	DATE OF COMMENCEMENT OF OFFERING.	DATE (DD-MMM- YY)		Yes	
QUALIFIEDSECURITIESSOLD	FORM 1-K AND FORM 1-Z AMOUNT OF SECURITIES QUALIFIED TO BE SOLD IN THIS OFFERING.	NUMERIC	38	Yes	
OFFERINGSECURITIESSOLD	FORM 1-K AND FORM 1-Z AMOUNT OF SECURITIES SOLD IN THIS OFFERING.	NUMERIC	38	Yes	
PORTIONSECURITIESSOLDISS	FORM 1-Z PORTION OF	FLOAT	13.2	Yes	

UER	AGGREGATE SALES ATTRIBUTABLE TO SECURITIES SOLD ON BEHALF OF THE ISSUER.				
PORTIONSOLDSECURITYHOLD ERS	FORM 1-Z PORTION OF AGGREGATE SALES ATTRIBUTABLE TO SECURITIES SOLD ON BEHALF OF SELLING SECURITYHOLDERS.	FLOAT	13.2	Yes	
PRICEPERSECURITY	PRICE PER SECURITY.	FLOAT	13.2	Yes	
AGGREGRATEOFFERINGPRICE	FORM 1-K PORTION OF AGGREGATE SALES ATTRIBUTABLE TO SECURTIES SOLD ON BEHALF OF THE ISSUER.	FLOAT	13.2	Yes	
AGGREGRATEOFFERINGPRICE HOLDERS	FORM 1-K PORTION OFAGGREGATE SALES ATTRIBUTABLE TO SECURITIES SOLD ON BEHALF OF SELLING SECURITYHOLDERS.	FLOAT	13.2	Yes	
UNDERWRITERFEES	UNDERWRITERS FEES.	FLOAT	13.2	Yes	
SALESCOMMISSIONSFEE	SALES COMMISSIONS FEE.	FLOAT	13.2	Yes	
FINDERSFEES	FINDERS' FEES.	FLOAT	13.2	Yes	
AUDITORFEES	AUDITOR FEES.	FLOAT	13.2	Yes	
LEGALFEES	LEGAL FEES.	FLOAT	13.2	Yes	
PROMOTERSFEES	PROMOTERS FEES.	FLOAT	13.2	Yes	
BLUESKYFEES	BLUE SKY FEES.	FLOAT	13.2	Yes	
CRDNUMBERBROKERDEALER	CRD NUMBER OF ANY BROKER OR DEALER LISTED.	ALPHANUMERIC	25	Yes	
ISSUERNETPROCEEDS	AMOUNT OF NET PROCEEDS TO THE ISSUER.	FLOAT	13.2	Yes	
CLARIFICATIONRESPONSES	CLARIFICATION OF RESPONSES	ALPHANUMERIC	255	Yes	

#### 5.13 REG\_A\_SUMMARY\_NAMES\_KZ Figure 13. Fields in the REG\_A\_SUMMARY\_NAMES\_KZ data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
NID	SUMMARY NAMES ID INDEX KEY.	NUMERIC	3	No	*
SINFO_ID	SINFO_ID, SUMMARY INFORMATION ID. THE NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	NUMERIC	3	No	*
NAME_TYPE	SERVICE PROVIDER TYPE.	ALPHANUMERIC	50	No	*
NAME	NAME OF SERVICE PROVIDER.	ALPHANUMERIC	150	Yes	

# 5.14 REG\_A\_CERT\_SUSPENSION\_Z

# Figure 14. Fields in the REG\_A\_CERT\_SUSPENSION\_Z data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC	20	No	*
CS_ID	CERTIFICATION SUSPENSION INDEX KEY.	NUMERIC	3	No	*
SECURITIESCLASSTITLE	TITLE OF EACH CLASS OF SECURITIES COVERED.	ALPHANUMERIC	255	Yes	
CERTIFICATIONFILENUMBER	FILE NUMBER OF CLASS OF SECURITIES FOR WHICH A CERTIFICATION OF SUSPENSION OF DUTY TO FILE REPORTS WAS PROVIDED. Note: The CERTIFICATIONFILENUMBER column caters to 5 occurrences	ALPHANUMERIC	120	No	

	with a comma separating each occurrence.				
APPROXRECORDHOLDERS	APPROXIMATE NUMBER OF RECORD HOLDERS.	NUMERIC	38	Yes	

# 5.15 REG\_A\_SIGNATURE\_Z

The SIGNATURES data file provides data for the submission person signature. Figure 15. Fields in the REG\_A\_SIGNATURE\_Z data file

FIELD NAME	FIELD DESCRIPTION	FORMAT	MAX SIZE	MAY BE NULL	KEY
ACCESSION_NUMBER	THE 20-CHARACTER STRING FORMED FROM THE 18-DIGIT NUMBER ASSIGNED BY THE COMMISSION TO EACH EDGAR SUBMISSION.	ALPHANUMERIC (nnnnnnnnn- nn-nnnnnn)	20	No	*
SIGN_ID	SIGNATURE INDEX KEY	NUMERIC	3	No	*
СІК	CENTRAL INDEX KEY (CIK) OF ISSUER.	ALPHANUMERIC	10	Yes	
REGULATIONISSUERNAME1	NAME OF ISSUER (OR CO- ISSUER) AS SPECIFIED IN CHARTER.	ALPHANUMERIC	150	Yes	
REGULATIONISSUERNAME2	NAME OF ISSUER (OR CO- ISSUER) AS SPECIFIED IN CHARTER.	ALPHANUMERIC	150	Yes	
SIGNATUREBY	FULL NAME OF INDIVIDUAL SIGNING THE FORM.	ALPHANUMERIC	150	Yes	
SIGNATURE_DATE	DATE OF SIGNATURE.	DATE (DD-MMM- YY)		Yes	
TITLE	TITLE OF THE PERSON SINGING THE FORM	ALPHANUMERIC	255	Yes	

# 6 Appendix

# U.S. State/Country Codes and Descriptions

AL	ALABAMA
AK	ALASKA
AZ	ARIZONA
AR	ARKANSAS
CA	CALIFORNIA
CO	COLORADO
CT	CONNECTICUT
DE	DELAWARE
DC	DISTRICT OF COLUMBIA
FL	FLORIDA
GA	GEORGIA
GU	GUAM
HI	HAWAII
ID	IDAHO
IL	ILLINOIS
IN	INDIANA
IA	IOWA
KS	KANSAS
KY	KENTUCKY
LA	LOUISIANA
ME	MAINE
MD	MARYLAND
MA	MASSACHUSETTS
MI	MICHIGAN
MN	MINNESOTA
MN	MINNESOTA
MS	MISSISSIPPI
MO	MISSOURI
MT	MONTANA
NE	NEBRASKA
NV	NEVADA
NH	NEW HAMPSHIRE
NJ	NEW JERSEY
NM	NEW MEXICO
NY	NEW YORK
NC	NORTH CAROLINA
ND	NORTH DAKOTA
OH	OHIO
OK	OKLAHOMA
OR	OREGON
PA	PENNSYLVANIA
RI	RHODE ISLAND
SC	SOUTH CAROLINA
SD	SOUTH DAKOTA
TN	TENNESSEE
TX	TEXAS
UT	UTAH
VT	VERMONT
VA	VIRGINIA
WA	WASHINGTON
WV	WEST VIRGINIA
WI	WISCONSIN

F6 F7 F8 F9 G0 Y3 G2 L7 M G3 G4 N G7 G9 G8 H1 H2 H3 H4 J1 H 5 H7 H6 H8 H9 I0 I3 I4 2C I5 I6 2Q M J0 J1 J3 J4 J5 I6	CHRISTMAS ISLAND COCOS (KEELING) ISLANDS COLOMBIA COMOROS CONGO CONGO, THE DEMOCRATIC REPUBLIC OF THE COOK ISLANDS COSTA RICA COTE D'IVOIRE CROATIA CUBA CYPRUS CZECH REPUBLIC DENMARK DJIBOUTI DOMINICA DOMINICAN REPUBLIC ECUADOR EGYPT EL SALVADOR EQUATORIAL GUINEA ERITREA ESTONIA ETHIOPIA FALKLAND ISLANDS (MALVINAS) FAROE ISLANDS FIJI FINLAND FRANCE FRENCH GUIANA FRENCH FOLYNESIA FRENCH SOUTHERN TERRITORIES GABON GAMBIA GEORGIA GEORGIA GERMANY GHANA GIBRALTAR GREECE GREENLAND GRENADA GLIADEL OLIPE
J1	GIBRALTAR
J3	GREECE
J4	GREENLAND
J9	GUINEA
S0	GUINEA-BISSAU
K0	GUYANA
K1	HAITI
K4	HEARD ISLAND AND MCDONALD ISLANDS
X4	HOLY SEE (VATICAN CITY STATE)
K2	HONDURAS
K3	HONG KONG
K5	HUNGARY
K6	ICELAND
K7	INDIA
K8	INDONESIA
K9	IRAN, ISLAMIC REPUBLIC OF
L0	IRAQ
L2	IRELAND

Y8	ISLE OF MAN
L3	
-	ISRAEL
L6	ITALY
L8	JAMAICA
M0	JAPAN
Y9	JERSEY
M2	JORDAN
1P	KAZAKSTAN
M3	KENYA
J2	KIRIBATI
M4	KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF
M5	KOREA, REPUBLIC OF
M6	KUWAIT
1N	KYRGYZSTAN
M7	LAO PEOPLE'S DEMOCRATIC REPUBLIC
	LAU PLOPEL 3 DEMOCRATIC REPOBLIC
1R MB	
M8	LEBANON
M9	LESOTHO
NO	LIBERIA
N1	LIBYAN ARAB JAMAHIRIYA
N2	LIECHTENSTEIN
1Q	LITHUANIA
N4	LUXEMBOURG
N5	MACAU
1U	MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF
N6	MADAGASCAR
N7	MALAWI
N8	MALAYSIA
N9	MALDIVES
00	MALI
01	MALTA
1T	MARSHALL ISLANDS
02	MARTINIQUE
03	MAURITANIA
04	MAURITIUS
2P	MAYOTTE
05	MEXICO
1K	MICRONESIA, FEDERATED STATES OF
1S	MOLDOVA, REPUBLIC OF
09	MONACO
P0	MONGOLIA
Z5	MONTENEGRO
P1	MONTSERRAT
P2	MOROCCO
P3	MOZAMBIQUE
E1	MYANMAR
T6	NAMIBIA
P5	NAURU
P6	NEPAL
P7	NEFAL
P8	NETHERLANDS ANTILLES
1W	NEW CALEDONIA
Q2	NEW ZEALAND
Q3	NICARAGUA
Q4	NIGER
Q5	NIGERIA
Q6	NIUE
Q7	NORFOLK ISLAND
1V	NORTHERN MARIANA ISLANDS

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Q8	NORWAY
P4	OMAN
R0	PAKISTAN
1Y	PALAU
1X	PALESTINIAN TERRITORY, OCCUPIED
	•
R1	PANAMA
R2	PAPUA NEW GUINEA
R4	PARAGUAY
R5	PERU
R6	PHILIPPINES
R8	PITCAIRN
	-
R9	POLAND
S1	PORTUGAL
S3	QATAR
S4	REUNION
S5	ROMANIA
1Z	RUSSIAN FEDERATION
S6	RWANDA
	SAINT BARTHELEMY
Z0	
U8	SAINT HELENA
U7	SAINT KITTS AND NEVIS
U9	SAINT LUCIA
Z1	SAINT MARTIN
V0	SAINT PIERRE AND MIQUELON
V1	SAINT VINCENT AND THE GRENADINES
	SAMOA
Y0	
S8	SAN MARINO
S9	SAO TOME AND PRINCIPE
Т0	SAUDI ARABIA
T1	SENEGAL
Z2	SERBIA
T2	SEYCHELLES
T8	SIERRA LEONE
	SINGAPORE
U0	
2B	SLOVAKIA
2A	SLOVENIA
D7	SOLOMON ISLANDS
U1	SOMALIA
Т3	SOUTH AFRICA
1L	SOUTH GEORGIA AND THE SOUTH SANDWICH ISLANDS
U3	SPAIN
F1	SRI LANKA
V2	SUDAN
V3	SURINAME
L9	SVALBARD AND JAN MAYEN
V6	SWAZILAND
V7	SWEDEN
V8	SWITZERLAND
V9	SYRIAN ARAB REPUBLIC
F5	TAIWAN, PROVINCE OF CHINA
2D	TAJIKISTAN
W0	TANZANIA, UNITED REPUBLIC OF
W1	THAILAND
Z3	TIMOR-LESTE
W2	TOGO
W3	TOKELAU
W3 W4	TONGA
W5	TRINIDAD AND TOBAGO
W6	TUNISIA

W8	TURKEY
2E	TURKMENISTAN
W7	TURKS AND CAICOS ISLANDS
2G	TUVALU
W9	UGANDA
2H	UKRAINE
C0	UNITED ARAB EMIRATES
X0	UNITED KINGDOM
2]	UNITED STATES MINOR OUTLYING ISLANDS
X3	URUGUAY
2K	UZBEKISTAN
2L	VANUATU
X5	VENEZUELA
Q1	VIET NAM
D8	VIRGIN ISLANDS, BRITISH
X8	WALLIS AND FUTUNA
U5	WESTERN SAHARA
Τ7	YEMEN
Y4	ZAMBIA
Y5	ZIMBABWE