

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA

CASE NO. 0:12-cv-61081-RNS

SECURITIES AND	)
EXCHANGE COMMISSION,	)
	)
<b>Plaintiff,</b>	)
v.	)
	)
MATTHEW A. CONNOR,	)
	)
<b>Defendant.</b>	)
_____	)

**PLAINTIFF’S NOTICE OF DISMISSAL OF DISGORGEMENT,  
PREJUDGMENT INTEREST, AND CIVIL PENALTY CLAIMS  
AGAINST DEFENDANT MATTHEW A. CONNOR**

Plaintiff Securities and Exchange Commission notifies the Court it is dismissing its claims for disgorgement, prejudgment interest and a civil money penalty against Defendant Matthew A. Connor. All injunctive and other relief granted against Connor would still remain in place. Concurrently with this notice, the Plaintiff has filed a Motion to Amend Judgment against Matthew A. Connor (D.E. 13) addressing the injunctive and other relief. Once the Court rules on the Motion to Amend Judgment, this Notice will resolve all remaining issues in the case, and the clerk should close the case.

January 23, 2014

Respectfully submitted,

By: s/ James M. Carlson

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**CERTIFICATE OF SERVICE**

I hereby certify that on January 23, 2014, I electronically filed the foregoing document with the Clerk of the Court using CM/ECF. I also certify that the foregoing document is being served this day on all counsel for records or *pro se* parties via the methods of service described below.

s/ James M. Carlson

**VIA FIRST CLASS U.S. MAIL:**

Matthew A. Connor  
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