

# SUPPLIER DIVERSITY AT THE SEC

## The mission of the U.S. Securities and Exchange

**Commission (SEC)** is to protect investors, maintain fair, orderly, and efficient markets, and facilitate capital formation. In carrying out its mission, the SEC is committed to building a diverse supplier base and utilizing minority-owned and women-owned businesses to provide goods and services to the agency.

## Working with Our Suppliers

The SEC extends outreach to minority-owned and women-owned businesses to inform them of SEC procurement opportunities, provide technical assistance and gauge market capabilities.

## WHAT THE SEC BUYS

In Fiscal Year 2016, the SEC awarded \$486M in contracts. The North American Industry Classification System (NAICS) categories shown below represent the distribution of SEC contract dollars.

■ Computer Systems Design and Related Services 5415 (\$240M)	■ Other Information Services 5191 (\$13.2M)
■ Management, Scientific, and Technical Consulting Services 5416 (\$57.2M)	■ Other Professional, Scientific, and Technical Services 5419 (\$11.6M)
■ Legal Services 5411 (\$21.7M)	■ Investigation and Security Services 5616 (\$11.6M)
■ Data Processing, Hosting, and Related Services 5182 (\$21.3M)	■ Accounting, Tax Preparation, Bookkeeping & Payroll Services 5412 (\$8.7M)
■ Architectural, Engineering, and Related Services 5413 (\$19.3M)	■ All other NAICS Categories (\$62.9M)
■ Agencies, Brokerages, and other Insurance Related Activities 5242 (\$18.4M)	

Source: Federal Procurement Data System retrieved on January 6, 2017

## HOW THE SEC DOES BUSINESS

The SEC is required to follow the Federal Acquisition Regulation (FAR) when procuring goods and services. The SEC utilizes U.S. General Services Administration (GSA) multiple award schedule contracts, government-wide acquisition contracts (GWAC) and multi-agency contracts (MAC) for many of its contracting needs. For goods and services not available through these contract vehicles, the SEC posts procurement requirements on the Federal Business Opportunities website, [www.FBO.gov](http://www.FBO.gov).

Suppliers are encouraged to research the agency's mission and procurement needs in order to effectively market their products and services.



U.S. SECURITIES AND EXCHANGE COMMISSION  
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## WHO WE ARE

The Office of Minority and Women Inclusion (OMWI) at the SEC works with the Office of Acquisitions to implement the SEC'S Supplier Diversity Strategy.

## HELPFUL LINKS

SEC Supplier Outreach

[www.SEC.gov/omwi/supplier-outreach](http://www.SEC.gov/omwi/supplier-outreach)

SEC List of Potential Competitive Contracting Opportunities (Forecast)

[www.SEC.gov/omwi/supplier-outreach](http://www.SEC.gov/omwi/supplier-outreach)

Vendor Outreach Days

[www.SEC.gov/omwi/supplier-outreach](http://www.SEC.gov/omwi/supplier-outreach)

SEC Buying History

[www.USASpending.gov](http://www.USASpending.gov)

Doing Business with the SEC

[www.SEC.gov/omwi/supplier-outreach](http://www.SEC.gov/omwi/supplier-outreach)

SEC Subcontracting Opportunities

[www.SEC.gov/oacq](http://www.SEC.gov/oacq)

SEC Enterprise Vehicle List

[www.SEC.gov/oacq](http://www.SEC.gov/oacq)

Federal Business Opportunities

[www.FBO.gov](http://www.FBO.gov)

Procurement Technical Assistance Center

[www.aptac-us.org](http://www.aptac-us.org)

General Services Administration

[www.GSA.gov](http://www.GSA.gov)

Small Business Administration

[www.SBA.gov](http://www.SBA.gov)

SEC Employment Opportunities

[www.SEC.USAJobs.gov](http://www.SEC.USAJobs.gov)

## FOR MORE INFORMATION

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*Driving Excellence Through Diversity*

Workforce Diversity | Business Activities | Regulated Entities