

**IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF ARIZONA**

No. 2:19-cv-02437-SRB

Securities and Exchange Commission,  
Plaintiff,

**FINAL JUDGMENT AS TO  
DEFENDANT QUENTIN LOUIS  
WILCOX**

v.

Quentin Louis Wilcox,  
Defendant.

**FINAL JUDGMENT AS TO DEFENDANT QUENTIN LOUIS WILCOX**

The Securities and Exchange Commission having filed a Complaint and Defendant Quentin Louis Wilcox having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided herein in paragraph V); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

**I.**

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

(a) to employ any device, scheme, or artifice to defraud;

1 (b) to make any untrue statement of a material fact or to omit to state a material  
2 fact necessary in order to make the statements made, in the light of the  
3 circumstances under which they were made, not misleading; or

4 (c) to engage in any act, practice, or course of business which operates or  
5 would operate as a fraud or deceit upon any person.

6 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in  
7 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the  
8 following who receive actual notice of this Final Judgment by personal service or  
9 otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b)  
10 other persons in active concert or participation with Defendant or with anyone described  
11 in (a).

12  
13 II.

14 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that  
15 Defendant is permanently restrained and enjoined from violating Section 17(a) of the  
16 Securities Act of 1933 (the "Securities Act") [15 U.S.C. § 77q(a)] in the offer or sale of  
17 any security by the use of any means or instruments of transportation or communication  
18 in interstate commerce or by use of the mails, directly or indirectly:

19 (a) to employ any device, scheme, or artifice to defraud;

20 (b) to obtain money or property by means of any untrue statement of a material  
21 fact or any omission of a material fact necessary in order to make the statements  
22 made, in light of the circumstances under which they were made, not misleading;  
23 or

24 (c) to engage in any transaction, practice, or course of business which operates  
25 or would operate as a fraud or deceit upon the purchaser.

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in  
27 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the  
28 following who receive actual notice of this Final Judgment by personal service or

1 otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b)  
2 other persons in active concert or participation with Defendant or with anyone described  
3 in (a).

4 III.

5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is  
6 liable for disgorgement of \$55,154.70, representing profits gained as a result of the  
7 conduct alleged in the Complaint, together with prejudgment interest thereon in the  
8 amount of \$3,744.83, and a civil penalty in the amount of \$55,154.70 pursuant to Section  
9 20(d) of the Securities Act [15 U.S.C. § 77t(d)], and Section 21A of the Exchange Act  
10 [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$114,054.23 to the  
11 Securities and Exchange Commission pursuant to the terms of the payment schedule set  
12 forth in paragraph IV below after entry of this Final Judgment.

13 Defendant may transmit payment electronically to the Commission, which will  
14 provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be  
15 made directly from a bank account via Pay.gov through the SEC website at  
16 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check,  
17 bank cashier's check, or United States postal money order payable to the Securities and  
18 Exchange Commission, which shall be delivered or mailed to

19 Enterprise Services Center  
20 Accounts Receivable Branch  
21 6500 South MacArthur Boulevard  
22 Oklahoma City, OK 73169

23 and shall be accompanied by a letter identifying the case title, civil action number, and  
24 name of this Court; Quentin Louis Wilcox as a defendant in this action; and specifying  
25 that payment is made pursuant to this Final Judgment.

26 Defendant shall simultaneously transmit photocopies of evidence of payment and  
27 case identifying information to the Commission's counsel in this action. By making this  
28 payment, Defendant relinquishes all legal and equitable right, title, and interest in such

1 funds and no part of the funds shall be returned to Defendant. The Commission shall  
2 send the funds paid pursuant to this Final Judgment to the United States Treasury.

3 The Commission may enforce the Court's judgment for disgorgement and  
4 prejudgment interest by moving for civil contempt (and/or through other collection  
5 procedures authorized by law) at any time after 14 days following entry of this Final  
6 Judgment. Defendant shall pay post judgment interest on any delinquent amounts  
7 pursuant to 28 U.S.C. § 1961.

8 IV.

9 Defendant shall pay the total of disgorgement, prejudgment interest, and penalty  
10 due of \$ 114,054.23 in two (2) installments to the Commission according to the following  
11 schedule: (1) \$10,000, within 14 days of entry of this Final Judgment; (2) \$104,054.23  
12 on February 7, 2020. Payments shall be deemed made on the date they are received by  
13 the Commission and shall be applied first to post judgment interest, which accrues  
14 pursuant to 28 U.S.C. § 1961 on any unpaid amounts due after 14 days of the entry of  
15 Final Judgment. Prior to making the final payment set forth herein, Defendant shall  
16 contact the staff of the Commission for the amount due for the final payment.

17 If Defendant fails to make any payment by the date agreed and/or in the amount  
18 agreed according to the schedule set forth above, all outstanding payments under this  
19 Final Judgment, including post-judgment interest, minus any payments made, shall  
20 become due and payable immediately at the discretion of the staff of the Commission  
21 without further application to the Court.

22 V.

23 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is  
24 incorporated herein with the same force and effect as if fully set forth herein, and that  
25 Defendant shall comply with all of the undertakings and agreements set forth therein.

26 VI.

27 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of  
28 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the

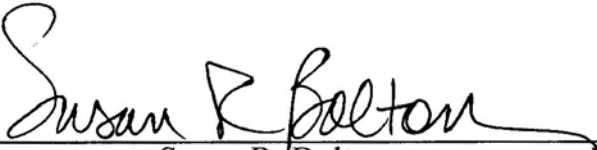
1 allegations in the complaint are true and admitted by Defendant, and further, any debt for  
2 disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this  
3 Final Judgment or any other judgment, order, consent order, decree or settlement agreement  
4 entered in connection with this proceeding, is a debt for the violation by Defendant of the federal  
5 securities laws or any regulation or order issued under such laws, as set forth in Section  
6 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

7 VII.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court  
9 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final  
10 Judgment.

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12 Dated this 23rd day of April, 2019.

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Susan R. Bolton  
United States District Judge