

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

C/M

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SECURITIES AND EXCHANGE	:
COMMISSION,	:
	:
Plaintiff,	:
	:
-against-	:
	:
SPARK TRADING GROUP, LLC and NIKET	:
SHAH,	:
	:
Defendants.	:
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**FINAL JUDGMENT AND  
PERMANENT INJUNCTION**

18-cv-1498 (BMC)

COGAN, District Judge.

THIS MATTER having come before the Court on plaintiff’s motion for summary judgment, and the Court having granted the motion, it is hereby

**ORDERED AND ADJUDGED**, that plaintiff shall have judgment and recover of defendant Shah \$370,944.04 in civil penalties; and it is further

**ORDERED AND ADJUDGED**, that plaintiff shall have judgment and recover of defendants, on a joint and several basis, \$299,229.68 plus \$24,742.83 in prejudgment interest as of December 21, 2018; and it is further

**ORDERED, ADJUDGED, AND DECREED**, that defendants are permanently enjoined from violating Section 10(b) of the Exchange Act, 15 U.S.C. § 78j(b); Rule 10b-5, 17 C.F.R. § 240.10b-5; and Section 17(a) of the Securities Act, 15 U.S.C. § 77q(a) by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase, offer, or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;

(b) to make, or obtain money or property by means of, any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or

(c) to engage in any transaction, act, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser or any other person.

**SO ORDERED.**

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U.S.D.J.

Dated: Brooklyn, New York  
December 20, 2018