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8 **UNITED STATES DISTRICT COURT**  
9 **CENTRAL DISTRICT OF CALIFORNIA**  
10 **SOUTHERN DIVISION**  
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13 SECURITIES AND EXCHANGE  
COMMISSION,

14 Plaintiff,

15 vs.

16 DANIEL B. VAZQUEZ, SR.,  
17 GILBERT FLUETSCH, AND  
HOPLOON FINANCIAL GROUP,

18 Defendant.  
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Case No. 8:18-cv-0047 CJC (KESx)

**FINAL JUDGMENT AS TO  
DANIEL B. VAZQUEZ, SR.**

**JUDGMENT AS TO DEFENDANT DANIEL B. VAZQUEZ, SR.**

This cause came before the Court upon Plaintiff Securities and Exchange Commission's ("SEC") Motion for Entry of Default Judgment ("Motion") against Defendant Daniel B. Vazquez, Sr. ("Vazquez"). Having considered the SEC's Complaint, the Motion, the supporting Memorandum of Points and Authorities, the supporting declarations and exhibits, and the other evidence and argument presented to the Court, the SEC's Motion is GRANTED.

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Vazquez is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Vazquez is permanently restrained and enjoined from violating, directly or indirectly, Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

(a) to employ any device, scheme, or artifice to defraud;

(b) to obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or

(c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a).

III.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Vazquez is permanently restrained and enjoined from violating, directly or indirectly, Section 15(a) of the Exchange Act [15 U.S.C. § 78o(a)] by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, to effect any transaction in, or induce or attempt to induce the purchase or sale of, any security without being registered with the Commission or being associated with a registered broker or dealer that is not a natural person.

1 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as  
2 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also  
3 binds the following who receive actual notice of this Final Judgment by personal  
4 service or otherwise: (a) Defendant's officers, agents, servants, employees, and  
5 attorneys; and (b) other persons in active concert or participation with Defendant or  
6 with anyone described in (a).

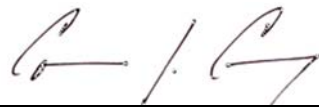
7 IV.

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court  
9 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this  
10 Final Judgment.

11 V.

12 There being no just reason for delay, pursuant to Rule 54(b) of the Federal  
13 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith  
14 and without further notice.

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17 Dated: December 11, 2019

  
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THE HON. CORMAC J. CARNEY  
UNITED STATES DISTRICT JUDGE