

UNITED STATES DISTRICT COURT
DISTRICT OF MASSACHUSETTS

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

RICHARD G. CODY, Individually and Doing
Business As BOSTON INVESTMENT
PARTNERS, LLC,

Defendant,

and

BOSTON INVESTMENT PARTNERS, LLC,

Relief Defendant.

Case No. 16-cv-12510-FDS

AMENDED FINAL JUDGMENT

Saylor U.S.D.J.

IT IS ORDERED AND ADJUDGED: Pursuant to the Memorandum and Order of this Court on 12/5/2018, Summary Judgment is GRANTED for the Plaintiff. Defendant Richard G. Cody is hereby ENJOINED from committing any act or practice in violation of § 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. § 78j(b); Rule 10b-5, 17 C.F.R. § 240.10b-5; and §§ 206(1)-(2) of the Investment Advisers Act of 1940, 15 U.S.C. §§ 80b-6(1)-(2), and from aiding, abetting, counseling, commanding, inducing, or procuring any such act or practice. Defendant Richard G. Cody is hereby ORDERED to disgorge the amount of \$14,171, plus prejudgment interest in the amount of \$3,490, to the United States Securities and Exchange Commission.

ROBERT M. FARRELL
CLERK OF COURT

Dated: 12/23/19

By: /s/ Miguel A. Lara
Deputy Clerk