

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

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SECURITIES AND EXCHANGE COMMISSION, )  
v. )  
Plaintiff, )  
)  
RICHARD G. CODY, Individually and Doing )  
Business As BOSTON INVESTMENT )  
PARTNERS, LLC, )  
)  
Defendant, )  
)  
and )  
)  
BOSTON INVESTMENT PARTNERS, LLC, )  
)  
Relief Defendant. )  
)  
)

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**AMENDED FINAL JUDGMENT**

**Saylor U.S.D.J.**

**IT IS ORDERED AND ADJUDGED:** Pursuant to the Memorandum and Order of this Court on 12/5/2018, Summary Judgment is GRANTED for the Plaintiff. Defendant Richard G. Cody is hereby ENJOINED from committing any act or practice in violation of § 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. §78j(b); Rule 10b-5, 17 C.F.R. § 240.10b-5; and §§ 206(1)-(2) of the Investment Advisers Act of 1940, 15 U.S.C. §§ 80b-6(1)-(2), and from aiding, abetting, counseling, commanding, inducing, or procuring any such act or practice. Defendant Richard G. Cody is hereby ORDERED to disgorge the amount of \$14,171, plus prejudgment interest in the amount of \$3,490, to the United States Securities and Exchange Commission.

ROBERT M. FARRELL  
CLERK OF COURT

Dated: 12/23/19

By: /s/ Miguel A. Lara  
Deputy Clerk