

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA**

CASE NO. 15-23489-CIV-ALTONAGA/O'Sullivan

**UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,**

Plaintiff,

v.

CHRISTOPHER J. HALL,

Defendant.

AMENDED FINAL JUDGMENT

THIS CAUSE came before the Court on the June 28, 2017 Order [ECF No. 150], granting Plaintiff, the United States Securities and Exchange Commission's Motion . . . for Partial Reconsideration [ECF No. 144].

It is hereby:

ORDERED AND ADJUDGED as follows:

1. Defendant, Christopher J. Hall, is liable for disgorgement of **\$3,747,717.12** in ill-gotten gains obtained as a result of his securities law violations within the five-year statute of limitations under 28 U.S.C. § 2462, plus prejudgment interest thereon in the amount of **\$955,583.39**,¹ for a total of **\$4,703,300.51**, payable to the SEC within 14 days after entry of this Amended Final Judgment.
2. Hall obtained an additional **\$2,259,068.00** in ill-gotten gains as a result of his securities law violations before June 27, 2009, outside the five-year statute of

¹ The Court elects to apply prejudgment interest through February 22, 2017, the date of the jury's verdict.

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limitations under Section 2462, which the Eleventh Circuit held applies to disgorgement claims in *SEC v. Graham*, 823 F.3d 1357, 1363 (11th Cir. 2016).

Pursuant to *Graham*, these ill-gotten gains are not subject to disgorgement.

3. Hall may transmit payment electronically to the SEC, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Hall may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Christopher J. Hall as a defendant in this action; and specifying payment is made pursuant to this Amended Final Judgment.

- a. Hall shall simultaneously transmit photocopies of evidence of payment and case identifying information to the SEC's counsel in this action. By making this payment, Hall relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Hall.
- b. The SEC shall hold the funds and may propose a plan to distribute them subject to the Court's approval. The Court retains jurisdiction over the administration of any distribution of the funds. If the SEC staff determines the funds will not be distributed, the SEC shall send the funds paid pursuant to this Amended Final Judgment to the United States Treasury.

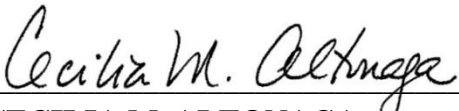
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- c. The SEC may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Amended Final Judgment. Hall may pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C. section 1961.
4. This Amended Final Judgment shall bear post-judgment interest at the rate prescribed by 28 U.S.C. section 1961, and shall be enforceable as prescribed by 28 U.S.C. sections 2001–07, 28 U.S.C. sections 3001–3307, and Federal Rule of Civil Procedure 69(a).
5. Hall shall cooperate fully with and assist the SEC and shall take no action, directly or indirectly, to hinder, obstruct, or otherwise interfere with the SEC in its efforts to execute and recover any and all ill-gotten gains ordered under this Amended Final Judgment that may have been distributed to third-parties. An inability to recover ill-gotten gains from third-parties shall not, however, reduce or offset Hall's responsibility for any relief ordered under this Amended Final Judgment.
6. Solely for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. section 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this Amended Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Hall of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. section 523(a)(19).

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7. This Court retains jurisdiction of this matter for the purposes of enforcing this Amended Final Judgment.

DONE AND ORDERED in Miami, Florida, this 28th day of June, 2017.



CECILIA M. ALTONAGA
UNITED STATES DISTRICT JUDGE

cc: counsel of record