

United States District Court
EASTERN DISTRICT OF TEXAS
SHERMAN DIVISION

SECURITIES AND EXCHANGE
COMMISSION

v.

SETHI PETROLEUM, LLC; SAMEER P.
SETHI

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Civil Action No. 4:15-CV-00338
Judge Mazzant

FINAL JUDGMENT

The Court has considered Plaintiff Securities and Exchange Commission's Motion to Enter Final Judgment as to Defendant Sameer P. Sethi.

I.

IT IS THEREFORE ORDERED that Defendant Sameer P. Sethi is **PERMANENTLY RESTRAINED AND ENJOINED** from violation of Sections 17(a) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. §77q(a)] and Section 10(b) of the Securities Exchange Act of 1934 and ("Exchange Act") [15 U.S.C. §78j(b)] and Rule 10b-5 thereunder [17 C.F.R. §240.10b-5-5].

II.

IT IS FURTHER ORDERED that Defendant Sameer P. Sethi, his agents, servants, employees, attorneys, and all persons in active concert or participation with him, are **PERMANENTLY RESTRAINED AND ENJOINED** from directly or indirectly soliciting investors to purchase or sell securities, provided that such injunction does not prevent Sameer P. Sethi from purchasing securities listed on a national securities exchange for his own personal accounts.

III.

IT IS FURTHER ORDERED that the Receiver include in its final report for the receivership estate an amount distributed to investors. At that time, Sameer P. Sethi's obligation to pay \$4,028,264.81, less any amounts returned to investors, will accrue.

IV.

IT IS FURTHER ORDERED that Sameer P. Sethi pay a civil penalty of \$160,000.

V.

IT IS FURTHER ORDERED that Sameer P. Sethi pay \$348,542.59 in prejudgment interest, calculated from March 9, 2015, until August 9, 2017, the date of final judgment.

SIGNED this 9th day of August, 2017.


AMOS L. MAZZANT
UNITED STATES DISTRICT JUDGE