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9 **UNITED STATES DISTRICT COURT**
10 **NORTHERN DISTRICT OF CALIFORNIA**
11 **OAKLAND DIVISION**

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13 SECURITIES AND EXCHANGE COMMISSION,

14 Plaintiff,

15 vs.

16 MICHAEL B. FERGUSON and
TRANSACTIONS UNLIMITED,

17 Defendants
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Case No. 4:14-cv-04188-PJH

**NOTICE OF VOLUNTARY
DISMISSAL OF DEFENDANTS
MICHAEL B. FERGUSON AND
TRANSACTIONS UNLIMITED**

1 Pursuant to Federal Rule of Civil Procedure 41(a)(1), Plaintiff Securities and Exchange
2 Commission (“Commission”) hereby voluntarily dismisses with prejudice its action against
3 Defendants Michael B. Ferguson and Transactions Unlimited (the “Defendants”). Rule 41(a)(1)
4 permits a plaintiff to dismiss claims voluntarily where, as here, the opposing party has not served an
5 answer or a motion for summary judgment.

6 The Commission brought this action on September 29, 2014. (ECF No. 1). On November
7 13, 2014, the Court entered judgments by consent against the Defendants enjoining them from
8 violating certain provisions of the federal securities laws, and ordering them to pay disgorgement and
9 prejudgment interest on a joint-and-several basis. (ECF Nos. 16 & 17). The Commission now
10 voluntarily dismisses with prejudice the remaining claims for civil monetary penalties against the
11 Defendants, concluding this action.

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13 Dated: February 18, 2016

Respectfully submitted,

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15 /s/ Robert J. Durham

16 Robert J. Durham

17 Attorney for Plaintiff

18 SECURITIES AND EXCHANGE COMMISSION
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