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10 UNITED STATES DISTRICT COURT  
 11 NORTHERN DISTRICT OF CALIFORNIA  
 12 SAN FRANCISCO DIVISION  
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14 SECURITIES AND EXCHANGE COMMISSION,  
 15 Plaintiff,  
 16 vs.  
 17 SALEEM KHAN, et al.,  
 18 Defendants.

Case No. 3:14-CV-02743-HSG (DMR)  
 FINAL JUDGMENT AS TO  
 DEFENDANT ROSHANLAL  
 CHAGANLAL

1 The Securities and Exchange Commission having filed a Complaint and Defendant Roshanlal  
2 Chaganlal (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction  
3 over Defendant and the subject matter of this action; consented to entry of this Final Judgment  
4 without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived  
5 findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

6 I.

7 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently  
8 restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities  
9 Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated  
10 thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or  
11 of the mails, or of any facility of any national securities exchange, in connection with the purchase or  
12 sale of any security:

- 13 (a) to employ any device, scheme, or artifice to defraud;
- 14 (b) to make any untrue statement of a material fact or to omit to state a material fact  
15 necessary in order to make the statements made, in the light of the circumstances  
16 under which they were made, not misleading; or
- 17 (c) to engage in any act, practice, or course of business which operates or would  
18 operate as a fraud or deceit upon any person.

19 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal  
20 Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who receive  
21 actual notice of this Final Judgment by personal service or otherwise: (a) Defendant’s officers,  
22 agents, servants, employees, and attorneys; and (b) other persons in active concert or participation  
23 with Defendant or with anyone described in (a).

24 II.

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section  
26 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited, for 10 years  
27 following the date of entry of this Final Judgment, from acting as an officer or director of any issuer  
28

1 that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78I]  
2 or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

3 III.

4 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is  
5 liable for disgorgement of \$130,000, representing profits gained as a result of the conduct alleged in  
6 the Complaint, together with prejudgment interest thereon in the amount of \$14,680, and a civil  
7 penalty in the amount of \$130,000 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1].  
8 Defendant shall satisfy this obligation by paying \$274,680 to the Securities and Exchange  
9 Commission within 14 days after entry of this Final Judgment.

10 Defendant may transmit payment electronically to the Commission, which will provide  
11 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a  
12 bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>.  
13 Defendant may also pay by certified check, bank cashier's check, or United States postal money  
14 order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

15 Enterprise Services Center  
16 Accounts Receivable Branch  
17 6500 South MacArthur Boulevard  
18 Oklahoma City, OK 73169

19 and shall be accompanied by a letter identifying the case title, civil action number, and name of this  
20 Court; Roshanlal Chaganlal as a defendant in this action; and specifying that payment is made  
21 pursuant to this Final Judgment.

22 Defendant shall simultaneously transmit photocopies of evidence of payment and case  
23 identifying information to the Commission's counsel in this action. By making this payment,  
24 Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the  
25 funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this  
26 Final Judgment to the United States Treasury.

27 The Commission may enforce the Court's judgment for disgorgement and prejudgment  
28 interest by moving for civil contempt (and/or through other collection procedures authorized by law)

1 at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment  
2 interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

3 IV.

4 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of  
5 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, any debt  
6 for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this  
7 Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered  
8 in connection with this proceeding, is a debt for the violation by Defendant of the federal securities  
9 laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the  
10 Bankruptcy Code, 11 U.S.C. § 523(a)(19). Nothing in this Final Judgment, including this paragraph,  
11 affects Defendant's rights under the Fifth Amendment in any proceeding, regardless whether the  
12 Commission is a party.


13 V.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain  
15 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

16 VI.

17 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil  
18 Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.  
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20 Dated: September 21, 2016  
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25 Hon. Haywood S. Gilliam, Jr.  
26 UNITED STATES DISTRICT JUDGE  
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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

SALEEM M. KHAN, et al.,

Defendants.

Case No.14-cv-02743-HSG

**CERTIFICATE OF SERVICE**


I, the undersigned, hereby certify that I am an employee in the Office of the Clerk, U.S. District Court, Northern District of California.

That on 9/21/2016, I SERVED a true and correct copy(ies) of the attached, by placing said copy(ies) in a postage paid envelope addressed to the person(s) hereinafter listed, by depositing said envelope in the U.S. Mail, or by placing said copy(ies) into an inter-office delivery receptacle located in the Clerk's office.

Roshanlal Chaganlal  
4883 Thorndike Lane  
Dublin, CA 94568

Dated: 9/21/2016

Susan Y. Soong  
Clerk, United States District Court

By:   
Nikki D. Riley, Deputy Clerk to the  
Honorable HAYWOOD S. GILLIAM, JR.

United States District Court  
Northern District of California