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**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA  
WESTERN DIVISION**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

vs.

CHRISTOPHER A.T. PEDRAS (aka  
CHRIS PEDRAS aka ANTONE  
THOMAS PEDRAS); SYLVESTER M.  
GRAY II; ALICIA BRYAN;  
MAXUM GOLD BNK HOLDINGS  
LIMITED; MAXUM GOLD BNK  
HOLDINGS LLC; FMP MEDICAL  
SERVICES LIMITED; and FMP  
MEDICAL SERVICES LLC,

Defendants, and

COMPROLLER 2013 LIMITED,

Relief Defendant.

Case No. CV 13-07932 GAF (MRWx)

**FINAL JUDGMENT AS TO  
DEFENDANT SYLVESTER M.  
GRAY II**

1 The Securities and Exchange Commission having filed a Complaint and  
2 Defendant Sylvester M. Gray II (“Defendant”) having entered a general  
3 appearance; consented to the Court’s jurisdiction over Defendant and the subject  
4 matter of this action; consented to entry of this Final Judgment without admitting  
5 or denying the allegations of the Complaint (except as to jurisdiction and except as  
6 otherwise provided herein in paragraph IV); waived findings of fact and  
7 conclusions of law; and waived any right to appeal from this Final Judgment:

8 **I.**

9 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant  
10 and Defendant’s agents, servants, employees, attorneys, and all persons in active  
11 concert or participation with them who receive actual notice of this Final Judgment  
12 by personal service or otherwise are permanently restrained and enjoined from  
13 violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of  
14 1934 (the “Exchange Act”), 15 U.S.C. § 78j(b), and Rule 10b-5 promulgated  
15 thereunder, 17 C.F.R. § 240.10b-5, by using any means or instrumentality of  
16 interstate commerce, or of the mails, or of any facility of any national securities  
17 exchange, in connection with the purchase or sale of any security:

- 18 (a) to employ any device, scheme, or artifice to defraud;
- 19 (b) to make any untrue statement of a material fact or to omit to state a  
20 material fact necessary in order to make the statements made, in the  
21 light of the circumstances under which they were made, not  
22 misleading; or
- 23 (c) to engage in any act, practice, or course of business which operates or  
24 would operate as a fraud or deceit upon any person.

25 **II.**

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that  
27 Defendant and Defendant’s agents, servants, employees, attorneys, and all persons  
28 in active concert or participation with them who receive actual notice of this Final

1 Judgment by personal service or otherwise are permanently restrained and enjoined  
2 from violating Sections 17(a)(1) and (3) of the Securities Act of 1933 (the  
3 “Securities Act”), 15 U.S.C. §§ 77q(a)(1) & (3), in the offer or sale of any security  
4 by the use of any means or instruments of transportation or communication in  
5 interstate commerce or by use of the mails, directly or indirectly:

- 6 (a) to employ any device, scheme, or artifice to defraud; or
- 7 (b) to engage in any transaction, practice, or course of business which  
8 operates or would operate as a fraud or deceit upon the purchaser.

9 **III.**

10 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that  
11 Defendant is liable for disgorgement of \$12,925, representing profits gained as a  
12 result of the conduct alleged in the Complaint, together with prejudgment interest  
13 thereon in the amount of \$966.97, and a civil penalty in the amount of \$12,925  
14 pursuant to Section 20(d)(2)(C) of the Securities Act and Section 21(d)(3)(B)(iii)  
15 of the Exchange Act. Defendant shall satisfy this obligation by paying \$26,816.97  
16 to the Securities and Exchange Commission within 60 days after entry of this Final  
17 Judgment. Defendant may transmit payment electronically to the Commission,  
18 which will provide detailed ACH transfer/Fedwire instructions upon request.  
19 Payment may also be made directly from a bank account via Pay.gov through the  
20 SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also  
21 pay by certified check, bank cashier’s check, or United States postal money order  
22 payable to the Securities and Exchange Commission, which shall be delivered or  
23 mailed to:

24  
25 Enterprise Services Center  
26 Accounts Receivable Branch  
27 6500 South MacArthur Boulevard  
28 Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number,  
and name of this Court; Sylvester M. Gray II as a defendant in this action; and

1 specifying that payment is made pursuant to this Final Judgment. Defendant shall  
2 simultaneously transmit photocopies of evidence of payment and case identifying  
3 information to the Commission's counsel in this action. By making this payment,  
4 Defendant relinquishes all legal and equitable right, title, and interest in such funds  
5 and no part of the funds shall be returned to Defendant. The Commission shall  
6 send the funds paid pursuant to this Final Judgment to the United States Treasury.  
7 The Commission may enforce the Court's judgment for disgorgement and  
8 prejudgment interest by moving for civil contempt (and/or through other collection  
9 procedures authorized by law) at any time after 60 days following entry of this  
10 Final Judgment. Defendant shall pay post judgment interest on any delinquent  
11 amount, which accrues pursuant to 28 U.S.C. § 1961 on any amount unpaid after  
12 60 days after the entry of this Final Judgment.

13 **IV.**

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely  
15 for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy  
16 Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by  
17 Defendant, and further, any debt for disgorgement, prejudgment interest, civil  
18 penalty or other amounts due by Defendant under this Final Judgment or any other  
19 judgment, order, consent order, decree or settlement agreement entered against  
20 Defendant in connection with this proceeding, is a debt for the violation by  
21 Defendant of the federal securities laws or any regulation or order issued under  
22 such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C.  
23 §523(a)(19).

24 **V.**

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this  
26 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms  
27 of this Final Judgment.

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**VI.**

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.



Dated: June 9, 2014

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HONORABLE GARY A. FEESS  
UNITED STATES DISTRICT JUDGE

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