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9 **UNITED STATES DISTRICT COURT**
10 **CENTRAL DISTRICT OF CALIFORNIA**

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12 SECURITIES AND EXCHANGE
13 COMMISSION,

14 Plaintiff,

15 vs.

16 SCOTT LONDON AND BRYAN SHAW,

17 Defendants.
18

Case No. 13-CV-02558 RGK (PJWx)

**FINAL JUDGMENT AS TO
DEFENDANT SCOTT LONDON**

19 The Securities and Exchange Commission having filed a Complaint and
20 Defendant Scott London having entered a general appearance; consented to the
21 Court’s jurisdiction over Defendant and the subject matter of this action; consented
22 to entry of this Judgment; waived findings of fact and conclusions of law; and
23 waived any right to appeal from this Judgment:

24 I.

25 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
26 and Defendant’s agents, servants, employees, attorneys, and all persons in active
27 concert or participation with them who receive actual notice of this Judgment by
28

1 personal service or otherwise are permanently restrained and enjoined from
2 violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of
3 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated
4 thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of
5 interstate commerce, or of the mails, or of any facility of any national securities
6 exchange, in connection with the purchase or sale of any security:

7 (a) to employ any device, scheme, or artifice to defraud;

8 (b) to make any untrue statement of a material fact or to omit to
9 state a material fact necessary in order to make the statements made, in the light of
10 the circumstances under which they were made, not misleading; or

11 (c) to engage in any act, practice, or course of business which
12 operates or would operate as a fraud or deceit upon any person.

13 II.

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
15 Defendant is liable for disgorgement of \$100,000, representing profits gained as a
16 result of the conduct alleged in the Complaint. The payment of disgorgement in
17 this case shall be deemed satisfied by the entry of the order in the parallel criminal
18 action, *United States v. Scott London*, Case No. CR13-10379 (C.D. Cal.), requiring
19 London to pay a civil fine of \$100,000.

20 III.


21 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the
22 Consent is incorporated herein with the same force and effect as if fully set forth
23 herein, and that Defendant shall comply with all of the undertakings and
24 agreements set forth therein.

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IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Dated: November 5, 2014



UNITED STATES DISTRICT JUDGE