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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

CITY OF VICTORVILLE, et al.,

Defendants,

and

KND HOLDINGS, INC.,

Relief Defendant.

Case No. ED CV13-00776-JAK (KKx)

**FINAL JUDGMENT AS TO
DEFENDANT JANEES WILLIAMS**

JS-6: Janess Williams Only

1 The Securities and Exchange Commission having filed a Complaint and
2 Defendant Janees Williams having entered a general appearance; consented to the
3 Court's jurisdiction over Defendant and the subject matter of this action; consented to
4 entry of this Final Judgment without admitting or denying the allegations of the
5 Complaint (except as to jurisdiction); waived findings of fact and conclusions of law;
6 and waived any right to appeal from this Final Judgment:

7 **I.**

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is
9 permanently restrained and enjoined from aiding and abetting violations of Section
10 10(b) of the Securities Exchange Act (the "Exchange Act") [15 U.S.C. § 78j(b)] and
11 Rule 10b-5(b) promulgated thereunder [17 C.F.R. § 240.10b-5(b)], by using any
12 means or instrumentality of interstate commerce, or of the mails, or of any facility of
13 any national securities exchange, in connection with the purchase or sale of any
14 security, to make any untrue statement of a material fact or to omit to state a material
15 fact necessary in order to make the statements made, in the light of the circumstances
16 under which they were made, not misleading.

17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
18 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
19 binds the following who receive actual notice of this Final Judgment by personal
20 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
21 attorneys; and (b) other persons in active concert or participation with Defendant or
22 with anyone described in (a).

23 **II.**

24 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
25 Defendant is permanently restrained and enjoined from aiding and abetting violations
26 of Section 15B(c)(1) of the Securities Exchange Act (the "Exchange Act") [15 U.S.C.
27 § 78o-4 and MSRB Rule G-17 by making use of the mails or any means or
28 instrumentality of interstate commerce to provide advice to or on behalf of a

1 municipal entity or obligated person with respect to municipal financial products, the
2 issuance of municipal securities, or to undertake a solicitation of a municipal or
3 obligated person in contravention of MRSB Rule G-17, which requires brokers,
4 dealers, municipal securities dealers, and municipal advisors, in the conduct of its
5 municipal securities or municipal advisory activities, to deal fairly with all persons
6 and to not engage in any deceptive, dishonest, or unfair practice.

7 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
8 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
9 binds the following who receive actual notice of this Final Judgment by personal
10 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
11 attorneys; and (b) other persons in active concert or participation with Defendant or
12 with anyone described in (a).

13 **III.**

14 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
15 the Consent is incorporated herein with the same force and effect as if fully set forth
16 herein, and that Defendant shall comply with all of the undertakings and agreements
17 set forth therein.

18 **IV.**

19 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
20 this Court shall retain jurisdiction of this matter for the purposes of enforcing the
21 terms of this Final Judgment.

22 **V.**

23 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
24 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith
25 and without further notice.

26
27 Dated: July 24, 2018

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JOHN A. KRONSTADT
UNITED STATES DISTRICT JUDGE