

**IN THE UNITED STATES DISTRICT COURT
FOR THE
NORTHERN DISTRICT OF ILLINOIS**

SECURITIES AND EXCHANGE)
COMMISSION,

Plaintiff(s),

v.

RESOURCES PLANNING GROUP, INC.,)
AND JOSEPH J. HENNESSY,

Defendant(s).

Case No. 12 C 9509
Judge Edmond E. Chang

JUDGMENT IN A CIVIL CASE

Judgment is hereby entered (check appropriate box):

in favor of plaintiff(s)
and against defendant(s)
in the amount of \$ _____,

which includes pre-judgment interest.
 does not include pre-judgment interest.

Post-judgment interest accrues on that amount at the rate provided by law from the date of this judgment.

Plaintiff(s) shall recover costs from defendant(s).

in favor of defendant(s)
and against plaintiff(s)

Defendant(s) shall recover costs from plaintiff(s).

other: Judgment entered in favor of Plaintiff Securities and Exchange Commission against Joseph Hennessy in the amount of \$2,277,295.16 in disgorgement, \$373,570.43 in prejudgment interest, and \$520,000 in civil penalties. Judgment entered in favor of Plaintiff Securities and Exchange Commission against Resources Planning Group, Inc. in the amount of \$201,015.92 in disgorgement, prejudgment interest on that amount, and \$260,000 in civil penalties.

This action was (*check one*):

- tried by a jury with Judge _____ presiding, and the jury has rendered a verdict.
- tried by Judge _____ without a jury and the above decision was reached.
- decided by Judge Edmond E. Chang on a motion for summary judgment.

Date: 9/29/2014

Thomas G. Bruton, Clerk of Court

\s\Sandra Brooks , Deputy Clerk