

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

<p>SECURITIES AND EXCHANGE COMMISSION,</p> <p style="padding-left: 40px;">Plaintiff</p> <p>v.</p> <p>Ronald D. Brooks,</p> <p style="padding-left: 40px;">Defendant</p>	§ § § § § § § § § § § § § § §	<p>Case No.: 3:12-cv-2716-N</p>
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**FINAL JUDGMENT BY DEFAULT AGAINST
DEFENDANT RONALD D. BROOKS**

The Court, having reviewed and considered the evidence in the Motion for Final Judgment by Default against Defendant Ronald D. Brooks (“Defendant” or “Brooks”) and Brief in Support filed by Plaintiff Securities and Exchange Commission (“Commission”), hereby finds as follows:

1. The Clerk entered Brooks’s default in this case on December 7, 2012.
2. Brooks violated anti-fraud provisions of the federal securities laws, specifically Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934 (“Exchange Act”) [15 U.S.C. § 78j(b); 17 C.F.R. § 240.10b-5].
3. Brooks’s violation involved fraud, deceit, manipulation, or deliberate or reckless disregard of a regulatory requirement and directly or indirectly created a significant risk of substantial losses to other persons.
4. The Commission has made a proper showing that, unless permanently enjoined, it is reasonably likely Brooks will violate the foregoing provisions again.
5. Brooks’s conduct demonstrates unfitness to serve as an officer or director as provided in Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)].

6. At the time of his misconduct, Brooks was participating in any offering of penny stock.

Accordingly,

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78 l] or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].

III.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is permanently barred from participating in an offering of penny stock, including engaging in activities with a broker, dealer, or issuer for purposes of issuing, trading, or inducing or attempting to induce the purchase or sale of any penny stock. A penny stock is any equity security that has a price of less than five dollars, except as provided in Rule 3a51-1 under the Exchange Act [17 C.F.R. 240.3a51-1].

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant shall pay a civil penalty in the amount of \$150,000 to the Securities and Exchange Commission pursuant to Section 21(d) of the Exchange Act [15 U.S.C. § 78u(d)]. Defendant shall make this payment within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the Commission website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier' s check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

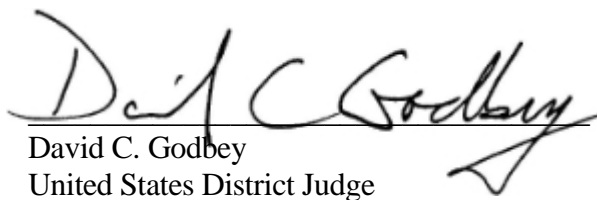
and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Ronald D. Brooks as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury. Defendant shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

Signed February 21, 2013.


David C. Godbey
United States District Judge