| 2 3 4 5 | JINA L. CHOI (Admitted to the New York Bar) SHEILA E. O'CALLAGHAN (Cal. Bar No. 131032 ocallaghans@sec.gov SUSAN F. LaMARCA (Cal. Bar No. 215231) lamarcas@sec.gov LLOYD A. FARNHAM (Cal. Bar No. 202231) farnhaml@sec.gov Attorneys for Plaintiff SECURITIES AND EXCHANGE COMMISSION | | |
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| 6 | 44 Montgomery Street, Suite 2800 San Francisco, California 94104 | | |
| 7 | Telephone: (415) 705-2500 | | |
| 8 | Facsimile: (415) 705-2501 | | |
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| 10 | UNITED STATES DI | STRICT COURT | |
| 11 | NORTHERN DISTRICT OF CALIFORNIA | | |
| 12 | OAKLAND DIVISION | | |
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| 14 | SECURITIES AND EXCHANGE COMMISSION, | Case No. C 11-04941 CW (NC) | |
| 15 | Plaintiff, | | |
| 16 | v. | [PROPOSED] FINAL JUDGMENT AS TO DEFENDANT TIMOTHY MURAWSKI | |
| 17 | CHRISTOPHER SELLS and TIMOTHY MURAWSKI, | | |
| 18 | Defendants. | | |
| 19 | Defendants. | | |
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| 24 | The Securities and Exchange Commission, ha | aving filed a Complaint, and Defendant Timothy | |
| 25 | Murawski, having entered a general appearance; consented to the Court's jurisdiction over Defendant | | |
| 26 | and the subject matter of this action; consented to entry of this Final Judgment without admitting or | | |
| 27 | denying the allegations of the complaint (except as to jurisdiction); waived findings of fact and | | |
| 28 | conclusions of law; and waived any right to appeal for | rom this Final Judgment: | |
| | [Proposed] Final Judgment as to Timothy Murawski | SEC v. Sells, et al. Case No. C-11-04941 CW (NC) | |

| 1 | I. | | |
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| 2 | IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant and Defendant' | | |
| 3 | agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with | | |
| 4 | them who receive actual notice of this Final Judgment by personal service or otherwise are | | |
| 5 | permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the | | |
| 6 | Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Exchange Act Rule | | |
| 7 | 10b-5(a) and (c) [17 C.F.R. § 240.10b-5(a) and (c)], by using any means or instrumentality of | | |
| 8 | interstate commerce, or of the mails, or of any facility of any national securities exchange, in | | |
| 9 | connection with the purchase or sale of any security: | | |
| 10 | (1) to employ any device, scheme, or artifice to defraud; or | | |
| 11 | (2) to engage in any act, practice, or course of business which operates or would operate | | |
| 12 | as a fraud or deceit upon any person. | | |
| 13 | II. | | |
| 14 | IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and | | |
| 15 | Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or | | |
| 16 | participation with them who receive actual notice of this Final Judgment by personal service or | | |
| 17 | otherwise are permanently restrained and enjoined from violating Sections 17(a)(1) and (3) of the | | |
| 18 | Securities Act of 1933 ("Securities Act") [15 U.S.C. §§ 77q(a)(1) and (3)] by the use of any means or | | |
| 19 | instruments of transportation or communication in interstate commerce or by use of the mails, in the | | |
| 20 | offer or sale of any security, directly or indirectly: | | |
| 21 | (a) employing any device, scheme, or artifice to defraud; or | | |
| 22 | (b) engaging in any transaction, practice, or course of business which operates or would | | |
| 23 | operate as a fraud or deceit upon the purchaser. | | |
| 24 | III. | | |
| 25 | IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and | | |
| 26 | Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or | | |
| 27 | participation with them who receive actual notice of this Final Judgment by personal service or | | |
| 28 | otherwise are permanently restrained and enjoined from aiding and abetting any violation of Section | | |
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| 1 | 13(a) of the Exchange Act [15 US.C. § 78m(a)] and Exchange Act Rules 12b-20, 13a-1, and 13a-13 |
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| 2 | [17 C.F.R. §§ 240.12b-20, 240.13a-1, 240.13a-13] by knowingly providing substantial assistance to |
| 3 | an issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 |
| 4 | U.S.C. § 781] or which is required to file reports pursuant to Section 15(d) of the Exchange Act [15 |
| 5 | U.S.C. § 78o(d)] in failing to file with the Commission such accurate and complete information, |
| 6 | reports, and documents as are required to be filed with the Commission pursuant to Section 13(a) of |
| 7 | the Exchange Act [15 U.S.C. § 78m(a)] and the Commission's Rules, including annual reports on |
| 8 | Form 10-K and quarterly reports on Form 10-Q. |
| 9 | IV. |
| 10 | IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and |
| 11 | Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or |
| 12 | participation with any of them, who receive actual notice of this Final Judgment, by personal service |
| 13 | or otherwise, and each of them, are permanently enjoined and restrained from, directly or indirectly, |
| 14 | violating Section 13(b)(5) of the Exchange Act [15 U.S.C. § 78m(b)(5)], by knowingly |
| 15 | circumventing or failing to implement a system of internal accounting controls or knowingly |
| 16 | falsifying any book, record, or account described in Section 13(b)(2) of the Exchange Act [15 U.S.C. |
| 17 | § 78m(b)(2)]. |
| 18 | V. |
| 19 | IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and his agents, |
| 20 | servants, employees and attorneys-in-fact, and all persons in active concert or participation with any |
| 21 | of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each |
| 22 | of them, are permanently enjoined and restrained from violating Exchange Act Rule 13b2-1 [17 |
| 23 | C.F.R. § 240.13b2-1] by, directly or indirectly, falsifying or causing to be falsified any book, record |
| 24 | or account subjection to Section 13(b)(2) of the Exchange Act [15 U.S.C. § 78m(b)(2)]. |
| 25 | VI. |
| 26 | IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and his agents, |
| 27 | servants, employees and attorneys-in-fact, and all persons in active concert or participation with any |
| 28 | of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each |

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| 1 | of them, are permanently enjoined and restrained from aiding and abetting any violation of Sections |
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| 2 | 13(b)(2)(A) and (B) of the Exchange Act [15 U.S.C. § 78m(b)(2)(A) and (B)] by providing |
| 3 | substantial assistance to any issuer which has a class of securities registered pursuant to Section 12 of |
| 4 | the Exchange Act [15 U.S.C. § 781] or which is required to file reports pursuant to Section 15(d) of |
| 5 | the Exchange Act [15 U.S.C. § 78o(d)] in failing to make or keep books, records or accounts, which, |
| 6 | in reasonable detail, accurately and fairly reflect the transactions and dispositions of the issuer's |
| 7 | assets, or in failing to devise and maintain a system of internal accounting controls sufficient to |
| 8 | provide reasonable assurance that transactions are recorded as necessary to permit preparation of |
| 9 | financial statements in conformity with generally accepted accounting principles or other applicable |
| 10 | criteria. |
| 11 | VII. |
| 12 | IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant shall pay a |
| 13 | civil penalty in the amount of \$35,000 to the Securities and Exchange Commission pursuant to |
| 14 | Section 21(d) of the Exchange Act [15 U.S.C. § 78u(d)] and Section 20(d) of the Securities Act [15 |
| 15 | U.S.C. § 77t(d)]. Defendant shall make this payment within 14 days after entry of this Final |
| 16 | Judgment. |
| 17 | Defendant may transmit payment electronically to the Commission, which will provide |
| 18 | detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a |
| 19 | bank account via Pay.gov through the SEC website at http://www.sec.gov/about/offices/ofm.htm . |
| 20 | Defendant may also pay by certified check, bank cashier's check, or United States postal money |
| 21 | order payable to the Securities and Exchange Commission, which shall be delivered or mailed to |
| 22 | Enterprise Services Center |
| 23 | Accounts Receivable Branch 6500 South MacArthur Boulevard |
| Oklahoma City, OK 73169 | |
| 25 | and shall be accompanied by a letter identifying the case title, civil action number, and name of this |
| 26 | Court; Timothy Murawski as a defendant in this action; and specifying that payment is made pursuant |
| 27 | to this Final Judgment. |
| 28 | Defendant shall simultaneously transmit photocopies of evidence of payment and case |
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| I | identifying information to the Commission's counsel in this action. By making this payment, | | |
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| 2 | Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the | | |
| 3 | funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this | | |
| 4 | Final Judgment to the United States Treasury. Defendant shall pay post-judgment interest on any | | |
| 5 | delinquent amounts pursuant to 28 USC § 1961. | | |
| 6 | VIII. | | |
| 7 | IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is | | |
| 8 | incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall | | |
| 9 | comply with all of the undertakings and agreements set forth therein. | | |
| 10 | IX. | | |
| 11 | IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain | | |
| 12 | jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment. | | |
| 13 | X. | | |
| 14 | There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil | | |
| 15 | Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice. | | |
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| 19 | Dated: November 6, 2013 | | |
| 20 | UNITED STATES DISTRICT JUDGE | | |
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