

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA  
MIAMI DIVISION

CASE NO.: 10-CV-20475-TURNOFF

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

STEVEN SCOPPETUOLO, et al.,

Defendants.

consent case

**FINAL JUDGMENT AS TO DEFENDANT STEVEN SCOPPETUOLO**

Plaintiff Securities and Exchange Commission commenced this action by filing its Amended Complaint against, among others, Defendant Steven Scoppetuolo. Scoppetuolo previously entered a general appearance and consented to the Court's jurisdiction over him and the subject matter of this action. [D.E. 104-1]. Scoppetuolo also previously consented to a permanent injunction to prohibit violations of Section 10(b) and Rule 10b-5 of the Securities Exchange Act of 1934 ("Exchange Act") [15 U.S.C. § 78j(b) and 17 C.F.R. § 240.10b-5] and Section 17(a) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. §§ 77q(a)]; an officer-and-director bar; disgorgement and prejudgment interest; and a civil money penalty pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1] and Section 20(d) of the Securities Act [15 U.S.C. § 77t(d)]. [D.E. 104-1].

Without admitting or denying the allegations of the Complaint (except as to jurisdiction), Scoppetuolo, by the attached Consent to Final Judgment, now having waived findings of fact and conclusions of law, and waived any right to appeal from this Final Judgment, and this Court

having accepted Scoppetuolo's Consent, having jurisdiction over Scoppetuolo and the subject matter of this action:

**I.**

**DISGORGEMENT AND CIVIL PENALTY**

**IT IS HEREBY ORDERED AND ADJUDGED** that the disgorgement claim against Scoppetuolo is dismissed. Scoppetuolo shall pay a civil penalty in the amount of \$75,000 pursuant to Section 21A of the Exchange Act, 15 U.S.C. § 78u-1, and Section 20(d) of the Securities Act, 15 U.S.C. § 77t(d). Scoppetuolo shall satisfy this obligation by paying \$37,500 within fourteen days after entry of this Final Judgment and the remaining \$37,500 within thirty days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Scoppetuolo as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Scoppetuolo shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

**II.**

**INCORPORATION OF SCOPPETUOLO'S CONSENT**

**IT IS FURTHER ORDERED AND ADJUDGED** that the Consent of Steven Scoppetuolo to Final Judgment is incorporated herein with the same force and effect as if fully

set forth herein, and that Scoppetuolo shall comply with all of the undertakings and agreements set forth therein.

III.

**RETENTION OF JURISDICTION**

**IT IS FURTHER ORDERED AND ADJUDGED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

IV.

**CERTIFICATION UNDER RULE 54(b)**

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

**DONE AND ORDERED** this 2 day of July, 2011 at Miami, Florida.

**WILLIAM C. TURNOFF  
UNITED STATES MAGISTRATE JUDGE**

Copies to all counsel and parties of record