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**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

ALERO ODELL MACK, JR., et al.,

Defendants.

Case No. CV 10-8383-DSF (PJWx)

**FINAL JUDGMENT AGAINST
DEFENDANT STEVEN ENRICO
LOPEZ, SR.**

1 The Securities and Exchange Commission having filed a Complaint and
2 Defendant Steven Enrico Lopez, Sr. having entered a general appearance; consented
3 to the Court's jurisdiction over Defendant and the subject matter of this action;
4 consented to entry of this Final Judgment without admitting or denying the
5 allegations of the Complaint (except as to jurisdiction); waived findings of fact and
6 conclusions of law; and waived any right to appeal from this Final Judgment:

7 IT IS ORDERED, ADJUDGED, AND DECREED that Defendant is liable for
8 disgorgement of \$577,000, representing profits gained as a result of the conduct
9 alleged in the Complaint, together with prejudgment interest thereon in the amount of
10 \$40,219.55, and a civil penalty in the amount of \$150,000 pursuant to Section
11 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)(3)(A)], Section 20 of the Securities
12 Act [15 U.S.C. §77t(d)(2)], and Section 209(e) of the Advisers Act [15 U.S.C. § 80b-
13 9(e)].

14 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
15 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this
16 Final Judgment.

17
18 September 1, 2016



UNITED STATES DISTRICT JUDGE
DALE S. FISCHER