

*fw*

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

UNITED STATES SECURITIES	)	
AND EXCHANGE COMMISSION,	)	
	)	
Plaintiff,	)	No. 10 C 7462
	)	
v.	)	
	)	
EDWARD L. MOSKOP and	)	
FINANCIAL SERVICES MOSKOP &	)	
ASSOCIATES, INC.,	)	
	)	
Defendants.	)	
_____	)	

**FINAL JUDGMENT**

The Securities and Exchange Commission (“SEC”) having filed a complaint, and Defendant Edward L. Moskop and Defendant Financial Services Moskop & Associates, Inc. (collectively, “Defendants”) having entered a general appearance; consented to the Court’s jurisdiction over Defendants and the subject matter of this action; consented to entry of the Judgment of Permanent Injunction and Other Relief entered by the Court on July 21, 2011 (dkt. no. 35) without admitting or denying the allegations of the complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from that Judgment; and the SEC now having moved, pursuant to Fed. R. Civ. P. 41(a)(2), for the voluntary dismissal of the requests in its complaint for monetary relief in light of the prison sentence and order of restitution already imposed against Defendant Moskop in his criminal case, *U.S. v. Moskop*, 3:11 CR 30077 (S.D. Ill.), *aff’d*, 2013 WL 74600 (7th Cir. Jan. 8, 2013), final

judgment is hereby entered in favor of the SEC and against Defendants as follows:

**I.**

**IT IS ORDERED, ADJUDGED, AND DECREED** that Defendants and Defendants' agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Judgment by personal service or otherwise, and each of them is permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 (the "Securities Act") [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of transportation or communication in interstate commerce or by use of the mails, directly or indirectly:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading;  
or
- (c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

**II.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that Defendants and Defendants' agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and

Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

**III.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that the Consent (dkt. no. 32) is incorporated herein with the same force and effect as if fully set forth herein, and that Defendants shall comply with all of the undertakings and agreements set forth therein.

**IV.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

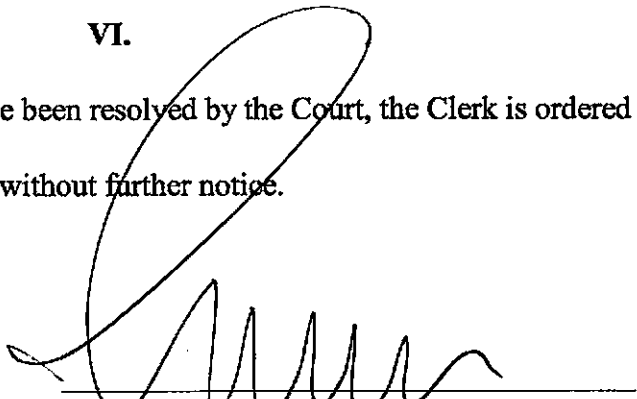
**V.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that, pursuant to the SEC's motion for voluntary dismissal of the requests for monetary relief in its complaint, the Court dismisses the complaint's prayers for disgorgement, prejudgment interest, and civil penalties against Defendants.

**VI.**

All claims against all parties have been resolved by the Court, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: 4/17, 2013



HON. HARRY D. LEINENWEBER  
UNITED STATES DISTRICT JUDGE