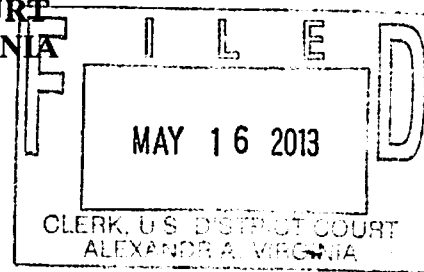


**IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF VIRGINIA**

Alexandria Division



Securities and Exchange Commission,)
)
 Plaintiff,)
)
 v.)
)
 Lee B. Farkas,)
)
 Defendant.)

Civil Action No. 1:10cv667-LMB-TRJ

JUDGMENT

Pursuant to the order of this Court entered on May 15th, 2013 and in accordance with Federal Rules of Civil Procedure 58, JUDGMENT is hereby entered in favor of the plaintiff Securities and Exchange Commission and against the defendant Lee B. Farkas.

Defendant Lee B. Farkas be and is ENJOINED from:

- (1) Engaging in securities fraud in violation of Section 17(a) of the Securities Act of 1933 ("Securities Act"), 15 U.S.C. sect. 77q(a), Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act"), 15 U.S.C. sect. 78j(b), and Exchange Act Rule 10b-5, 17 C.F.R. sect. 240.10b-5;
- (2) aiding and abetting securities fraud in violation of Section 10(b) of the Exchange Act, 15 U.S.C. sect. 78j(b), and Exchange Act Rule 10b-5, 17 C.F.R. sect. 240.10b-5;
- (3) violating recordkeeping requirements set forth by Exchange Act Rule 13b2-1, 17 C.F.R. sect. 240.13b2-1;
- (4) aiding and abetting recordkeeping offenses in violation of Section 13(b)(2)(A) of the Exchange Act, 15 U.S.C. sect. 78m(b)(2)(A), Section 13(b)(2)(B) of the Exchange Act, 15 U.S.C. sect. 78m(b)(2)(B), and Section 13(b)(5) of the Exchange Act, 15 U.S.C. sect. 78m(b)(5);

- (5) aiding and abetting reporting offenses in violation of Section 13(a) of the Exchange Act, 15 U.S.C. sect. 78m(a), Exchange Act Rule 12b-20, 17 C.F.R. sect. 240.12b-20, Exchange Act Rule 13a-1, 17 C.F.R. sect. 240.13a-1, Exchange Act Rule 13a-11, 17 C.F.R. sect. 240.13a-11, and Exchange Act Rule 13a-13, 17 C.F.R. sect. 240.13a-13;
- (6) acting as an officer or director of any issuer that has a class of securities registered with the Commission pursuant to Section 12 of the Exchange Act, 15 U.S.C. sect. 781, or that is required to file reports with the Commission pursuant to Section 15(d) of the Exchange Act, 15 U.S.C. sect. 78o(d);
- (7) serving in a senior management or control position at any mortgage-related company or other financial institution and holding any position *involving* financial reporting or disclosure at any public company whose stock is publicly traded.

FERNANDO GALINDO, CLERK OF COURT

By: 

Deputy Clerk

Dated: May 16th, 2013
Alexandria, Virginia