

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION

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UNITED STATES SECURITIES	:	
AND EXCHANGE COMMISSION,	:	
	:	
Plaintiff,	:	
	:	
v.	:	CASE NO. 1:10-cv-00115
	:	
STEVEN W. SALUTRIC,	:	Honorable Robert M. Dow, Jr.
	:	
Defendant.	:	

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**STIPULATION OF DISMISSAL OF ALL REMAINING CLAIMS  
ASSERTED AGAINST DEFENDANT STEVEN W. SALUTRIC**

Plaintiff U.S. Securities and Exchange Commission (“the SEC”) and Defendant Steven W. Salutric (“Defendant Salutric”), pursuant to Rule 41(a)(1)(A)(ii) of the Federal Rules of Civil Procedure, and for their stipulation of dismissal for all remaining claims asserted by the SEC against Defendant Salutric, states as follows:

1. On January 8, 2010, the SEC filed a complaint in this matter against Defendant Salutric. The complaint alleged, among other things, that Defendant Salutric misappropriated funds from his investment advisory clients. Defendant Salutric filed an answer to the SEC’s complaint on January 20, 2010.

2. In an information filed on December 29, 2011, Salutric was charged with one count of wire fraud by the U.S. Attorney’s Office for the Northern District of Illinois. *U.S. v. Steven W. Salutric*, Criminal Action No. 1:11-cr-00916, Docket No. 1. The conduct alleged in the criminal information included the conduct at issue in the present action by the SEC.

3. On October 9, 2013, the Honorable John W. Darrah sentenced Defendant Salutric to eight years in prison and ordered him to pay approximately \$3.9 million in restitution. *U.S. v. Steven W. Salutric*, Criminal Action No. 1:11-cr-00916, Docket No. 35-36.

4. The SEC has authorized the dismissal of all remaining claims for relief against Defendant Salutric.

5. The parties stipulate that this dismissal will not affect any of the previously entered orders by this Court relating to the court-appointed Receiver (Docket No. 51 et al).

6. The parties further stipulate that this Court will retain jurisdiction of this matter for all purposes.

WHEREFORE, the SEC and counsel for Defendant Salutric hereby stipulate to the dismissal, without prejudice, of the SEC's remaining claims for relief against Defendant Steven W. Salutric, each party to bear its own costs.

Respectfully submitted,

s/ Robin Andrews

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Attorney for Plaintiff

U.S. SECURITIES AND

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Dated: January 23, 2014