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**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

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SECURITIES AND EXCHANGE COMMISSION,
Plaintiff,

09 **CIVIL** 8261 (DLC)

-against-

JUDGMENT

IRWIN BOOCK, STANTON B.J. DEFREITAS,
NICOLETTE D. LOISEL, ROGER L. SHOSS
and JASON C. WONG,

Defendants,

and

BIRTE BOOCK, 1621533 ONTARIO, INC., and
ALENA DUBINSKY,

Relief Defendants.

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Whereas on October 27, 2014, Magistrate Judge Debra Freeman, having issued a Report (“Report”) recommending that defendant Roger L. Shoss (“Shoss”) be permanently enjoined from future violations of Sections 5 and 17(a) of the Securities Act, Section 10(b) of the Exchange Act, and Rule 10b-5, and that he be barred from participating in the offering of penny stocks; the report having further recommended that plaintiff Securities and Exchange Commission (“SEC”) be awarded \$2,750,000 disgorgement, plus prejudgment interest, against Shoss and defendant Irwin Boock (“Boock”), jointly and severally; at that time, however, Judge Freeman declined to recommend imposing civil monetary penalties against Shoss and Boock; in light of the subsequent submission by the SEC, Magistrate Judge Freeman having issued a supplemental Report and Recommendation (“Supplemental Report”) on November 24, 2014 recommending that civil penalties be imposed separately against Shoss and Boock in the amount of \$2,860,000 each, and the matter having come before the Honorable Denise Cote, United States District Judge, and the Court, on January 16, 2015, having rendered its Opinion and Order adopting the injunction, penny stock

bar, and disgorgement award portions of the October 27, 2014 Report as well as the November 24, 2014 Supplemental Report, permanently enjoining Shoss from violating Sections 5 and 17(a) of the Securities Act, Section 10(b) of the Exchange Act, and Rule 10b-5, permanently barring him from any future participation in the offer of sale of penny stocks, instructing the Clerk of Court to enter judgment against Boock and Shoss for \$2,750,000, jointly and severally, plus prejudgment interest calculated from March 20, 2006 at the IRS rate, further instructing the Clerk of Court to enter judgments against Boock and Shoss in the amount of \$2,860,000 each, and that because defendants failed to file timely objections to Magistrate Judge Freeman's Reports, they have waived their right to appeal this decision, it is,

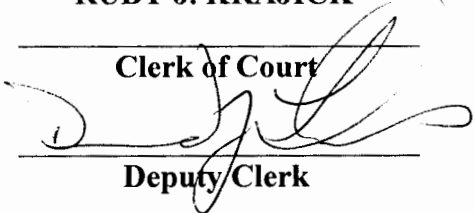
ORDERED, ADJUDGED AND DECREED: That for the reasons stated in the Court's Opinion and Order dated January 16, 2015, the injunction, penny stock bar, and disgorgement award portions of the October 27, 2014 Report as well as the November 24, 2014 Supplemental Report are adopted; Shoss is hereby permanently enjoined from violating Sections 5 and 17(a) of the Securities Act, Section 10(b) of the Exchange Act, and Rule 10b-5; he is also permanently barred from any future participation in the offer or sale of penny stocks; judgment is hereby entered against Boock and Shoss for \$2,750,000, jointly and severally, plus prejudgment interest calculated from March 20, 2006 at the IRS rate in the amount of \$1,362,987.79, for a total sum of \$4,112,987.79; judgment is also entered against Boock and Shoss in the amount of \$2,860,000 each; because defendants failed to file timely objections to Magistrate Judge Freeman's Reports, they have waived their right to appeal the Court's Opinion and Order dated January 16, 2015.

Dated: New York, New York
January 26, 2015

RUBY J. KRAJICK

Clerk of Court

BY:



Deputy Clerk

**THIS DOCUMENT WAS ENTERED
ON THE DOCKET ON _____**