

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

SECURITIES AND EXCHANGE	:	
COMMISSION,	:	
	:	
Plaintiff,	:	
	:	
v.	:	Civil Action No. 09-1423 (GK)
	:	
GARY A. PRINCE,	:	
	:	
Defendant.	:	

ORDER

Plaintiff United States Securities and Exchange Commission ("SEC" or "the Commission") brings this civil action against Defendant Gary A. Prince ("Prince") alleging violations of the Securities Act of 1933 ("Securities Act"), 15 U.S.C. § 77a et seq., the Securities Exchange Act of 1934 ("Exchange Act"), 15 U.S.C. § 78a et seq., and various Rules promulgated under the Exchange Act. On December 10, 2012 through January 4, 2013, the Court held a bench trial in which fifteen witnesses testified. Based on the testimony presented by those witnesses, the voluminous number of exhibits admitted into evidence, the parties' representations of what facts were not in dispute, the parties' post-trial briefs, the applicable caselaw, and for the reasons set forth in the accompanying Memorandum Opinion, it is hereby

ORDERED, that **FINAL JUDGMENT** should be, and hereby is, entered in favor of the SEC on Count VII of its Complaint, the claim that Prince practiced accounting before the Commission in violation of his Accounting Bar; and it is hereby

ORDERED, that Defendant is permanently restrained and enjoined from violating the Commission's Order of June 24, 1997, which Order permanently denies Defendant the privilege of practicing before the Commission as an accountant, pursuant to Rule 102(e) of the Commission's Rules of Practice, 17 C.F.R. § 201.102(e); and it is hereby

ORDERED, that **FINAL JUDGMENT** should be, and hereby is, entered in favor of Prince on all other counts.

May 2, 2013

/s/ _____
Gladys Kessler
United States District Judge

Copies to: attorneys on record via ECF