

The Honorable John C. Coughenour

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UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

JOHN HYUN JOON MIN, and DIME
FINANCIAL GROUP LLC, d/b/a/ DIME
CAPITAL LLC, d/b/a DIME FX

Defendants.

Case No. C09-422JCC

FINAL JUDGMENT AGAINST
DEFENDANT JOHN HYUN JOON MIN

1 Plaintiff Securities and Exchange Commission (“Commission”) has filed a Complaint in
2 this action. Defendant John Hyun Joon Min (“Min” or “Defendant”), having entered a general
3 appearance, has submitted a Consent of Defendant John Hyun Joon Min (“Consent”), which
4 was filed simultaneously with this Final Judgment Against Defendant John Hyun Joon Min
5 (“Final Judgment”). In the Consent, Min admits the jurisdiction of this Court over him and
6 over the subject matter of this action; admits that he was fully advised and informed of the right
7 to a judicial determination of this matter; waived findings of fact and conclusions of law as
8 provided by Rule 52 of the Federal Rules of Civil Procedure; consented to the entry of this
9 Final Judgment, without admitting or denying any of the allegations in the Complaint except as
10 set forth in the Consent; and waived notice of hearing upon the entry of this Final Judgment.
11 The Court, being fully advised in the premises, orders as follows.

12 I.

13 IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Min and his agents,
14 servants, employees, and all persons acting in concert or participation with any of them, who
15 receive actual notice of this Final Judgment, by personal service or otherwise, and each of
16 them, are permanently enjoined and restrained from violating Section 5(a) and Section 5(c) of
17 the Securities Act of 1933 (“Securities Act”) [15 U.S.C. §§ 77e(a) and 77e(c)] by, directly or
18 indirectly, in the absence of any applicable exemption:

- 19 (i) Unless a registration statement is in effect as to a security, making use of any
20 means or instruments of transportation or communication in interstate commerce
21 or of the mails to sell such security through the use or medium of any prospectus
22 or otherwise; or
23 (ii) Making use of any means or instruments of transportation or communication in
24 interstate commerce or of the mails to offer to sell or offer to buy through the
25 use or medium of any prospectus or otherwise any security, unless a registration
26 statement has been filed with the Commission as to such security, or while the
27 registration statement is the subject of a refusal order or stop order or (prior to
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1 the effective date of the registration statement) any public proceeding or
2 examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

3 II.

4 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Min and his agents,
5 servants, employees, and all persons acting in concert or participation with any of them, who
6 receive actual notice of this Final Judgment, by personal service or otherwise, and each of
7 them, are permanently enjoined and restrained from violating Section 17(a) of the Securities
8 Act [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or
9 instruments of transportation or communication in interstate commerce or by use of the mails,
10 directly or indirectly:

- 11 1. to employ any device, scheme, or artifice to defraud;
- 12 2. to obtain money or property by means of any untrue statement of a material fact
13 or any omission of a material fact necessary in order to make the statements
14 made, in light of the circumstances under which they were made, not
15 misleading; or
- 16 3. to engage in any transaction, practice, or course of business which operates or
17 would operate as a fraud or deceit upon the purchaser.

18 III.

19 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Min and his agents,
20 servants, employees, and all persons acting in concert or participation with any of them, who
21 receive actual notice of this Final Judgment, by personal service or otherwise, and each of
22 them, are permanently enjoined and restrained from, directly or indirectly, violating Section
23 10(b) of the Securities Exchange Act of 1934 (“Exchange Act”) [15 U.S.C. § 78j(b)] and Rule
24 10b-5 [17 C.F.R. § 240.10b-5] thereunder by using any means or instrumentality of interstate
25 commerce, or of the mails, or of any facility of any national securities exchange in connection
26 with the purchase or sale of any security:

- 27 1. to employ any device, scheme, or artifice to defraud;

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- 2. to make any untrue statement of a material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- 3. to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

IV.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that the provisions of Min’s Consent filed concurrently with this Final Judgment are incorporated by this reference, that Min shall comply with the Consent and that a breach of the terms of the Consent therefore shall constitute a failure to adhere to the terms of this Final Judgment.

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VII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court shall retain jurisdiction over this action for all purposes, including to implement and enforce the terms of this Order and other orders and decrees which may be entered, and to grant such other relief as this Court may deem necessary and just.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

DATED this 16th day of August, 2010.



John C. Coughenour
UNITED STATES DISTRICT JUDGE