

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO.: 08-22666-CIV-LENARD/GARBER**

**SECURITIES AND EXCHANGE COMMISSION,**

**Plaintiff,**

**v.**

**RICARDO H. GOLDMAN,**

**Defendant.**

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**FINAL JUDGMENT SETTING DISGORGEMENT AND IMPOSING  
CIVIL PENALTY AGAINST DEFENDANT RICARDO H. GOLDMAN**

THIS MATTER is before the Court on Plaintiff Securities and Exchange Commission's Motion and Memorandum of Law to Set Disgorgement and Civil Penalty Amounts as to Defendant Ricardo H. Goldman. DE \_\_\_\_\_. The Court has fully considered the motion, the record herein and being fully advised in the premises; accordingly, it is hereby

**ORDERED AND ADJUDGED** that the Commission's motion to set disgorgement and civil penalty is **GRANTED** and Final Judgment is entered against Goldman as follows:

**I. DISGORGEMENT AND PREJUDGMENT INTEREST**

**IT IS FURTHER ORDERED AND ADJUDGED** that Goldman shall pay \$260,360 in disgorgement, representing the ill-gotten gains he received as a result of his violations of the federal securities laws, together with \$104,849 in prejudgment interest, for a total of \$365,479.

## **II. CIVIL MONEY PENALTY**

**IT IS FURTHER ORDERED AND ADJUDGED** that pursuant to Section 20(d) of the Securities Act of 1933 [15 U.S.C. § 77t(d)] and Section 21(d) of the Securities Exchange Act of 1934 [15 U.S.C. § 78u(d)(3)], Goldman is hereby ordered to pay a civil penalty in the amount of \$130,000.

## **III. PAYMENT INSTRUCTIONS**

**IT IS FURTHER ORDERED AND ADJUDGED** that Goldman's payment of disgorgement, prejudgment interest, and civil penalty shall be due and payable within ten (10) days from the date of this Final Judgment. Payments shall be made to the Clerk of this Court, together with a cover letter identifying Goldman as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Goldman shall simultaneously transmit photocopies of such payment and letter to: Brian K. Barry, Senior Trial Counsel, U.S. Securities & Exchange Commission, 801 Brickell Avenue, Ste. 1800, Miami, FL 33131. By making this payment, Goldman relinquishes all legal and equitable right, title, and interest in such funds, and no part of the funds shall be returned to Goldman. Goldman shall pay post-judgment interest on any delinquent amounts pursuant to 28 USC § 1961.

**IV. RULE 54(b) CERTIFICATION**

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

**V. RETENTION OF JURISDICTION**

**IT IS FURTHER ORDERED AND ADJUDGED** that this Court shall retain jurisdiction over this matter and Goldman in order to implement and carry out the terms of all Orders and Decrees that may be entered or to entertain any suitable application or motion for additional relief within the jurisdiction of this Court, and will order other relief that this Court deems appropriate under the circumstances.

**DONE AND ORDERED** in Chambers in Miami, Florida, this 20 day of Jan, 2010.

  
**THE HONORABLE JOANA. LENARD**  
**UNITED STATES DISTRICT JUDGE**

Copies to:

All counsel of record