

1 KAREN MATTESON, Cal. Bar No. 102103
E-mail: mattesonk@sec.gov
2 DONALD W. SEARLES, Cal. Bar No. 135705
E-mail: searlesd@sec.gov
3 C. DABNEY O'RIORDAN, Cal. Bar No. 205158
E-mail: oriordand@sec.gov

JS-6

4 Attorneys for Plaintiff
5 Securities and Exchange Commission
Rosalind R. Tyson, Regional Director
6 Michele Wein Layne, Associate Regional Director
John M. McCoy III, Regional Trial Counsel
7 5670 Wilshire Boulevard, 11th Floor
Los Angeles, California 90036-3648
8 Telephone: (323) 965-3998
Facsimile: (323) 965-3908

9 **UNITED STATES DISTRICT COURT**
10 **CENTRAL DISTRICT OF CALIFORNIA**
11 **WESTERN DIVISION**

12 SECURITIES AND EXCHANGE
13 COMMISSION,

14 Plaintiff,

15 vs.

16 MERIDIAN HOLDINGS, INC.,
17 ANTHONY C. DIKE, and MICHELLE
V. NGUYEN,

18 Defendants.

Case No. CV07-06335 DDP (SSx)

**FINAL JUDGMENT AS TO
DEFENDANT MICHELLE V.
NGUYEN**

1 The Securities and Exchange Commission having filed a Complaint and
2 Defendant Michelle V. Nguyen having entered a general appearance; consented to
3 the Court's jurisdiction over Defendant and the subject matter of this action;
4 consented to entry of this Final Judgment without admitting or denying the
5 allegations of the Complaint (except as to jurisdiction); waived findings of fact and
6 conclusions of law; and waived any right to appeal from this Final Judgment:

7 **I.**

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
9 and Defendant's agents, servants, employees, attorneys, and all persons in active
10 concert or participation with them who receive actual notice of this Final Judgment
11 by personal service or otherwise are permanently restrained and enjoined from
12 violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of
13 1934 (the "Exchange Act"), 15 U.S.C. § 78j(b), and Rule 10b-5 promulgated
14 thereunder, 17 C.F.R. § 240.10b-5, by using any means or instrumentality of
15 interstate commerce, or of the mails, or of any facility of any national securities
16 exchange, in connection with the purchase or sale of any security:

- 17 (a) to employ any device, scheme, or artifice to defraud;
- 18 (b) to make any untrue statement of a material fact or to omit to state a
19 material fact necessary in order to make the statements made, in the
20 light of the circumstances under which they were made, not
21 misleading; or
- 22 (c) to engage in any act, practice, or course of business which operates or
23 would operate as a fraud or deceit upon any person.

24 **II.**

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
26 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
27 in active concert or participation with them who receive actual notice of this Final
28 Judgment by personal service or otherwise are permanently restrained and enjoined

1 from aiding and abetting any violation of Section 13(a) of the Exchange Act, 15
2 U.S.C. § 78m(a), and Rules 12b-20 and 13a-13 thereunder, 17 C.F.R. §§ 240.12b-
3 20 & 240.13a-13, by knowingly providing substantial assistance to an issuer in
4 filing with the Commission required quarterly reports which fail to include
5 material information necessary to make the required statements, in light of the
6 circumstances under which they are made, not misleading.

7 **III.**

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
9 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
10 in active concert or participation with them who receive actual notice of this Final
11 Judgment by personal service or otherwise are permanently restrained and enjoined
12 from aiding and abetting any violation of Section 13(b)(2)(A) of the Exchange Act,
13 15 U.S.C. § 78m(a), by knowingly providing substantial assistance to an issuer in
14 failing to make and keep books, records, and accounts, which, in reasonable detail,
15 accurately and fairly reflect the transactions and dispositions of the assets of the
16 issuer, and from violating Rule 13b2-1 thereunder, 17 C.F.R. § 240.13b2-1, by
17 directly or indirectly, falsifying or causing to be falsified, any book, record or
18 account subject to Section 13(b)(2)(A).

19 **IV.**

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
21 Defendant shall pay a civil penalty in the amount of \$15,000 pursuant to Section
22 21(d)(3) of the Exchange Act, 15 U.S.C. 78u(d)(3). Defendant shall make this
23 payment within thirty (30) business days after entry of this Final Judgment by
24 certified check, bank cashier's check, or United States postal money order payable
25 to the Securities and Exchange Commission. The payment shall be delivered or
26 mailed to the Office of Financial Management, Securities and Exchange
27 Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3,
28 Alexandria, Virginia 22312, and shall be accompanied by a letter identifying

1 Michelle V. Nguyen as a defendant in this action; setting forth the title and civil
2 action number of this action and the name of this Court; and specifying that
3 payment is made pursuant to this Final Judgment. Defendant shall pay post-
4 judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961. The
5 Commission shall remit the funds paid pursuant to this paragraph to the United
6 States Treasury.

7 **V.**

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the
9 Consent is incorporated herein with the same force and effect as if fully set forth
10 herein, and that Defendant shall comply with all of the undertakings and
11 agreements set forth therein.


12 **VI.**

13 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this
14 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms
15 of this Final Judgment.

16 **VII.**

17 There being no just reason for delay, pursuant to Fed. R. Civ. P. 54(b), the
18 Clerk is ordered to enter this Final Judgment forthwith and without further notice.

19
20
21 Dated: January 05, 2009


22 HONORABLE DEAN D. PREGERSON
23 UNITED STATES DISTRICT JUDGE
24
25
26
27
28