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8 **UNITED STATES DISTRICT COURT**
9 **CENTRAL DISTRICT OF CALIFORNIA**
10 **WESTERN DIVISION**

11 SECURITIES AND EXCHANGE
12 COMMISSION,

13 Plaintiff,

14 vs.

15 MERIDIAN HOLDINGS, INC.,
16 ANTHONY C. DIKE, and MICHELLE
V. NGUYEN,

17 Defendants.

Case No. CV07-06335 DDP (SSx)

**FINAL JUDGMENT AS TO
DEFENDANT ANTHONY C. DIKE**

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1 The Securities and Exchange Commission having filed a Complaint and
2 Defendant Anthony C. Dike having entered a general appearance; consented to the
3 Court's jurisdiction over Defendant and the subject matter of this action; consented
4 to entry of this Final Judgment without admitting or denying the allegations of the
5 Complaint (except as to jurisdiction); waived findings of fact and conclusions of
6 law; and waived any right to appeal from this Final Judgment:

7 **I.**

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
9 and Defendant's agents, servants, employees, attorneys, and all persons in active
10 concert or participation with them who receive actual notice of this Final Judgment
11 by personal service or otherwise are permanently restrained and enjoined from
12 violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of
13 1934 (the "Exchange Act"), 15 U.S.C. § 78j(b), and Rule 10b-5 promulgated
14 thereunder, 17 C.F.R. § 240.10b-5, by using any means or instrumentality of
15 interstate commerce, or of the mails, or of any facility of any national securities
16 exchange, in connection with the purchase or sale of any security:

- 17 (a) to employ any device, scheme, or artifice to defraud;
- 18 (b) to make any untrue statement of a material fact or to omit to state a
19 material fact necessary in order to make the statements made, in the
20 light of the circumstances under which they were made, not
21 misleading; or
- 22 (c) to engage in any act, practice, or course of business which operates or
23 would operate as a fraud or deceit upon any person.

24 **II.**

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
26 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
27 in active concert or participation with them who receive actual notice of this Final
28 Judgment by personal service or otherwise are permanently restrained and enjoined

1 from aiding and abetting any violation of Section 13(a) of the Exchange Act, 15
2 U.S.C. § 78m(a), and Rules 12b-20 and 13a-13 thereunder, 17 C.F.R. §§ 240.12b-
3 20 & 240.13a-13, by knowingly providing substantial assistance to an issuer in
4 filing with the Commission required periodic reports which fail to include material
5 information necessary to make the required statements, in light of the
6 circumstances under which they are made, not misleading.

7 **III.**

8 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
9 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
10 in active concert or participation with them who receive actual notice of this Final
11 Judgment by personal service or otherwise are permanently restrained and enjoined
12 from aiding and abetting any violation of Section 13(b)(2)(A) of the Exchange Act,
13 15 U.S.C. § 78m(a), by knowingly providing substantial assistance to an issuer in
14 failing to make and keep books, records, and accounts, which, in reasonable detail,
15 accurately and fairly reflect the transactions and dispositions of the assets of the
16 issuer, and from violating Rule 13b2-1 thereunder, 17 C.F.R. § 240.13b2-1, by
17 directly or indirectly, falsifying or causing to be falsified, any book, record or
18 account subject to Section 13(b)(2)(A).

19 **IV.**

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
21 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
22 in active concert or participation with them who receive actual notice of this Final
23 Judgment by personal service or otherwise are permanently restrained and enjoined
24 from violating Exchange Act Rule 13a-14, 17 C.F.R. § 240.13a-14, by signing any
25 certification included with an issuer's periodic filings with the Commission,
26 certifying the filings fully comply with the requirements of the Exchange Act and
27 fairly present, in all material respects, the financial condition and results of
28 operation of the company, when, in fact, the reports contain untrue statements of

1 material fact and omit material information necessary to make the reports not
2 misleading; and by electronically filing any certification when that certification has
3 not been manually signed.

4 **V.**

5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
6 Defendant and Defendant's agents, servants, employees, attorneys, and all persons
7 in active concert or participation with them who receive actual notice of this Final
8 Judgment by personal service or otherwise are permanently restrained and enjoined
9 from aiding and abetting any violation of Section 302(b) of Regulation S-T, 17
10 C.F.R. § 232.302(b), by knowingly providing substantial assistance to an issuer in
11 failing to ensure that all signatories of the certifications included with the issuer's
12 periodic filings with the Commission sign the certification pages before or at the
13 time they are electronically filed, and by failing to retain the original executed
14 documents for five years or to provide the Commission staff with copies of the
15 executed documents upon request.

16 **VI.**

17 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that,
18 pursuant to Section 21(d)(2) of the Exchange Act, 15 U.S.C. § 78u(d)(2),
19 Defendant is prohibited for five (5) years following the date of the entry of this
20 Final Judgment, from acting as an officer or director of any issuer that has a class
21 of securities registered pursuant to Section 12 of the Exchange Act, 15 U.S.C. §
22 78j or that is required to file reports pursuant to Section 15(d) of the Exchange Act,
23 15 U.S.C. § 78o(d).

24 **VII.**

25 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that
26 Defendant shall pay a civil penalty in the amount of \$25,000 pursuant to Section
27 21(d)(3) of the Exchange Act, 15 U.S.C. § 78u(d)(3). Defendant shall make this
28 payment pursuant to the terms of payment schedule set forth in paragraph VIII

1 below, after entry of this Final Judgment by certified checks, bank cashier's
2 checks, or United States postal money orders payable to the Securities and
3 Exchange Commission. The payments shall be delivered or mailed to the Office of
4 Financial Management, Securities and Exchange Commission, Operations Center,
5 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be
6 accompanied by a letter identifying Anthony C. Dike as a defendant in this action;
7 setting forth the title and civil action number of this action and the name of this
8 Court; and specifying that payment is made pursuant to this Final Judgment.
9 Defendant shall pay post-judgment interest on any delinquent amounts pursuant to
10 28 U.S.C. § 1961. The Commission shall remit the funds paid pursuant to this
11 paragraph to the United States Treasury.

12 **VIII.**

13 Defendant Anthony C. Dike shall pay the \$25,000 penalty in five
14 installments according to the following schedule: (1) \$5000 on or before January
15 15, 2009; and (2) \$5000, plus post-judgment interest pursuant to 28 U.S.C. § 1961,
16 on or before February 2, 2009; (3) \$5000, plus post-judgment interest pursuant to
17 28 U.S.C. § 1961, on or before May 1, 2009; (4) \$5000, plus post-judgment
18 interest pursuant to 28 U.S.C. § 1961, on or before August 3, 2009; and (5) \$5000,
19 plus post-judgment interest pursuant to 28 U.S.C. § 1961, on or before November
20 2, 2009. If Defendant Anthony C. Dike fails to make any payment by the date
21 agreed and/or in the amount agreed according to the schedule set forth above, all
22 outstanding payments under this Final Judgment, including post-judgment interest,
23 minus any payments made, shall be due and payable immediately without further
24 application to the Court.

25 **IX.**

26 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the
27 Consent is incorporated herein with the same force and effect as if fully set forth
28 herein, and that Defendant shall comply with all of the undertakings and

1 agreements set forth therein.

2 **X.**

3 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this
4 Court shall retain jurisdiction of this matter for the purposes of enforcing the terms
5 of this Final Judgment.

6 **XI.**

7 There being no just reason for delay, pursuant to Fed. R. Civ. P. 54(b), the
8 Clerk is ordered to enter this Final Judgment forthwith and without further notice.

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11 Dated: January 05, 2009



HONORABLE DEAN D. PREGERSON
UNITED STATES DISTRICT JUDGE