

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

FILED

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06 CV 2632  
U.S. DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA  
JAH MLS  
DEPUTY

SECURITIES AND EXCHANGE COMMISSION, )

Plaintiff, )

vs. )

ROBERT J. GALLIVAN, )

Defendant )

Case No.:

FINAL JUDGMENT  
AS TO DEFENDANT  
ROBERT J. GALLIVAN

The Securities and Exchange Commission having filed a Complaint and Defendant Robert J. Gallivan ("Defendant") having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or

1 (c) to engage in any act, practice, or course of business which operates or would  
2 operate as a fraud or deceit upon any person.

3 **II.**

4 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant  
5 is liable for disgorgement of \$106,711.00, representing profits gained as a result of the conduct  
6 alleged in the Complaint, together with prejudgment interest thereon in the amount of  
7 \$17,867.00, and a civil penalty in the amount of \$213,422.00 pursuant to Section 21A of the  
8 Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying  
9 \$338,000.00 within ten (10) business days after entry of this Final Judgment by certified check,  
10 bank cashier's check, or United States postal money order payable to the Securities and  
11 Exchange Commission. The payment shall be delivered or mailed to the Office of Financial  
12 Management, Securities and Exchange Commission, Operations Center, 6432 General Green  
13 Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter  
14 identifying Robert J. Gallivan as a defendant in this action; setting forth the title and civil action  
15 number of this action and the name of this Court; and specifying that payment is made pursuant  
16 to this Final Judgment. Defendant shall pay post-judgment interest on any delinquent amounts  
17 pursuant to 28 USC § 1961. The Commission shall remit the funds paid pursuant to this  
18 paragraph to the United States Treasury.

19 **III.**

20 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is  
21 incorporated herein with the same force and effect as if fully set forth herein, and that Defendant  
22 shall comply with all of the undertakings and agreements set forth therein.  
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IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

IT IS SO ORDERED.

12-5-2006

DATE



JUDGE OF THE DISTRICT COURT