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3/18/2013 12:27 pm

**UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK**

**U.S. DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK  
LONG ISLAND OFFICE**

-----X  
SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

- against -

**JUDGMENT**  
CV-04-2276 (SJF)(WDW)

GREGORY MORTENSON,

Defendant.

-----X

An Order of Honorable Sandra J. Feuerstein, United States District Judge, having been filed on March 11, 2013, granting in part and denying in part plaintiff’s Motion for Judgment on Partial Findings as follows: (1) granting the branch of plaintiff’s motion seeking disgorgement to the extent that defendant Gregory Mortenson (“Mortenson”) is liable to disgorge the total amount of thirty-one thousand one hundred seventy-six dollars and fifty-one cents (\$31,176.51), and otherwise denying that branch of plaintiff’s motion; (2) granting the branch of plaintiff’s motion seeking prejudgment interest to the extent that prejudgment interest is awarded on the disgorgement amount in the total amount of twenty-eight thousand forty-three dollars and seventy-eight cents (\$28,043.78), and otherwise denying that branch of the motion; (3) granting the branch of plaintiff’s motion seeking a third-tier civil penalty, and directing Mortenson to pay a civil penalty in the amount of fifteen thousand five hundred eighty-eight dollars and twenty-five cents (\$15,588.25); and directing the Clerk of the Court to enter final judgment against Mortenson accordingly and to close this case, it is

**ORDERED AND ADJUDGED** that plaintiff’s Motion for Judgment on Partial Findings is granted in part and denied in part; that the branch of plaintiff’s motion seeking disgorgement is

granted to the extent that defendant Gregory Mortenson (“Mortenson”) is liable to disgorge the total amount of thirty-one thousand one hundred seventy-six dollars and fifty-one cents (\$31,176.51), and that the branch of plaintiff’s motion seeking disgorgement is otherwise denied; that the branch of plaintiff’s motion seeking prejudgment interest is granted to the extent that prejudgment interest is awarded on the disgorgement amount in the total amount of twenty-eight thousand forty-three dollars and seventy-eight cents (\$28,043.78), and that the branch of plaintiff’s motion seeking prejudgment interest is otherwise denied; that the branch of plaintiff’s motion seeking a third-tier civil penalty is granted, and that Mortenson is directed to pay a civil penalty in the amount of fifteen thousand five hundred eighty-eight dollars and twenty-five cents (\$15,588.25); and that this case is hereby closed.

Dated: Central Islip, New York  
March 18, 2013

DOUGLAS C. PALMER  
CLERK OF THE COURT

By: /s/ Catherine Vukovich  
Deputy Clerk