RECEIVED CLERK

Thomas M. Melton (4999) Karen L. Martinez (7914)

FILED U.S. DISTRICT COURT

JUN 3 0 2005

Attorneys for Plaintiff

U.S. DISTRICT COURT

United States Securities & Exchange Commission 15 West South Temple, Suite 1800

DISTRICT OF UTAH

Salt Lake City, Utah 84101

Tel. 801-524-5796

BY: DEPUTY CLERK

IN THE UNITED STATES DISTRICT COURT DISTRICT OF UTAH, CENTRAL DIVISION

## SECURITIES AND EXCHANGE COMMISSION.

## PLAINTIFF,

v.

Civil No. 2:03 CV 0914K Judge Dale A. Kimball

Magistrate Judge David Nuffer

DAVID M. WOLFSON; NUWAY HOLDING, INC., a Nevada corporation; MOMENTOUS GROUP, LLC, a Utah limited liability company; LEEWARD CONSULTING GROUP, LLC, a Utah limited liability company; SUKUMO LIMITED, a company incorporated in the British Virgin Islands (a.k.a. SUKUMO GROUP, LTD., FUJIWARA GROUP, FIRST CHARTERED CAPITAL CORPORATION, FIRST COLONIAL TRUST, FIRST CHINA CAPITAL, AND INTERNATIONAL INVESTMENT HOLDING); MICHAEL SYDNEY NEWMAN (A.K.A. MARCUS WISEMAN); STEM GENETICS, INC., a Utah corporation; HOWARD H. ROBERTSON; GINO CARLUCCI; G & G CAPITAL, LLC, an Arizona and Utah limited liability company: F10 OIL AND GAS PROPERTIES, INC.; JON H. MARPLE; MARY E. BLAKE; JON R. MARPLE; GRATEFUL INTERNET ASSOCIATES, LLC, a Colorado limited liability company; DIVERSIFIED FINANCIAL RESOURCES CORPORATION, a Delaware corporation; JOHN CHAPMAN; VALESC HOLDINGS, INC., a New Jersey corporation; JEREMY D. KRAUS; SAMUEL COHEN; NCI HOLDINGS, INC., a Nevada corporation,

FINAL JUDGMENT AS TO DR. HOWARD H. **ROBERTSON** 

DEFENDANTS.

The Securities and Exchange Commission ("Commission") having filed a Complaint and Defendant Dr. Howard H. Robertson ("Defendant") having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that

Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active
concert or participation with them who receive actual notice of this Final Judgment by personal
service or otherwise are permanently restrained and enjoined from violating Section 17(a) of the
Securities Act [15 U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or
instruments of transportation or communication in interstate commerce or by use of the mails,
directly or indirectly:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to obtain money or property by means of any untrue statement of a material fact or any omission of a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or
- (c) to engage in any transaction, practice, or course of business which operates or would operate as a fraud or deceit upon the purchaser.

## III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, pursuant to Section 20(e) of the Securities Act [15 U.S.C. § 77t] and Section 21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited from acting as an officer or director of any issuer that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 781] or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 780(d)].

IV.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that

Defendant is liable for disgorgement of \$19,915.49, together with prejudgment interest thereon
in the amount of \$877.66, pursuant to Section 20(d) of the Securities Act but payment of
disgorgement and pre-judgment interest is waived based on Defendant's sworn representations in
his Statement of Financial Condition. The Court is not ordering Defendant to pay a civil penalty
based on his sworn representations in his Statement of Financial Condition.

Defendant acknowledges that the Court's determination to waive disgorgement and prejudgment interest and not to impose a civil penalty is contingent upon the accuracy and completeness of Defendant's Statements of Financial Condition. If at any time following the entry of this Final Judgment the Commission obtains information indicating that Defendant's representations to the Commission concerning his assets, income, liabilities, or net worth were fraudulent, misleading, inaccurate, or incomplete in any material respect as of the time such representations were made, the Commission may, at its sole discretion and without prior notice to the Defendant, petition the Court for an order requiring Defendant to pay the unpaid portion of the disgorgement and pre-judgment interest, and the maximum civil penalty allowable under the law. In connection with any such petition, the only issue shall be whether the financial information provided by the Defendant was fraudulent, misleading, inaccurate, or incomplete in any material respect as of the time such representations were made. In its petition, the Commission may move this Court to consider all available remedies, including, but not limited to, ordering Defendant to pay funds or assets, directing the forfeiture of any assets, or sanctions for contempt of this Final Judgment. The Commission may also request additional discovery. Defendant may not, by way of defense to such petition: (1) challenge the validity of this Consent

or the Final Judgment; (2) contest the allegations in the Complaint filed by the Commission; (3) assert that payment of a civil penalty should not be ordered; (4) contest the imposition of the maximum civil penalty allowable under the law; or (5) assert any defense to liability or remedy, including, but not limited to, any statute of limitations defense.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated:

June 30,2

, 2005.

UNITED STATES DISTRICT JUDGE

Presented by:

Thomas M. Melton

Karen L/Martinez

Attorneys for Plaintiff

Securities and Exchange Commission

Case 2:03-cv-00914-CW Document 484 Filed 06/30/05 PageID.3414 Page 6 of 7

Approved as to form:

Wellow Bayack
Wallace T. Boyack

Attorney for Defendant

Dr. Howard H. Robertson

## CERTIFICATE OF SERVICE

I hereby certify that on this 30 day of June 2005, I caused to be delivered the Consent of Dr. Howard H. Robertson to Final Judgment of Permanent Injunction and Other Relief

William B. Parson 440 East 3300 South Salt Lake City, UT 84115 Via United States Mail

Michael Golightly 59 West 100 South, Second Floor Salt Lake City, Utah 84101 Via United States Mail

Richard O. Weed WEED & COMPANY 4695 MacArthur Court, Suite 1430 Newport Beach, CA 92660 Via United States Mail

S. Cass Weiland
PATTON BOGGS, LLP
2001 Ross Avenue, Suite 3000
Dallas, TX 75201
Via United States Mail

Erik A. Christiansen
PARSONS BEHLE & LATIMER
201 South Main Street
Salt Lake City, Utah 84111
Via United States Mail

Dr. Howard H. Robertson 2952 N. Avoca Circle Mesa, AZ 85207 Via United States Mail

Wallace Boyack 175 South Main Street, Suite 1212 Salt Lake City, Utah 84111 Via United States Mail John R. Chapman
DIVERSIFIED FINANCIAL
SERVICES CORP.
1771 Wolviston Way
San Diego CA 92154
Via United States Mail

Richard D. Clayton HOLLAND & HART LLP 60 E. South Temple, #2000 Salt Lake City, Utah 84111 Via United States Mail

