

**IN THE UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF PENNSYLVANIA**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

V.

GOING PLATINUM, INC., and  
ALAN H. CATALAN

Defendants.

Honorable James Knoll Gardner

CIVIL ACTION No.: 03-4191

**FINAL JUDGMENT IMPOSING CIVIL PENALTIES AGAINST  
DEFENDANTS GOING PLATINUM, INC. AND ALAN H. CATALAN**

On September 24, 2004, an Order of Default Judgment and Order of Permanent Injunction, Disgorgement, and Prejudgment Interest was entered against defendant Going Platinum, Inc. (“Going Platinum”). On January 19, 2005, an Order of Default Judgment and Order of Permanent Injunction, Disgorgement, and Prejudgment Interest was entered against defendant Alan H. Catalan. All issues concerning the appropriateness of the imposition of civil penalties against defendants were expressly reserved for a later date.

Plaintiff Securities and Exchange Commission (the “Commission”) has now moved for a Final Judgment imposing civil penalties against defendants Going Platinum, Inc. and Alan H. Catalan (collectively “Defendants”). Having received no response from the duly noticed Defendants, it appearing that there is no just reason for delay, and finding that there is sufficient basis for the imposition of civil monetary penalties;

**NOW THEREFORE,**

**I.**

**IT IS HEREBY ORDERED, ADJUDGED, AND DECREED THAT:**

Plaintiff Commission's motion is hereby GRANTED, and Final Judgment is hereby entered against all Defendants, and this Court's September 24, 2004 Order of Default Judgment and Order of Permanent Injunction, Disgorgement, and Prejudgment Interest (against defendant Going Platinum) and January 19, 2005 Order of Default Judgment and Order of Permanent Injunction, Disgorgement, and Prejudgment Interest (against defendant Catalan) are adopted herein in their entirety.

**II.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED THAT:**

Defendant Going Platinum, Inc. shall pay a civil penalty in the amount of \$600,000 pursuant to Section 21(d)(3) of the Securities and Exchange Act of 1934 ("Exchange Act") [15 U.S.C. § 78u(d)] and Section 20(d) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. § 77t(d)]. Defendant shall make this payment within ten (10) business days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Going Platinum, Inc. as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Defendant shall pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961. The Commission shall remit the funds paid

pursuant to this paragraph to the United States Treasury.

**III.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED THAT:**

Defendant Alan H. Catalan shall pay a civil penalty in the amount of \$120,000 pursuant to Section 21(d)(3) of the Exchange Act [15 U.S.C. § 78u(d)] and Section 20(d) of the Securities Act [15 U.S.C. § 77t(d)]. Defendant shall make this payment within ten (10) business days after entry of this Final Judgment by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission. The payment shall be delivered or mailed to the Office of Financial Management, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Mail Stop 0-3, Alexandria, Virginia 22312, and shall be accompanied by a letter identifying Alan H. Catalan as a defendant in this action; setting forth the title and civil action number of this action and the name of this Court; and specifying that payment is made pursuant to this Final Judgment. Defendant shall pay post-judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961. The Commission shall remit the funds paid pursuant to this paragraph to the United States Treasury.

**IV.**

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED THAT:**

This Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

April 16, 2008  
DATE

/s/ JAMES KNOLL GARDNER  
James Knoll Gardner  
United States District Judge