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CLERK. 07-31-02
31 2002

ENTERED
CLERK, U.S. DISTRICT COURT
AUG - 1 2002
2002
CENTRAL DISTRICT OF CALIFORNIA
DEPUTY

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CLERK, U.S. DISTRICT COURT
JUL 15 2002
CENTRAL DISTRICT OF CALIFORNIA
DEPUTY

UNITED STATES DISTRICT COURT
THE CENTRAL DISTRICT OF CALIFORNIA

THIS CONSTITUTES NOTICE OF ENTRY
AS REQUIRED BY FRCP, RULE 77(d).

11 SECURITIES AND EXCHANGE
12 COMMISSION,

13 Plaintiff,

14 v.

15 GERALD S. PAPAZIAN

16 Defendant.

17 / Docketed
18 / Copies / NTC Sent
19 / JS - 5 / JS - 6
20 / JS - 2 / JS - 3
21 / CLSD

04553
Case No. 02-~~14553~~ RMT

FINAL JUDGMENT AS TO
GERALD S. PAPAZIAN

Priority
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Clsd
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JS-5/36
JS-2/JS-3

19 Plaintiff Securities and Exchange Commission ("Commission"), having
20 commenced this action by filing its Complaint alleging that Defendant Gerald S.
21 Papazian ("Papazian") violated Section 17(a) of the Securities Act of 1933 ("Securities
22 Act") [15 U.S.C. § 77q(a)], and Sections 10(b) and 13(b)(5) of the Securities
23 Exchange Act of 1934 ("Exchange Act") [15 U.S.C. §§ 78j(b) and 78m(b)(5)], and
24 Rules 10b-5, 13b2-1, and 13b2-2 [17 C.F.R. ¶¶ 240.10b-5, 240.13b2-1, and 240.13b2-
25 2] promulgated thereunder, and Defendant Papazian, having in his Consent and

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1 Undertaking of Gerald S. Papazian ("Consent"), which is attached hereto and expressly
2 incorporated herein, acknowledged service of process, entered a general appearance,
3 admitted the jurisdiction of this Court over him and over the subject matter of this
4 action, waived the entry of findings of fact and conclusions of law pursuant to Rule 52
5 of the Federal Rules of Civil Procedure, waived filing of an Answer to the Complaint,
6 waived any right he might have to appeal from the entry of this Final Judgment as to
7 Gerald S. Papazian ("Final Judgment") and, without admitting or denying the
8 allegations of the Complaint, except as to jurisdiction which he admits, consented to the
9 entry without further notice of this Final Judgment, ordering Papazian to pay a civil
10 penalty in the amount of \$25,000.00 pursuant to Section 20(d) of the Securities Act [15
11 U.S.C. §77t(d)] and Section 21(d)(3) of the Exchange Act [15 U.S.C. §78u(d)(3)], and it
12 further appearing that this Court has jurisdiction over Papazian and the subject matter of
13 this action, and the Court being fully advised in the premises, and there being no just
14 reason for delay:
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20 **I.**

21 **IT IS HEREBY ORDERED, ADJUDGED AND DECREED** that Defendant
22 Papazian shall pay a civil penalty in the amount of \$25,000.00 pursuant to Section
23 20(d) of the Securities Act [15 U.S.C. § 77t(d)] and Section 21(d)(3) of the Exchange
24 Act [15 U.S.C. § 78u(d)(3)].
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II.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant Papazian shall deliver within fifteen (15) business days of the entry of the Final Judgment in this action a certified or cashiers check in the amount of \$25,000.00 representing the penalty pursuant to Section 20(d) of the Securities Act and Section 21(d)(3) of the Exchange Act, as described in paragraph I, above, to the Office of the Comptroller, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Stop 0-3, Alexandria, VA 22312. The check shall be made payable to the "SECURITIES AND EXCHANGE COMMISSION " and bare on its face the caption "SEC v. PAPAZIAN (HO-3379)." Defendant Papazian shall also deliver a photocopy of the check within fifteen (15) business days of the entry of the Final Judgment in this action to Cheryl J. Scarboro, Esq., Assistant Director, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C., 20549-0706.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the annexed Consent be incorporated herein with the same force and effect as if fully set forth herein.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant Papazian shall fully comply with his undertakings as set forth in the annexed Consent.

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V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this action for purposes of enforcing this Final Judgment.

VI.

There being no reason for delay, the Clerk of Court is hereby directed, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure to enter this Final Judgment forthwith.

Dated: 7/29, 2002


UNITED STATES DISTRICT JUDGE