

1 THOMAS A. ZACCARO, Cal. Bar No. 183241
DIANA TANI, Cal. Bar No. 136656
2 MICHELE WEIN LAYNE, Cal. Bar No. 118395
LORRAINE B. ECHAVARRIA, Cal. Bar No. 191860

3 Attorneys for Plaintiff
4 Securities and Exchange Commission
5 RANDALL R. LEE, Regional Director
SANDRA J. HARRIS, Associate Regional Director, Enforcement
6 5670 Wilshire Boulevard, 11th Floor
Los Angeles, California 90036-3648
7 Telephone: (323) 965-3998
Facsimile: (323) 965-3908

FILED
U.S. DISTRICT COURT
FILED
JAN 23 2002
CENTRAL DISTRICT OF CALIFORNIA
DEPUTY
Priority
Send
Enter
Closed
JS-5/JS-6
JS-2/JS-3
Print Only

8 UNITED STATES DISTRICT COURT
9 FOR THE CENTRAL DISTRICT OF CALIFORNIA

LOGGED

2002 JAN 28 PM 12:27
CLERK U.S. DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
LOS ANGELES
BY: [Signature]

11 SECURITIES AND EXCHANGE
12 COMMISSION,

13 Plaintiff,

14 v.

15 DANIEL J. WOOTEN III,

16 Defendant,

Docketed
Copies Sent
JS-5/JS-3
JS-2/JS-3
CLSD

02-00581 TJH/FMOX
Civil Action No.
17 JUDGMENT OF
18 PERMANENT
19 INJUNCTION AGAINST
20 DEFENDANT DANIEL J.
21 WOOTEN III

ENTERED
CLERK, U.S. DISTRICT COURT
JAN 28 2002
01-28-02
CENTRAL DISTRICT OF CALIFORNIA
BY DEPUTY

THIS CONSTITUTES NOTICE OF ENTRY
AS REQUIRED BY FRCP, RULE 77(d).

22 Plaintiff Securities and Exchange Commission ("Commission"), having filed
23 and served upon Defendant Daniel J. Wooten III ("Wooten") a Summons and
24 Complaint in this matter and Wooten having admitted service upon him of the
25 Summons and Complaint in this action and the jurisdiction of the Court over him
26 and over the subject matter of this action; having been fully advised and informed of
27 his rights to a judicial determination of this matter; having waived the entry of
28 findings of fact and conclusions of law as provided by Rule 52 of the Federal Rules
of Civil Procedure; having consented to the entry of this Judgment of Permanent
Injunction Against Daniel J. Wooten III ("Judgment"); having admitted the
allegations in the Complaint as set forth in the Consent of Defendant Daniel J.

JAN 28 2002

JAN 28 2002

5

SCANNED

1 Wooten III to Entry of Permanent Injunction; and it appearing that no notice of
2 hearing upon the entry of this Judgment is necessary; and the Court being fully
3 advised in the premises; and there being no just reason for delay:

4 I.

5 IT IS ORDERED, ADJUDGED AND DECREED that Wooten and his
6 officers, agents, servants, employees, attorneys and all persons in active concert or
7 participation with any of them, who receive actual notice of this Judgment, by
8 personal service or otherwise, and each of them, are permanently restrained and
9 enjoined from, directly or indirectly, in connection with the purchase or sale of any
10 security, by the use of any means or instrumentality of interstate commerce, or of
11 the mails, or any facility of any national securities exchange:

- 12 A. employing any device, scheme, or artifice to defraud;
- 13 B. making any untrue statement of a material fact or omitting to state a
14 material fact necessary in order to make the statements made, in the
15 light of the circumstances under which they were made, not misleading;
16 or
- 17 C. engaging in any act, practice, or course of business which operates or
18 would operate as a fraud or deceit upon any person;

19 in violation of Section 10(b) of the Securities Exchange Act of 1934, 15 U.S.C. §
20 78j(b) and Rule 10b-5, 17 C.F.R. § 240.10b-5.

21 II.

22 IT IS FURTHER ORDERED, ADJUDGED AND DECREED that this Court
23 shall retain jurisdiction over this action to implement and enforce the terms of the
24 Judgment and other decrees that may be entered herein and to grant such other relief
25 as the Court may deem necessary and just.


26 *
27 *
28 *

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

III.

There being no just reason for delay, the Clerk of the Court is hereby directed, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, to enter this Judgment forthwith.

DATED: January 23, 2002


UNITED STATES DISTRICT JUDGE