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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
SECURITIES AND EXCHANGE COMMISSION,
Plaintiff,

-against-

MAX C. TANNER, ET.AL.
Defendants.



#03,1416

JUDGMENT
02 CIVIL 0306 (WHP)

-----X
Plaintiff having moved this Court for summary judgment, and the Court on July 2, 2003, having issued its Memorandum and Order granting defendant's motion for summary judgment, directing defendant to pay commission \$92,000 in disgorgement, plus \$33,173.81 in prejudgment interest, totaling \$125,173.81, plus a civil penalty of \$75,000, and directing the Clerk to close the case, it is,

ORDERED, ADJUDGED AND DECREED, That for the reasons stated in the Court's Memorandum and Order dated July 2, 2003, plaintiff's motion for summary judgment is granted, defendant is to pay plaintiff \$92,000 in disgorgement, \$33,173.81 in prejudgment interest, totaling \$125,173.81, plus a civil penalty of \$75,000; accordingly, this case is closed.

Dated: NYC, NY

July 9, 2003

J. MICHAEL MC MAHON

Clerk of Court

By: 

Deputy Clerk

THIS DOCUMENT WAS ENTERED
ON THE DOCKET ON 7/10/03