

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MINNESOTA

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UNITED STATES	:	
SECURITIES AND EXCHANGE	:	
COMMISSION,	:	CIVIL ACTION
	:	FILE NO. CV 02-4213 JRT/FLN
	:	
Plaintiff,	:	
	:	
v.	:	
	:	
MICHAEL J. RIVERS and	:	
	:	
THOMAS E. HALL,	:	
	:	
Defendants.	:	
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FINAL JUDGMENT AS TO DEFENDANT THOMAS E. HALL

Defendant Thomas E. Hall ("Defendant") having (i) entered a general appearance; (ii) consented to the Court's jurisdiction over Defendant and the subject matter of this action; (iii) without admitting or denying the allegations of the Complaint, except as to jurisdiction, which is admitted, consented to entry of this Judgment without further notice; (iv) waived findings of fact and conclusions of law; and (v) waived any right to appeal from this Judgment, it is now:

ORDERED, ADJUDGED AND DECREED that Defendant, and Defendant's officers, agents, servants, employees and attorneys, and those persons in active concert or participation with them who receive actual notice of this Judgment, are permanently restrained and enjoined from: violating **Section 10(b) of the Securities Exchange Act of 1934** (15 U.S.C. §78j(b)) and **Rule 10b-5** thereunder (17 C.F.R. §240.10b-5), directly or indirectly, as principals or aiders and abettors, by the use of any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any

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RICHARD D. SLETTEN, CLERK
JUDGMENT ENTD. _____
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security, (1) employing any device, scheme or artifice to defraud, (2) making any untrue statement of a material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading, or (3) engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon any person;

ORDERED, ADJUDGED AND DECREED that Defendant pay a civil penalty imposed pursuant to Section 21(d) of the Securities Exchange Act of 1934 (15 U.S.C. §78u(d)(3)) in the amount of \$50,000. Defendant shall pay the civil penalty by cashier's check, certified check or postal money order made payable to the United States Treasury and transmitted to the Comptroller, Securities and Exchange Commission, Operations Center, 6432 General Green Way, Stop 0-3, Alexandria, VA 22312, under cover of a letter that identified Defendant Hall, the name of the case and the case number of this litigation, and the Court. A copy of the cover letter and check or money order shall simultaneously be transmitted to counsel for the Commission at its Chicago office, 175 W. Jackson Boulevard, Suite 900, Chicago, Illinois 60604.

ORDERED, ADJUDGED AND DECREED that the attached Consent of Defendant Thomas E. Hall be, and hereby is, incorporated herein with the same force and effect as it fully set out.

ORDERED, ADJUDGED AND DECREED that this Court shall retain jurisdiction of this matter for all purposes.

ORDERED, ADJUDGED AND DECREED that, there being no just reason for delay,
the Clerk of the Court is hereby directed to enter this Judgment.

Dated: November 21, 2002



The Honorable John R. Tunheim
U.S. District Judge