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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

DOC # 6

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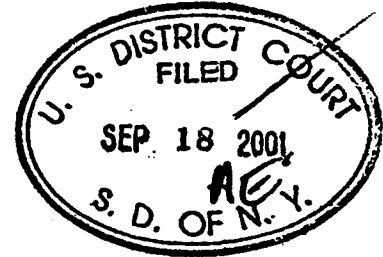
SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

HARRY PARKER DAILY,
JAMES FRANCIS HEALEY,
PAUL MICHAEL HEALEY, and
ROBERT GEORGE VAN HOECKE,

Defendants.



01 CIVIL ACTION NO. *8432*

RELATED

01,1691

JUDGMENT AS TO DEFENDANT PAUL MICHAEL HEALEY

Defendant Paul Michael Healey ("Defendant") having (i) entered a general appearance; (ii) consented to the Court's jurisdiction over Defendant and the subject matter of this action; (iii) without admitting or denying the allegations of the Complaint, consented to entry of this Judgment without further notice; (iv) waived findings of fact and conclusions of law; and (v) waived any right to appeal from this Judgment, it is now

ORDERED, ADJUDGED AND DECREED that Defendant, and Defendant's agents, servants, employees and attorneys-in-fact, and those persons in active concert or participation with them who receive actual notice of this Judgment, are permanently restrained and enjoined from violating Section 10(b) of the Securities Exchange Act of 1934 (15 U.S.C. §78j(b)) and Rule 10b-5 thereunder (17 C.F.R. §240.10b-5), directly or indirectly, by the use of any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security, (1) employing any device, scheme or artifice to

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defraud, (2) making any untrue statement of a material fact or omitting to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading, or (3) engaging in any act, practice or course of business which operates or would operate as a fraud or deceit upon any person.

ORDERED, ADJUDGED AND DECREED that Defendant is liable for disgorgement of \$10,568.30, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$3,340.91, for a total of \$13,909.21. Defendant shall satisfy this obligation by paying \$13,909.21 within 10 business days to the Comptroller of the SEC, 450 Fifth Street, N.W., Washington, D.C. 20549, by certified check payable to the "U.S. Treasury" or by money order, together with a letter specifying that payment is made pursuant to this Judgment. Defendant shall simultaneously transmit photocopies of such payment and letter to the SEC's counsel in this action. The SEC shall forward such funds to the U.S. Treasury.

ORDERED, ADJUDGED AND DECREED that Defendant pay a civil penalty of \$10,568.30 pursuant to Section 21A of the Securities Exchange Act of 1934 (15 U.S.C. §78u-1). Defendant shall satisfy this obligation by paying \$10,568.30 within 10 business days to the Comptroller of the SEC, 450 Fifth Street, N.W., Washington, D.C. 20549, by certified check payable to the "U.S. Treasury" or by money order, together with a letter specifying that payment is made pursuant to this Judgment. Defendant shall simultaneously transmit photocopies of such payment and letter to the SEC's counsel in this action. The SEC shall forward such funds to the U.S. Treasury.

There being no just reason for delay, pursuant to Fed. R. Civ. P. 54(b), the Clerk is ordered to

enter this Judgment forthwith and without further notice.

Dated:

9/10/01


UNITED STATES DISTRICT JUDGE

PANT



THIS DOCUMENT WAS ENTERED
ON THE DOCKET ON 9/21/01

(see docket)
~~2001~~

CLOSED, MEMBER, REFERRED

U.S. District Court
United States District Court for the Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:01-cv-08432-UA

SEC v. Daily, et al
Assigned to: Judge Unassigned
Demand: \$0
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 09/10/2001
Date Terminated: 09/18/2001
Jury Demand: None
Nature of Suit: 850
Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Plaintiff

Securities and Exchange Commission

represented by **James A. Kidney**
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Mail Stop 7-5
Washington, DC 20549
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LEAD ATTORNEY

V.

Defendant

Harry Parker Daily
TERMINATED: 09/18/2001

Defendant

James Francis Healey

*TERMINATED: 09/18/2001***Defendant****Paul Michael Healey***TERMINATED: 09/18/2001***Defendant****Robert George Van Hoecke**

Date Filed	#	Docket Text
09/10/2001	1	COMPLAINT filed. Summons issued and Notice pursuant to 28 U.S.C. 636(c). . (jol) (Entered: 09/20/2001)
09/10/2001		CASE REFERRED TO Judge Thomas P. Griesa as possibly related 1:01cv8431. (jol) (Entered: 09/20/2001)
09/10/2001	2	Notice by Securities and Exchange Commission requesting that this action be filed as related to case 01cv8431 (TPG). (jol) (Entered: 09/20/2001)
09/10/2001	3	RULE 1.9 CERTIFICATE filed by SEC . (jol) (Entered: 09/20/2001)
09/18/2001	4	CONSENT JUDGMENT #01,1693 as to defendant James Francis Healey. Defendant, and defendant's agents, etc. are permanently restrained and enjoined as set forth in this document. Defendant is liable for disgorgement of \$3,228.13 together with prejudgment interest in the amount of \$1,020.49, for a total of \$4,248.62; and is jointly and severally liable with his brother, Paul Healey, for disgorgement of \$10,568.30 together with prejudgment interest in the amount of \$3,340.91, for a total of \$13,909.21 as set forth in this document. Defendant shall pay a civil penalty of \$13,796.43 as set forth in this document. (signed by Judge Denny Chin). Entered On Docket: 9/20/01. (kw) (Entered: 09/20/2001)
09/18/2001	5	CONSENT JUDGMENT as to Harry Parker Daily #01,1692, that Defendant Daily, and Defendant's agents, servants, employees and attorneys-in-fact, etc., are permanently restrained and enjoined as set forth in this Consent Judgment; Defendant is liable for disgorgement of \$11,895.15, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$179.10, for a total of \$12,074.25; Defendant shall pay a civil penalty of \$11,895.15 purs. to Sect8oin 21A of the Securities Exchange Act of 1934 (15 U.S.C. sec. 78u-1). (signed by Judge Denny Chin) Entered On Docket: 9/20/01. (ae) (Entered: 09/20/2001)
09/18/2001	6	CONSENT JUDGMENT #01,1691, against Paul Michael Healey; Defendant, and Defendant's agents, servants, employees and attorneys-in-fact, etc. are permanently restrained and enjoined as set forth in this Consent Judgment; Defendant is liable for disgorgement of \$10,568.30 representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of

		\$3,340.91, for a total of \$13,909.21; Defendant shall pay a civil penalty of \$10,568.30 purs. to Section 21A of the Securities Exchange Act of 1934 (15 U.S.C. sec. 78u-1). (signed by Judge Denny Chin, Part I) Entered On Docket: 9/21/01. (ae) (Entered: 09/21/2001)
09/18/2001	7	CONSENT JUDGMENT #01,1694, as to Robert George Van Hoecke; that deft, and deft's agents, servants, employees etc are permanently restrained and enjoined from violating Sec 10(b) of the SEC Act of 1934 (15 USC 78j(b) and Rule 10b-5 as further set forth in this document. Deft is liable for disgorgement of \$17,531.92, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$5,542.28, for a total of \$23,074.20. Deft shall satisfy this obligation by paying \$23,074.20 the Clerk of this Court within 10 business days, by certified check payable to "Clerk of Court" and deft shall pay a civil penalty of \$17,531.92 purs to SEC 21A within 10 business days as further set forth in this document (signed by Judge Denny Chin, Part I) Entered On Docket: 9/24/01; (forwarded doc to the Cashiers Office) (cd) (Entered: 09/24/2001)
09/18/2001		Case closed. (cd) (Entered: 09/24/2001)
09/28/2001		Revceived \$23,000.20 in Cashiers Office on 9/28/01. Placed into C.R.I.S. on 10/3/01. (jp) (Entered: 11/07/2001)
01/17/2006		Plaintiff's Proposed Distribution Plan of Disgorged Assets and Application for Appointment of Special Agent. Document filed by Securities and Exchange Commission. Original filed in case 01cv8431, doc #7. (jco,) (Entered: 01/19/2006)
01/17/2006		MOTION to Consolidate Cases 1:01-cv-8431 and 1:01-cv-8432 ; MOTION to Approve Plaintiff's Proposed Distribution Plan of Disgorged Assets and Application for Appointment of Special Agent. Document filed by Securities and Exchange Commission. Document filed by Securities and Exchange Commission. (jco,) (Entered: 01/19/2006)
03/17/2006		ORDER granting <input type="checkbox"/> Motion to Consolidate Cases 1:01-cv-8431 (as Lead Case) with 1:01-cv-8432, granting <input type="checkbox"/> Motion to Approve . These actions are consolidated for the purpose of distributing the Disgorgement Fund. The Commission's proposed distribution plan of disgorged assets, submitted with the Commission's motion, is approved. The Clerk of this Court shall notify Plaintiff and Plaintiff's Special Agent of the amount of money in the Disgorgement Fund that is currently held in the Court Registry's interest bearing amount in this case, and transfer those funds into the non-interest bearing Registry Fund of this Court where those funds shall remain pending further order of this Court. Original filed in lead case 01cv8431, doc #9. (Signed by Judge Thomas P. Griesa on 3/14/06) (jco,) (Entered: 03/20/2006)

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