

**FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE
FINAL ORDER OF THE COMMISSION ON APRIL 20, 2019 PURSUANT
TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934**

Notice of Covered Action Redacted
Redacted
Redacted

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Redacted

PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notices of Covered Actions (collectively, the “Covered Actions”), the Securities and Exchange Commission received whistleblower award claims from Redacted (“Claimant”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated these award claims in accordance with the criteria set forth in Rules 21F-1 through 21F-17. The Claims Review Staff has preliminarily determined to recommend that the Commission deny the above award claims. The basis for this determination is as follows:

First, Claimant was not a “whistleblower” during the relevant time period under Exchange Act Rule 21F-2(a)(1). To qualify as a whistleblower, an individual must provide the Commission with information relating to a possible securities law violation pursuant to the procedures set forth in Exchange Act Rule 21F-9(a), which Claimant did not do. Specifically, Claimant did not submit Claimant’s information by mailing or faxing a Form TCR to the Office of the Whistleblower or through the Commission’s on-line system at any time *before* the qualifying final judgments or order were issued in the above-listed matters.¹

Second, Claimant’s information did not lead to the success of any of the above-referenced Covered Actions within the meaning of Exchange Act Rule 21F-4(c). Claimant’s tip

¹ Claimant submitted a Form TCR to the Commission on Redacted alleging property fraud. Claimant’s Form TCR was submitted *after* the issuance of the qualifying final judgments or orders in each of the Covered Actions for which Claimant applied for an award. Redacted tip also was submitted after the posting of the Notices for the Covered Actions.

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was submitted *after* the entry of the qualifying final judgments or orders in the Covered Actions, and as such, could not have had any effect of the success of those enforcement actions, and was not forwarded to Enforcement staff in connection with any matter.

Finally, with respect to Covered Actions ^{Redacted} Claimant failed to submit the claims for award to the Office of the Whistleblower within ninety (90) days of the Notices of those Covered Actions, as required under Rule 21F-10(b) of the Exchange Act.

By: Claims Review Staff

Date: February 19, 2019