

FINAL ORDER - THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION AS TO CLAIMANT 2 ON JANUARY 27, 2019 PURSUANT TO RULE 21F-10(f) OF THE SECURITIES EXCHANGE ACT OF 1934

Notice of Covered Action:

Redacted

Redacted

Redacted

PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

In response to the above-referenced Notice of Covered Action (the “Covered Action”), the Securities and Exchange Commission received timely whistleblower award claims^{Redacted} hereinafter referred to as “Claimant 1”), and separately submitted by^{Redacted} (“Claimant 2”) (collectively, Claimants 1 and Claimant 2 are referred to as the “Claimants”). Pursuant to Section 21F of the Securities Exchange Act of 1934 (the “Exchange Act”) and Rule 21F-10 promulgated thereunder, the Claims Review Staff has evaluated these claims in accordance with the criteria set forth in Rules 21F-1 through 21F-17.

The Claims Review Staff has preliminarily determined to recommend to the Commission that the Claimants’ claims for awards in the Covered Action be denied. The information^{Redacted} provided by the Claimants did not lead to the successful enforcement of Covered Action^{Redacted} within the meaning of Section 21F(b)(1) of the Exchange Act and Rules 21F-3(a)(3) and 21F-4(c) thereunder because it did not:

- a. cause the Commission to (i) commence an examination; (ii) open or reopen an investigation; or (iii) inquire into different conduct as part of a current Commission examination or investigation under Rule 21F-4(c)(1) of the Exchange Act; or
- b. significantly contribute to the success of a Commission judicial or administrative enforcement action under Rule 21F-4(c)(2) of the Exchange Act.¹

By: Claims Review Staff

Date: September 27, 2018