

ORIGINAL

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U.S.D.C. - Atlanta

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION

MAR 01 2011

JAMES H. HATTEN, Clerk
By:  Deputy Clerk

SECURITIES AND EXCHANGE)
COMMISSION,)
)
Plaintiff,)
)
v.)
)
GREGORY A. SEIB,)
)
Defendant.)
_____)

RWS
Civil Action No.
1:11-CV-0626

COMPLAINT FOR INJUNCTIVE AND OTHER RELIEF

The Securities and Exchange Commission ("Commission" or "SEC") files its complaint, and alleges the following:

Summary

1. This matter involves insider trading by Gregory A. Seib ("Seib"), who purchased stock and call options in NASDAQ-listed Cambridge Display Technology, Inc. ("Cambridge"), after misappropriating confidential information about the company from his employer, who served as an outside director of Cambridge. Seib worked for the owner of an unregistered investment adviser based in Atlanta, Georgia.

2. Unbeknownst to his employer, between April 27, 2007, and July 13, 2007, Seib purchased 20 call options and 10,514 shares of Cambridge stock after viewing e-mails received by his employer describing a pending merger agreement between Cambridge and Sumitomo Chemical Company, Ltd. (“Sumitomo”).

3. Following the public announcement of the merger agreement on July 31, 2007, Seib sold his Cambridge shares (and exercised his call options) between August 6 and September 24, 2007, realizing a profit of \$71,654.

4. Defendant Seib has engaged, and unless restrained and enjoined by this Court, will continue to engage in acts and practices which constitute and will constitute violations of Section 10(b) of the Securities Exchange Act of 1934 (“Exchange Act”) [15 U.S.C. 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. 240.10b-5].

Jurisdiction and venue

5. The Commission brings this action pursuant to Sections 21(d) and 21(e) of the Exchange Act [15 U.S.C. §§ 78u(d) and 78u(e)] to enjoin the defendant from engaging in transactions, acts, practices and courses of business alleged in this complaint, and transactions, acts, practices, and courses of business of similar purport and object, for disgorgement of illegally obtained funds and other equitable relief, and for civil money penalties. This Court has jurisdiction of

this action pursuant to Sections 21(d), 21(e) and 27 of the Exchange Act [15 U.S.C. §§ 78u(d), 78u(e) and 78aa].

6. The defendant, directly and indirectly, has made use of the mails, the means and instruments of transportation and communication in interstate commerce, and the means and instrumentalities of interstate commerce, in connection with the transactions, acts, practices and courses of business alleged in this Complaint.

7. Venue lies in this Court pursuant to Section 27 of the Exchange Act [15 U.S.C. § 78aa] because the defendant resides within this district, and certain of the actions set forth herein occurred within the Northern District of Georgia.

The Defendant

8. Gregory A. Seib, age 40, resides in Atlanta, Georgia. During the relevant period, Seib was a managing director of an unregistered investment adviser which managed the investments of a single affiliated hedge fund.

Other Relevant Entity

9. Cambridge Display Technology, Inc. ("Cambridge") is a Delaware corporation with its principal place of business in Cambridge, England. Founded in 1992, Cambridge was a pioneer in the development of various technologies used in the manufacture and design of electronic display products. On September 19,

2007, Cambridge was acquired by, and became a wholly-owned subsidiary of, Sumitomo, a Japanese corporation with its principal place of business in Tokyo, Japan. Prior to the merger, Cambridge's common stock was registered with the Commission pursuant to Section 12(b) of the Exchange Act and traded on the Nasdaq Global Market under the symbol "OLED."

Seib Learns about the Merger

10. Beginning in May 1998, Seib worked as a managing director at an unregistered investment adviser that provided investment advice and management services exclusively to an unregistered hedge fund (the "Fund") that invested primarily in private equity.

11. Formed in 1997, the Fund belonged to its owner (the "Owner"), and essentially operated as a two-man shop, with the Owner and Seib making initial investment decisions for the Fund and frequently traveling and working remotely from the offices of various companies in which the Fund had invested.

12. The Owner was a director of Cambridge and chairman of Cambridge's audit committee from November 2006 until September 2007.

13. The Owner had an extensive travel schedule, did not carry a BlackBerry and did little to manage his own e-mails.

14. Consequently, the Owner shared the password to his work e-mail account with Seib and tasked Seib with periodically checking his, the Owner's, e-mails to look for anything that might be coming in related to the Fund.

15. With the Owner's password, Seib had the ability to access the Owner's e-mail account from the office and remotely.

16. Seib routinely checked the Owner's e-mail account between March and July 2007, when the Owner in his capacity as a director of Cambridge was regularly receiving e-mails concerning the merger.

17. The Owner did not expect that Seib would trade securities based upon any confidential information contained in the Owner's e-mails.

18. As an employee of the Fund, Seib was subject to the firm's written "Investment Policy Committee Procedures," which required him to report all of his intended securities transactions to the Owner in writing for approval.

19. More generally, the policy required Seib to avoid impropriety and the appearance of impropriety. Any questions were to be referred to the Owner. This policy was extensively discussed with Seib who was well aware of the policy.

The Owner Received E-mails Discussing the Merger

20. As a member of Cambridge's board of directors from November 2006 until September 2007, the Owner also served as chairman of the audit committee

and member of the compensation committee. In that capacity, the Owner frequently received e-mails from Cambridge discussing the progress of the merger, pricing information and drafts of the merger agreement.

21. The Owner learned of the merger at some point prior to March 26, 2007, when he attended a board meeting at which Cambridge's investment bankers presented details about the contemplated transaction, which the investment banker's determined to be advisable and in the company's interests.

22. Prior to the March 26 board meeting, and continuing until the merger was publicly announced on July 31, 2007, the Owner routinely received e-mails providing details about the merger.

23. The e-mails were typically sent to Cambridge board members in connection with various special meetings called to update the board as to the status of merger negotiations with Sumitomo.

Seib's Suspicious Trading

24. Between April 27, 2007, and July 10, 2007, during which time he had access to e-mails discussing the merger, Seib used four different brokerage accounts to purchase 20 call options and 10,514 shares of Cambridge stock.

25. Following the public announcement of the merger, Seib sold those shares (and exercised his call options) between August 6 and September 24, 2007, realizing a profit of \$71,654.

26. Seib admitted that he knew that sometime in early 2007, prior to his trading in Cambridge stock, the Owner was a director at Cambridge.

27. Seib admitted that, at some point in June 2007, he inadvertently opened an e-mail to the Owner describing the merger, which he knew was non-public information, but nonetheless traded in Cambridge shares after viewing it.

28. Seib had access to a continuous stream of merger-related e-mails sent to the Owner, and continued to purchase Cambridge shares or call options as follows.

29. On April 27, 2007, Cambridge and Sumitomo entered into a confidentiality agreement. The same day, Seib purchased 3,000 shares and 20 call options with a strike price of \$5 per share and an expiration date of September 22, 2007.

30. On May 21, 2007, the Owner received an e-mail from the Cambridge CEO describing an acquisition offer from "Sumeria." The same day, Seib purchased 2,000 Cambridge shares.

31. On June 13, 2007, the Cambridge board of directors met to discuss progress on the transaction. The Owner participated by telephone and received an e-mail from Cambridge discussing valuation issues. The same day, Seib purchased 2,000 Cambridge shares.

32. On June 18, 2007, the Cambridge board of directors met to discuss the progress on the transaction, specifically Sumitomo's new offer to purchase Cambridge for \$12 per share. The Owner participated by telephone and received an e-mail from the Cambridge CFO describing an offer by "Rose" to purchase Cambridge for \$12 per share. A day later, Seib purchased 1,000 Cambridge shares.

33. On June 22, 2007, the Cambridge board of directors met to discuss extending the exclusivity of the due diligence process granted to Sumitomo. The Owner participated by telephone and received an e-mail from Cambridge's CFO attaching Sumitomo's offer to purchase Cambridge for \$12 per share. The same day, Seib purchased 500 shares.

34. On July 5, 2007, the Cambridge board of directors met to discuss the draft merger agreement. The day before, the Owner received an e-mail from Cambridge's CFO attaching the initial draft merger agreement. On July 6, 2007,

Seib purchased 1,000 Cambridge shares. Seib purchased 15 additional shares on July 10, 2007.

35. On July 13, 2007, the Cambridge board of directors met to discuss an additional extension of the due diligence exclusivity agreement. On July 9, 2007 the Owner received an e-mail from the Cambridge CFO attaching a revised copy of merger agreement. On July 13, 2007, Seib purchased an additional 1,000 Cambridge shares.

Seib Attempts to Conceal His Trading from the Owner

36. In violation of Cambridge's written investment policy, Seib never informed the Owner about his Cambridge share purchases.

37. The Owner first learned of Seib's trading in January 2008, when he received a list of suspicious trades in connection with an inquiry from the Financial Industry Regulatory Authority ("FINRA").

38. The Owner was angry and very disappointed, and personally confronted Seib about his purchases.

39. Seib lied that he was unaware of the merger until it was announced publicly, but still apologized for failing to disclose his trading.

40. Seib knew about the proposed Cambridge merger when he made the purchases of Cambridge stock and options described above.

CLAIM FOR RELIEF

COUNT I—FRAUD

Violations of Section 10(b) of the Exchange Act [15. U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. § 240.10b-5]

41. Paragraphs 1 through 40 are hereby realleged and are incorporated herein by reference.

42. Defendant Seib, in connection with the purchase and sale of securities described herein, by the use of the means and instrumentalities of interstate commerce and by use of the mails, directly and indirectly:

- a) employed devices, schemes, and artifices to defraud;
- b) made untrue statements of material facts and omitted to state material facts necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; and
- c) engaged in acts, practices, and courses of business which would and did operate as a fraud and deceit upon the purchasers of such securities, all as more particularly described above.

43. Defendant Seib knowingly, intentionally, and/or recklessly engaged in the aforementioned devices, schemes and artifices to defraud, made untrue statements of material facts and omitted to state material facts, and engaged in fraudulent acts, practices and courses of business. In engaging in such conduct, defendant Seib acted

with scienter, that is, with an intent to deceive, manipulate or defraud or with a severe reckless disregard for the truth.

44. By reason of the foregoing, defendant Seib, directly and indirectly, has violated and, unless enjoined, will continue to violate Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. § 240.10b-5].

PRAYER FOR RELIEF

WHEREFORE, plaintiff Commission respectfully prays that the Court:

I.

Make findings of fact and conclusions of law in accordance with Rule 52 of the Federal Rules of Civil Procedure.

II.

Issue a permanent injunction enjoining defendant Seib and his agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of the order by personal service or otherwise, and each of them from violating Section 10(b) of the Exchange Act [15 U.S.C. 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. 240.10b-5].

III.

Issue an Order requiring defendant Seib to disgorge all ill-gotten gains as alleged in the Commission's complaint, plus pay prejudgment interest thereon.

IV.

Issue an Order requiring defendant Seib, pursuant to Sections 21(d)(3) and 21A of the Exchange Act [15 U.S.C. 78u(d)(3) and 78u-1], to pay civil monetary penalties.

V.

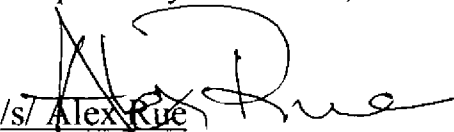
Issue an Order that retains jurisdiction over this action in order to implement and carry out the terms of all orders and decrees that may have been entered or to entertain any suitable application or motion by the Commission for additional relief within the jurisdiction of this Court.

VI.

Grant such other and further relief as may be necessary and appropriate.

Dated: March 1, 2011

Respectfully Submitted,


/s/ Alex Rue

Alex Rue
Senior Trial Counsel

COUNSEL FOR PLAINTIFF
U. S. SECURITIES AND EXCHANGE COMMISSION
3475 Lenox Road, N.E., Suite 500
Atlanta, Georgia 30326-1234
(404) 842-7616
Ruea@sec.gov

UNITED STATES DISTRICT COURT

for the

Northern District of Georgia

Securities and Exchange Commission,

Plaintiff,

v.

Gregory A. Seib,

Defendant.

Civil Action No.

1:11-CV-0626

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

Gregory A. Seib
1470 Valley Glen Way
Dunwoody, GA 30338

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Alex Rue
Senior Trial Counsel
Securities and Exchange Commission
3475 Lenox Road, Suite 500
Atlanta, GA 30326

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

JAMES N. HATTEN

CLERK OF COURT

Date: 01 MAR 2011

(Handwritten signature)

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE

(This section must be filed with the court unless exempted by Fed. R. Civ. P. 4 (l).)

This summons for *(name of individual and title, if any)* _____
was received by me on *(date)* _____.

I personally served the summons on the individual at *(place)* _____
_____ on *(date)* _____; or

I left the summons at the individual's residence or usual place of abode with *(name)* _____
_____, a person of suitable age and discretion who resides there,
on *(date)* _____, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* _____, who is
designated by law to accept service of process on behalf of *(name of organization)* _____
_____ on *(date)* _____; or

I returned the summons unexecuted because _____; or

Other *(specify):* _____

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

The JS44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form is required for the use of the Clerk of Court for the purpose of initiating the civil docket record. (SEE INSTRUCTIONS ATTACHED)

<p>I. (a) PLAINTIFF(S)</p> <p>Securities and Exchange Commission</p> <p>(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF _____ (EXCEPT IN U.S. PLAINTIFF CASES)</p>	<p>DEFENDANT(S)</p> <p>Gregory A. Scib</p> <p style="text-align: right; font-size: 2em; font-weight: bold;">RWS</p> <p>COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT <u>DeKalb County</u> (IN U.S. PLAINTIFF CASES ONLY)</p> <p>NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED</p>
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<p>(c) ATTORNEYS (FIRM NAME, ADDRESS, TELEPHONE NUMBER, AND E-MAIL ADDRESS)</p> <p>Alex Rue Securities and Exchange Commission Atlanta Regional Office 3475 Lenox Road, N.E., Suite 500 Atlanta, Georgia 30326-1232 Telephone No. (404) 842-7616, E-mail: ruea@sec.gov</p>	<p>ATTORNEYS (IF KNOWN)</p> <p>Michael K. Wolensky Schiff Hardin LLP One Atlantic Center 1201 West Peachtree Street NW., Suite 2300 Atlanta, GA 30309 Telephone No. 404.437.7030 Fax No. 404.437.7100 E-mail: mwolensky@schiffhardin.com</p>
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II. BASIS OF JURISDICTION
(PLACE AN "X" IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 U.S. GOVERNMENT PLAINTIFF	<input type="checkbox"/> 3 FEDERAL QUESTION (U.S. GOVERNMENT NOT A PARTY)
<input type="checkbox"/> 2 U.S. GOVERNMENT DEFENDANT	<input type="checkbox"/> 4 DIVERSITY (INDICATE CITIZENSHIP OF PARTIES IN ITEM III)

III. CITIZENSHIP OF PRINCIPAL PARTIES
(PLACE AN "X" IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT)
(FOR DIVERSITY CASES ONLY)

PLF	DEF		PLF	DEF	
<input type="checkbox"/> 1	<input type="checkbox"/> 1	CITIZEN OF THIS STATE	<input type="checkbox"/> 4	<input type="checkbox"/> 4	INCORPORATED OR PRINCIPAL PLACE OF BUSINESS IN THIS STATE
<input type="checkbox"/> 2	<input type="checkbox"/> 2	CITIZEN OF ANOTHER STATE	<input type="checkbox"/> 5	<input type="checkbox"/> 5	INCORPORATED AND PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE
<input type="checkbox"/> 3	<input type="checkbox"/> 3	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	<input type="checkbox"/> 6	<input type="checkbox"/> 6	FOREIGN NATION

IV. ORIGIN (PLACE AN "X" IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 ORIGINAL PROCEEDING	<input type="checkbox"/> 2 REMOVED FROM STATE COURT	<input type="checkbox"/> 3 REMANDED FROM APPELLATE COURT	<input type="checkbox"/> 4 REINSTATED OR REOPENED	<input type="checkbox"/> 5 TRANSFERRED FROM ANOTHER DISTRICT (Specify District)	<input type="checkbox"/> 6 MULTIDISTRICT LITIGATION	<input type="checkbox"/> 7 APPEAL TO DISTRICT JUDGE FROM MAGISTRATE JUDGE JUDGMENT
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V. CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE - DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

Defendants have engaged in acts and practices that constitute violations of Sections 5(a), 5(c), and 17(a) of the Securities Act of 1933 ("Securities Act") [15 U.S.C. §§77e(a), 77e(c) and 77q(a)], Section 10(b) of the Securities and Exchange Act of 1934 [15 U.S.C. §78j(b)] and Rule 10(b)-5 thereunder [17 C.F.R. . §240.10b-5].

(IF COMPLEX, CHECK REASON BELOW)

<input type="checkbox"/> 1. Unusually large number of parties.	<input type="checkbox"/> 6. Problems locating or preserving evidence
<input type="checkbox"/> 2. Unusually large number of claims or defenses.	<input type="checkbox"/> 7. Pending parallel investigations or actions by government.
<input type="checkbox"/> 3. Factual issues are exceptionally complex	<input type="checkbox"/> 8. Multiple use of experts.
<input type="checkbox"/> 4. Greater than normal volume of evidence.	<input type="checkbox"/> 9. Need for discovery outside United States boundaries.
<input type="checkbox"/> 5. Extended discovery period is needed.	<input type="checkbox"/> 10. Existence of highly technical issues and proof.

CONTINUED ON REVERSE

FOR OFFICE USE ONLY			
RECEIPT # _____	AMOUNT \$ _____	APPLYING IFP _____	MAG. JUDGE (IFP) _____
JUDGE _____	MAG. JUDGE _____	NATURE OF SUIT _____	CAUSE OF ACTION _____
(Referral)			
RWS		850	1510078

VI. NATURE OF SUIT (PLACE AN "X" IN ONE BOX ONLY)

CONTRACT - "0" MONTHS DISCOVERY TRACK

- 150 RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT
- 152 RECOVERY OF DEFAULTED STUDENT LOANS (Excl. Veterans)
- 153 RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS

CONTRACT - "4" MONTHS DISCOVERY TRACK

- 110 INSURANCE
- 120 MARINE
- 130 MILLER ACT
- 140 NEGOTIABLE INSTRUMENT
- 151 MEDICARE ACT
- 160 STOCKHOLDERS' SUITS
- 190 OTHER CONTRACT
- 195 CONTRACT PRODUCT LIABILITY
- 196 FRANCHISE

REAL PROPERTY - "4" MONTHS DISCOVERY TRACK

- 210 LAND CONDEMNATION
- 220 FORECLOSURE
- 230 RENT LEASE & EJECTMENT
- 240 TORTS TO LAND
- 245 TORT PRODUCT LIABILITY
- 290 ALL OTHER REAL PROPERTY

TORTS - PERSONAL INJURY - "4" MONTHS DISCOVERY TRACK

- 310 AIRPLANE
- 315 AIRPLANE PRODUCT LIABILITY
- 320 ASSAULT, LIBEL & SLANDER
- 330 FEDERAL EMPLOYERS' LIABILITY
- 340 MARINE
- 345 MARINE PRODUCT LIABILITY
- 350 MOTOR VEHICLE
- 355 MOTOR VEHICLE PRODUCT LIABILITY
- 360 OTHER PERSONAL INJURY
- 362 PERSONAL INJURY - MEDICAL MALPRACTICE
- 365 PERSONAL INJURY - PRODUCT LIABILITY
- 368 ASBESTOS PERSONAL INJURY PRODUCT LIABILITY

TORTS - PERSONAL PROPERTY - "4" MONTHS DISCOVERY TRACK

- 370 OTHER FRAUD
- 371 TRUTH IN LENDING
- 380 OTHER PERSONAL PROPERTY DAMAGE
- 385 PROPERTY DAMAGE PRODUCT LIABILITY

BANKRUPTCY - "0" MONTHS DISCOVERY TRACK

- 422 APPEAL 28 USC 158
- 423 WITHDRAWAL 28 USC 157

CIVIL RIGHTS - "4" MONTHS DISCOVERY TRACK

- 441 VOTING
- 442 EMPLOYMENT
- 443 HOUSING/ ACCOMMODATIONS
- 444 WELFARE
- 440 OTHER CIVIL RIGHTS
- 445 AMERICANS with DISABILITIES - Employment
- 446 AMERICANS with DISABILITIES - Other

IMMIGRATION - "0" MONTHS DISCOVERY TRACK

- 462 NATURALIZATION APPLICATION
- 463 HABEAS CORPUS- Alien Detainee
- 465 OTHER IMMIGRATION ACTIONS

PRISONER PETITIONS - "0" MONTHS DISCOVERY TRACK

- 510 MOTIONS TO VACATE SENTENCE
- 530 HABEAS CORPUS
- 535 HABEAS CORPUS DEATH PENALTY
- 540 MANDAMUS & OTHER
- 550 CIVIL RIGHTS - Filed Pro se
- 555 PRISON CONDITION(S) - Filed Pro se

PRISONER PETITIONS - "4" MONTHS DISCOVERY TRACK

- 550 CIVIL RIGHTS - Filed by Counsel
- 555 PRISON CONDITION(S) - Filed by Counsel

FORFEITURE/PENALTY - "4" MONTHS DISCOVERY TRACK

- 610 AGRICULTURE
- 620 FOOD & DRUG
- 625 DRUG RELATED SEIZURE OF PROPERTY 21 USC 881
- 630 LIQUOR LAWS
- 640 R.R. & TRUCK
- 650 AIRLINE REGS.
- 660 OCCUPATIONAL SAFETY / HEALTH
- 690 OTHER

LABOR - "4" MONTHS DISCOVERY TRACK

- 710 FAIR LABOR STANDARDS ACT
- 720 LABOR/MGMT. RELATIONS
- 730 LABOR/MGMT. REPORTING & DISCLOSURE ACT
- 740 RAILWAY LABOR ACT
- 790 OTHER LABOR LITIGATION
- 791 EMPL. RET. INC. SECURITY ACT

PROPERTY RIGHTS - "4" MONTHS DISCOVERY TRACK

- 820 COPYRIGHTS
- 840 TRADEMARK

PROPERTY RIGHTS - "8" MONTHS DISCOVERY TRACK

- 830 PATENT

SOCIAL SECURITY - "0" MONTHS DISCOVERY TRACK

- 861 HIA (1395(f))
- 862 BLACK LUNG (923)
- 863 DIWC (405(g))
- 863 DIWW (405(g))
- 864 SSID TITLE XVI
- 865 RSI (405(g))

FEDERAL TAX SUITS - "4" MONTHS DISCOVERY TRACK

- 870 TAXES (U.S. Plaintiff or Defendant)
- 871 IRS - THIRD PARTY 26 USC 7609

OTHER STATUTES - "4" MONTHS DISCOVERY TRACK

- 400 STATE REAPPORTIONMENT
- 430 BANKS AND BANKING
- 450 COMMERCE/ICC RATES/ETC.
- 460 DEPORTATION
- 470 RACKETEER INFLUENCED AND CORRUPT ORGANIZATIONS
- 480 CONSUMER CREDIT
- 490 CABLE/SATELLITE TV
- 810 SELECTIVE SERVICE
- 875 CUSTOMER CHALLENGE 12 USC 3410
- 891 AGRICULTURAL ACTS
- 892 ECONOMIC STABILIZATION ACT
- 893 ENVIRONMENTAL MATTERS
- 894 ENERGY ALLOCATION ACT
- 895 FREEDOM OF INFORMATION ACT
- 900 APPEAL OF FEE DETERMINATION UNDER EQUAL ACCESS TO JUSTICE
- 950 CONSTITUTIONALITY OF STATE STATUTES
- 890 OTHER STATUTORY ACTIONS

OTHER STATUTES - "8" MONTHS DISCOVERY TRACK

- 410 ANTITRUST
- 850 SECURITIES / COMMODITIES / EXCHANGE

OTHER STATUTES - "0" MONTHS DISCOVERY TRACK

- ARBITRATION (Confirm / Vacate / Order / Modify)

(Note: Mark underlying Nature of Suit as well)

*** PLEASE NOTE DISCOVERY TRACK FOR EACH CASE TYPE. SEE LOCAL RULE 26.3**

VII. REQUESTED IN COMPLAINT:

- CHECK IF CLASS ACTION UNDER F.R.Civ.P. 23 DEMAND \$ _____

JURY DEMAND YES NO (CHECK YES ONLY IF DEMANDED IN COMPLAINT)

VIII. RELATED/REFILED CASE(S) IF ANY

JUDGE _____ DOCKET NO. _____

CIVIL CASES ARE DEEMED RELATED IF THE PENDING CASE INVOLVES: (CHECK APPROPRIATE BOX)

- 1. PROPERTY INCLUDED IN AN EARLIER NUMBERED PENDING SUIT.
- 2. SAME ISSUE OF FACT OR ARISES OUT OF THE SAME EVENT OR TRANSACTION INCLUDED IN AN EARLIER NUMBERED PENDING SUIT.
- 3. VALIDITY OR INFRINGEMENT OF THE SAME PATENT, COPYRIGHT OR TRADEMARK INCLUDED IN AN EARLIER NUMBERED PENDING SUIT.
- 4. APPEALS ARISING OUT OF THE SAME BANKRUPTCY CASE AND ANY CASE RELATED THERETO WHICH HAVE BEEN DECIDED BY THE SAME BANKRUPTCY JUDGE.
- 5. REPETITIVE CASES FILED BY PRO SE LITIGANTS.
- 6. COMPANION OR RELATED CASE TO CASE(S) BEING SIMULTANEOUSLY FILED (INCLUDE ABBREVIATED STYLE OF OTHER CASE(S)):
- 7. EITHER SAME OR ALL OF THE PARTIES AND ISSUES IN THIS CASE WERE PREVIOUSLY INVOLVED IN CASE NO. _____, WHICH WAS DISMISSED. This case IS IS NOT (check one box) SUBSTANTIALLY THE SAME CASE.

Alex Rue
SIGNATURE OF ATTORNEY OF RECORD

March 1, 2011
DATE