

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY
NEWARK DIVISION

SECURITIES AND EXCHANGE :
COMMISSION, : Civil No. 2:14-cv-02827-SRC-CLW
 :
 :
 Plaintiff, :
 :
 v. :
 :
 :
 S. PAUL KELLEY, :
 GEORGE TAZBAZ, :
 ROGER D. LOCKHART, :
 ROBERT S. AGRIOGIANIS, and :
 SHAWN A. BECKER, :
 :
 :
 Defendants. :

ORDER CORRECTING JUDGMENT AGAINST ROGER LOCKHART

The Plaintiff United States Securities and Exchange Commission (“SEC”) has moved pursuant to Fed. R. Civ. P. 60(a) to correct a clerical error in paragraph X of the Final Judgment entered against Roger Lockhart on May 12, 2014, [Dkt # 7], and represents that Mr. Lockhart has no objection to the motion. The SEC’s motion is GRANTED.

IT IS ORDERED that paragraph X of the Final Judgment is modified to change the total amount due to \$3,151,479.92 and to change the amount of the second payment to \$2,151,479.92.

Paragraph X of the Final Judgment as modified states:

Roger D. Lockhart shall pay the total of disgorgement, prejudgment interest, and penalty due of \$3,151,479.92 in two installments to the Commission according to the following schedule: (1) \$1,000,000.00 within 14 days of the entry of Final Judgment; and (2) \$2,151,479.92 within 365 days of the entry of Final Judgment. Payments shall be deemed made on the date they are received by the Commission and shall be applied first

to post judgment interest, which accrues pursuant to 28 U.S.C. § 1961 on any unpaid amounts due after 14 days of the entry of Final Judgment. Prior to making the final payment set forth herein, Roger D. Lockhart shall contact the staff of the Commission for the amount due for the final payment.

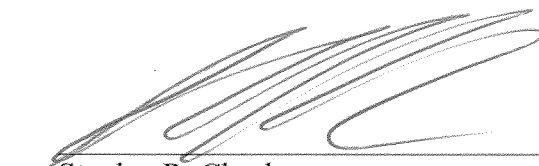
If Roger D. Lockhart fails to make any payment by the date agreed and/or in the amount agreed according to the schedule set forth above, all outstanding payments under this Final Judgment, including post-judgment interest, minus any payments made, shall become due and payable immediately at the discretion of the staff of the Commission without further application to the Court.

IT IS FURTHER ORDERED that the time periods for Mr. Lockhart to make his payments shall run from the date of the entry of the original Final Judgment on May 12, 2014.

SO ORDERED.

DATED:

6/5/14



Stanley R. Chesler
UNITED STATES DISTRICT JUDGE