

**UNITED STATES OF AMERICA**  
**Before the**  
**SECURITIES AND EXCHANGE COMMISSION**

**ADMINISTRATIVE PROCEEDING**  
**File No. 3-21333**

<p><b>In the Matter of</b></p> <p><b>GUSTAVO A. GUZMAN,</b></p> <p><b>Respondent.</b></p>
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**MOTION FOR EXTENSION OF TIME**

On March 16, 2023, pursuant to Rule 141(a)(2)(i) of the Rules of Practice, the Respondent Gustavo A. Guzman, who is currently in federal custody in the Mecklenburg County Detention Center—Central in Charlotte, North Carolina, was personally served with the Order Instituting Proceedings (“Order”) for this proceeding by the U.S. Marshals Service. Following service of the Order Instituting Proceedings, the staff of the Division of Enforcement (“Staff”) has attempted to engage with Respondent’s federal defender concerning representation and a prehearing conference. However, on March 17, 2023, the federal defender informed the Staff that he would be away and unavailable until after March 30, 2023, after which time we could discuss the matter, including whether he would represent Respondent.

Under the Order, the parties are to conduct a prehearing conference within fourteen days of the service of the Order, and Respondent is required to file an Answer within twenty days of service. In light of Respondent’s incarceration, his federal defender’s unavailability until after March 30, 2023, and the open issue of whether his federal defender can and will represent him in this proceeding, the Division requests that the deadlines for completing a prehearing conference

and for Respondent to file an Answer be extended to May 1, 2023 and May 8, 2023, respectively.

Dated: March 30, 2023

Respectfully submitted,

*s/Jeffrey A. Shank*

Jeffrey A. Shank

Jonathan Katz

Division of Enforcement

Securities and Exchange Commission

Chicago Regional Office

175 West Jackson Boulevard, Suite 1450

Chicago, Illinois 60604

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**CERTIFICATE OF SERVICE**

I hereby certify that we have caused a true copy of the Motion for Extension of Time to be transferred to a process server on March 30, 2023 for personal service on the Respondent at the following address:

PERSONAL SERVICE  
Mr. Gustavo A. Guzman



Dated: March 30, 2023

*s/Jeffrey A. Shank*  
Jeffrey A. Shank