

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

INVESTMENT ADVISERS ACT OF 1940
Release No. 6246

ADMINISTRATIVE PROCEEDING
File No. 3-21121

In the Matter of

RON K. HARRISON

Respondent.

DIVISION OF ENFORCEMENT'S
SECOND STATUS REPORT REGARDING
SERVICE

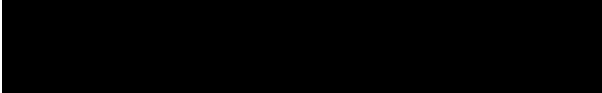
Pursuant to the February 23, 2023 Order in this matter, the Division of Enforcement of the Securities and Exchange Commission (“Division”) submits this second status report regarding service on Ron K. Harrison (“Respondent” or “Harrison”).

This administrative action against Harrison pursuant to Section 203(f) of Investment Advisers Act of 1940 was instituted on September 21, 2022. The Secretary’s Office served the Order Instituting Proceedings (“OIP”) by U.S. Postal Service Certified Mail to Harrison’s last known address at [REDACTED] on September 21, 2022. The Secretary’s Office did not provide us with a copy of the Certified Mail receipt for the mailing to Harrison. *See* Declaration of Kathryn C. Wanner (“Wanner Decl.”), ¶2. On March 6, 2023, we reached out to the Secretary’s Office, which confirmed they had mailed the OIP on September 21, 2022, and that they had not received a return receipt for the mailing, but would “look through our returned mail.” *See* Wanner Decl. ¶3, Ex. A. On April 4, 2023, we again

reached out to the Secretary's Office which confirmed that they still had not received a return receipt for the mailing. *Id.* ¶4, Ex. B.

Dated: April 6, 2023

Respectfully submitted,



Kathryn C. Wanner
Division of Enforcement
Los Angeles Regional Office
Securities and Exchange Commission
444 S. Flower St., Suite 900
Los Angeles, CA 90071
(323) 965-3954 (telephone)
Email: wannerk@sec.gov

In the Matter of Ron K. Harrison
Administrative Proceedings File No. 3-21121

SERVICE LIST

Pursuant to Commission Rule of Practice 151 (17 C.F.R. §201.151), I certify that the attached:


DIVISION OF ENFORCEMENT'S SECOND STATUS REPORT REGARDING SERVICE

was served on April 6, 2023, upon the following parties as follows:

BY eFAP

Vanessa Countryman, Secretary
Securities and Exchange Commission
100 F Street, N.E., Mail Stop 1090
Washington, DC 20549-1090

BY US Mail

Ron K. Harrison




Dated: April 6, 2023

Kathryn C. Wanner