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**Administrative Proceeding File No:** 3-18994**Respondents:**

Amundsen, Joseph S., CPA; Remus, Michael T., CPA; and Michael Remus CPA

Filed Date	Event Description	Release No
2/8/2019 15:13	Order Instituting Administrative and Cease-and-Desist Proceedings and Notice of Hearing, with party letter	34-85081
2/19/2019 15:59	Respondent Amundsen's Letter Requesting for a Stay of Proceedings (STRICKEN FROM THE RECORD per ADJ Order 34-85250 dated 3/5/2019)	
3/1/2019 14:59	Order	34-85237
3/5/2019 13:16	Notice Regarding Correspondence	34-85250
3/5/2019 15:05	Notice of Appearance, Richard G. Primoff, Esq. and Alix Biel, Esq., on behalf of the Division	
3/6/2019 9:00	Notice of Appearance, Peter R. Ginsberg, Esq. and Daniel E. Kelly, Esq., on behalf of Remus Respondents	
3/6/2019 9:03	Notice of Motion Upon Partial Consent to Extend Time for Respondents to File and Answer or Otherwise Move Pursuant to SEC Rule of Practice 161 and Brief in Support	
3/8/2019 18:13	Declaration of Richard G. Primoff in Response to March 5, 2019 Motion of Respondents Michael T. Remus, CPA and Michael Remus CPA	
3/11/2019 14:30	Order	34-85284
3/12/2019 13:13	Reply Brief in Further Support of Motion on Partial Consent to Extend Time for Respondents to File Answer or Otherwise Move Pursuant to Rule of Practice 161 & Hold Hearing Within (60) Days of the Responsive Pleadings, with Exhibit A (hard copy rcvd 3/19/2019)	
3/13/2019 14:44	Notice Regarding Correspondence	34-85310
3/14/2019 9:40	Letter dated 3/7/2019 from Unknown Respondent to the Commission in Response to the Notice Regarding Correspondence with Attachments	34-85250
3/14/2019 9:41	Letter dated 3/13/2019 from Division to Office of the Secretary	
3/14/2019 9:46	Letter dated 3/8/2019 from Joseph Amundsen to the Commission	
3/15/2019 13:55	Letter dated 3/11/2019 from Joseph Amundsen to the Commission in Response to the Notice Regarding Correspondence	
3/19/2019 10:38	Petition to Vacate and Answer to Contempt Charge 2/8/2019 (dated 3/9/2019)	
3/21/2019 12:32	Respondents Michael T. Remus, CPA and Michael Remus CPA's Answer to SEC Order Instituting Administrative and Cease-and -Desist Proceedings	
3/22/2019 12:45	Response to 3/13/2019 Notice Regarding Correspondence	
3/25/2019 17:54	Petitioner's Petition for Summary Judgment in Favor of Amudsen	
3/26/2019 14:55	Notice Regarding Correspondence (as to Joseph S. Amundsen, CPA)	34-85423

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3/27/2019 16:15	Petitioner's Petition for Summary Judgment, Failure to Disclose, Failure to Appear, and Answer to Latest from Karr Grieg	
4/5/2019 18:55	Joint Report of the Division of Enforcement and Remus Respondents Pursuant to Rule of Practice 221 and Commission Order dated March 11, 2019 (fax copy; hard copy rcv'd 4/8/2019)	
4/10/2019 12:54	Division's Request for Issuance of a Subpoena Duces Tecum	
4/23/2019 18:00	Division's Notice of Deposition for Michael T. Remus and Division's Request for Issuance of Subpoenas Duces Tecum (fax copy; hard copy rcv'd 4/24/2019)	
4/25/2019 13:12	Order	34-85717
4/25/2019 15:33	Letter dated 4/24/2019 from Division to Request the Commission Act on the Parties' Proposed Scheduling Order (fax; rec'd hard copy 4/25/2019)	
4/25/2019 15:59	Respondent's Response to SEC Supplemental Brief 4/18/2019 (dated 4/19/2019)	
4/29/2019 11:29	Order Designating Presiding Judge	AP-6554
4/30/2019 13:53	Division's Withdrawal of its April 9, 2019 Issuance of Subpoenas, New Request to Issuance of Two Subpoenas Requiring the Production of Documents, and Division's Notice of Deposition for Michael T. Remus (fax copy; hard copy rcv'd 5/1/2019)	
5/2/2019 15:09	Division's Motion for a Pre-Hearing Conference (fax copy; hard copy; 5/3/2019)	
5/3/2019 16:27	Order	AP-6563
5/8/2019 16:00	Order	AP-6566
5/9/2019 17:44	Division's Request for Issuance of Subpoenas to Appear and Testify at a Hearing	
5/15/2019 14:04	Letter dated 5/14/2019 from Division to ALJ Clarifying Information Provided at the Conference and Enclosing the Order Finding Defendant in Civil Contempt	
5/17/2019 12:32	Division's Request for Issuance of Subpoenas Duces Tecum (to Michael T. Remus, CPA and Michael Remus, CPA)	
5/21/2019 15:03	Division's Notice of Deposition for Michael T. Remus	
5/21/2019 15:12	Transcript of 5/7/2019 telephonic Pre-Hearing Conference, pp. 1-34	
5/28/2019 16:03	Division's Statement Pursuant to Rule of Practice 222(a)(4) dated 5/28/19 (fax copy; hard copy rcv'd 5/29/2019)	
5/28/2019 16:46	Order	AP-6585
5/30/2019 17:48	Respondents' Michael T. Remus, CPA and Michael Remus CPA Statement Pursuant to Rule of Practice 222(a)(4)	
5/31/2019 16:55	Respondent's Notice of Deposition of Douglas R. Carmichael	
6/3/2019 16:41	Division's Motion to Complete Respondents to Provide Complete Witness List, Declaration of Richard G. Primoff, and Exhibits	
6/4/2019 15:58	Division's List of Proposed Exhibits	
6/4/2019 18:52	Michael T. Remus, CPA and Michael Remus CPA Exhibit List	
6/6/2019 17:34	Michael T. Remus, CPA and Michael Remus CPA Amended Exhibit List	

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6/10/2019 14:22	Division's Objections to Respondents' Proposed Exhibits and Division of Enforcement Prehearing Memorandum (fax copy; hard copy rcv'd 6/11/2019)	
6/13/2019 7:07	Order	AP-6604
6/13/2019 15:43	Division's Amended List of Proposed Exhibits	
6/17/2019 14:22	Order	AP-6610
6/20/2019 16:55	Transcript of Hearing (in New York, NY on 6/18/2019), Vol. 2, pp. 288-433	
6/20/2019 16:55	Transcript of Hearing (in New York, NY on 6/17/2019), Vol. 1, pp. 1-288	
6/21/2019 14:21	Order	AP-6612
6/24/2019 16:49	Amended Transcript (dated 6/20/2019) of Hearing (in New York, NY on 6/17/2019), Vol. 1, pp. 1-294	
6/24/2019 16:50	Amended Transcript (dated 6/20/2019) of Hearing (in New York, NY on 6/18/2019), Vol. 1, pp. 295-439	
6/28/2019 15:55	Joint Exhibit List of the Division and Respondents Michael T. Remus, CPA and Michael Remus, CPA	
6/28/2019 15:56	Division's Joint Admitted Exhibits List (1-30; 32-33; 35; 37; 40-44; 47-49; 51; 54; 59; 64; 64A; 69-71; 83; 87-88; 93; 95-96; 98)	
7/16/2019 16:13	Division's Proposed Finding of Fact and Conclusion of Law dated July 18, 2019 (fax copy; hard copy rcv'd 7/19/2019)	
7/23/2019 16:27	Respondent Joseph Amundsen's Petition to Dismiss Charges and Vacate All Related Actions Regarding the 1983 Settlement (dated 7/19/2019)	
8/8/2019 11:57	Divisions' Post-Hearing Reply Memorandum (fax copy; hard copy 8/9/2019)	
10/21/2019 17:31	Respondent's Response to Findings of Facts and Conclusion of Law	
10/24/2019 13:25	Respondent, Amundsen, Joseph S., CPA, Exhibits (1-3)	
10/25/2019 15:02	Record Index Prepared by the Office of the Secretary	
11/6/2019 16:52	Letter dated 11/01/2019 from Respondent Amundsen Requesting the Inclusion of Discussion of Filing dated 6/28/2019	
12/5/2019 14:55	Initial Decision (as to all Respondents)	ID-1391
12/16/2019 13:35	Respondent Amundsen's Petition for Review of the Initial Decision	
12/19/2019 13:28	Notice (as to Joseph S. Amundsen)	AP-6719
12/19/2019 14:53	Respondent Amundsen's Petition to Vacate	
12/26/2019 15:18	Respondents Michael T. Remus, CPA and Michael Remus, CPA's Petition for Review	
1/16/2020 15:49	Order Granting Petitions for Review and Scheduling Briefs	34-88001
1/16/2020 17:25	Corrected Order Granting Petitions for Review and Scheduling Briefs	34-88001
2/11/2020 12:38	Amundsen's Statement of Two Issues of Law and Facts, with Attachments	
2/18/2020 7:45	Respondents Michael T. Remus, CPA and Michael Remus CPA's Opening Brief in Support of Petition for Review (fax copy; hard copy rcvd 2/19/2020)	
3/19/2020 10:14	Division's Brief in Opposition to Respondents' Appeal from Initial Decision	

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3/25/2020 14:30	Joint Waiver of Service by Remus, Remus and Division	
4/3/2020 10:34	Respondents Michael T. Remus and Michael Remus's Reply Brief in Further Support of Petition for Review	
9/9/2020 16:22	Letter dated 09/09/2020 from Counsel for Respondent Amundsen to the Office of the Secretary Notifying of Change of Law Firm	
2/3/2021 12:18	Order Extending Time to Issue Decision	34-91051
2/9/2021 17:03	Request for Dismissal and Not Action	
3/23/2021 19:52	Letter dated 02/09/2021 from Respondent Amundsen Requesting Consideration of New Law, The National Defense Act 1-1-2021	
4/26/2021 17:00	Notice of Appearance, Richard G. Primoff and Alix Biel, on behalf of the Division	
4/27/2021 7:53	Certificate of Service , Richard G. Primoff, on behalf of the Division	
5/4/2021 9:31	Order Extending Time to Issue Decision	34-91746
8/2/2021 14:09	Order Extending Time to Issue Decision	34-92544
11/1/2021 15:09	Order Extending Time to Issue Decision	34-93498
1/31/2022 12:42	Order Extending Time to Issue Decision	34-94103
5/2/2022 11:21	Order Extending Time to Issue Decision	34-94832
8/1/2022 12:52	Order Extending Time to Issue Decision	34-95402
10/31/2022 14:49	Order Extending Time to Issue Decision	34-96188
1/30/2023 12:30	Order Extending Time to Issue Decision	34-96774