

Record Index

as of 3/29/2023

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Administrative Proceeding File No: 3-18780**Respondents:**

Johnson, Jennifer R.

Filed Date	Event Description	Release No
9/18/2018 13:18	Order Instituting Administrative Proceedings Pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940, and Notice of Hearing	34-84181
10/18/2018 10:33	Notice of Appearance, Olivia S. Choe, Esq. and Stephan J. Schlegelmilch, Esq., on behalf of the Division	
10/22/2018 13:44	Declaration of Stephan J. Schlegelmilch to Assist Secretary with Record of Service, with Exhibit 1	
1/15/2019 8:22	Order (staying all AP cases until further order of the Commission)	33-10602
1/30/2019 8:06	Order (lifting stay and extending due dates by 14 days for filings due from 12/27/2018 and 1/30/2019)	33-10603
3/15/2019 14:29	Division's Motion for Default Judgment and Imposition of Sanctions Against Respondent, Memorandum in Support, and Declaration of Norman Knickle, with Attachments 1-3	
2/18/2021 9:48	Division's Status Report	
4/23/2021 10:33	Notice of Appearance, Stephan J. Schlegelmilch, on behalf of the Division	
10/29/2021 13:31	Notice of Substitution of Counsel and Notice of Appearance, for Timothy K. Halloran Replacing Stephan J. Schlegelmilch, on behalf of the Division	