

## Record Index

as of 3/24/2023

Page 1 of 1

**Administrative Proceeding File No:** 3-18746**Respondents:**

Royal B.Y. Investment Management LLC; Toda International Holdings Inc.; Westpoint Energy, Inc.; and 360 Global Investments (f/k/a 360 Global Wine Co.)

Filed Date	Event Description	Release No
9/12/2018 9:45	Order Instituting Administrative Proceedings and Notice of Hearing Pursuant to Section 12(j) of the Securities Exchange Act of 1934	34-84088
9/12/2018 9:48	Division of Enforcement's Letters to Respondents dated 9/12/2018	
1/16/2019 11:37	Order	34-84986
1/30/2019 11:38	Order	34-85007
2/4/2019 8:30	Order to Show Cause	34-85043
3/22/2019 11:24	Declaration of David S. Frye to Assist Secretary with Record of Service	
5/23/2019 13:02	Order to Show Cause as to Royal B.Y. Investment Management LLC	34-85928
5/23/2019 15:29	Order Regarding Service as to Toda International Holdings, Inc.	34-85932
6/6/2019 15:46	Declaration of David S. Frye Reporting on Status of Service on Respondent Toda International Holdings, Inc.	
6/24/2019 13:06	Opinion of the Commission as to Westpoint Energy, Inc. and 360 Global Investments (f/k/a/ 360 Global Wine Co.) and Order Imposing Remedial Sanctions	34-86188
6/30/2019 8:31	Supplemental Declaration of David S. Frye Reporting on Status of Service on Respondent Toda International Holdings, Inc.	
8/14/2019 10:57	Second Supplemental Declaration of David S. Frye Reporting on Status of Service on Respondent Toda International Holdings, Inc.	
9/19/2019 10:02	Opinion of the Commission as to Royal B.Y. Investment Management LLC and Order Imposing Remedial Sanctions	34-87010
4/26/2021 16:31	Notice of Appearance, Christopher Bruckmann and Sandhya C. Harris, as Co-Counsel, on behalf of the Division	